# AUTOMATED EXECUTION AND RISK MANAGEMENT OF TRANSACTIONS IN SECURITIES

## **COMPUTER LISTING APPENDIX**

This application includes a Computer Listing Appendix on compact disc, hereby incorporated by reference.

# BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

[0001] The present invention relates to an integrated computer network that enables a market maker to deliver to front end users/investors an automated execution service for securities transactions, typically for larger sized orders, that enhances the speed and certainty of executions, and which manages a market maker's trading and inventory risk by integrating internal order book and multiple market venues selected based on their trading characteristics.

## 2. Description of the Prior Art

As a result of the evolution and proliferation of various markets and [0002] venues for trading securities in the United States and abroad, investors often find it difficult to purchase or sell securities on an automated basis, particularly in larger quantities. Securities of individual companies often trade in several different markets or venues and investors need access to these market venues to fill larger orders. Firms that intermediate these transactions, such as dealers, market makers and exchange specialists, typically do not meet all of the investor demand for executing larger size orders and with the speed and certainty of an automated execution. Some intermediaries offer automated execution services but impose order size limitations or restrict use of the system if spreads are too narrow, during locked and crossed markets (i.e., markets where bid and ask prices are equal or inverted) and other market conditions. Market maker auto-execute capabilities for executing larger orders have been decreasing due to speed, fee and access impediments of certain market venues and the capital necessary to execute the full size of an order and/or the difficulty in quickly trading out of a large order or otherwise reducing or managing inventory risk.

[0003] Securities markets today, particularly in the United States, suffer from various structural inefficiencies that, while fostering price competition, inhibit efficient trading by investors and market makers in an electronic environment. The main inefficiencies include market "fragmentation" or multiple trading venues, the lack of depth or liquidity in each market venue, and the time and number of executions necessary to execute the full size of an order and receive notice of its completion. In addition, narrowing spreads caused in part by decimal pricing, increased incidents of locked and crossed markets, access fees to alternative markets and other factors have made it more difficult for market makers to devote capital and manage inventory risk

necessary to execute customer transactions automatically, particularly for larger sized orders.

[0004] Market fragmentation occurs when the securities of an individual company trade in more than one market or venue. Investors or market makers, therefore, may need to send orders to many different public and private markets or venues to complete the full size of an order. This process often takes time and can result in partial executions and lost opportunities to obtain execution immediately. Prices and the number of securities available to purchase or sell can change instantaneously, making the speed and the likelihood of execution important factors in deciding what market or markets to direct the order for execution. Each market venue also has different modes and protocols of electronic order entry, execution and confirmation; special access, membership and/or transaction fees; and differences in execution speed and quality.

There are several market venues that offer computer linkage to facilitate [0005] automated executions. Under a single securities exchange model, such as the New York Stock Exchange, Inc., one specialist for each security traded on the exchange has an obligation to set bid and ask quotes, and to execute purchase or sell orders, in rising and falling markets. Orders can come to a specialist's booth by telephone or from other brokers physically on the floor of the exchange. Other orders can come in electronically, and the NYSE has different electronic systems to execute different size orders. Specialists and other brokers submitting orders or trading interest are displayed on a computer terminal on the floor near the specialist's booth. Electronic orders coming in may be matched up against the orders displayed in the specialist's computer Last sale information is reported to the consolidated tape for public terminal. dissemination. Therefore, the price, depth and trading interest is largely contained in an integrated market, and with one specialist acting as the sole market maker for the security on the exchange.

[0006] In contrast, securities listed on the Nasdaq Stock Market, Inc. (Nasdaq), an interdealer quotation system consisting of competing market makers for each security linked together by a network of computer systems, are traded by many different dealers acting as market makers, each offering different firm bid and ask quotations and at size levels selected by the market maker. All market makers, and broker-dealers routing orders to market makers, interact electronically through a network of computer terminals, where bid and ask prices and related volume levels are displayed on Nasdaq's centralized SuperMontage (SM) system. Nasdaq SM also contains an order routing computer network. Nasdaq SM aggregates quotes and orders at each price level, and dynamically displays on a computer screen on a real time basis a "montage" of quotation information, including the best prices of all participants, with corresponding size on each side of the market.

The Nasdaq SM displays information to computer terminals at three [0007] levels. In particular, Nasdaq Level I permits members to view real-time access to inside market quotations at the national best bid and offer (NBBO) and quotations of individual market makers. Nasdaq Level II includes Level I and permits participants to view on a real-time basis all trading interest by participating Nasdaq market makers, dealers and other market venues that submit bid and ask quotes to Nasdaq for inclusion in the SM. Nasdaq Level III permits market makers only to input or change bid or offer quotations and the size or number of shares they are willing to purchase or sell at given price levels and to receive and execute incoming orders. Depending on the security listed on Nasdag, there may be only a few market makers, or there may be many market makers, sometimes averaging 70 or more different firms, in the security and quoting multiple price and size levels. Various market systems display Level II and Level III data, for example, as disclosed in U.S. patent no. 5,297,032 to Trojan, et al., issued Mar. 22, 1994. Nasdaq charges a fee for each transaction, as well as any electronic exchange executing an order.

granted "unlisted trading privileges" (UTP) such that those Nasdaq have also been granted "unlisted trading privileges" (UTP) such that those Nasdaq listed securities may also be traded on the NYSE and regional stock exchanges, such as the Cincinnati Stock Exchange, Inc., the American Stock Exchange, Inc., the Chicago Stock Exchange, Inc., the Pacific Exchange, Inc. or the Boston Stock Exchange, Inc., among others. Quotes from these exchange specialists or market makers are included in the Nasdaq SM and displayed along side market maker quotes. If an exchange specialist quote establishes the national best bid or offer and is displayed on Nasdaq's SM, or if an order routing firm selects or preferences an exchange for execution of the order, the order entered into the SM would be routed electronically from the SM to the exchange for automated execution depending on the exchange trading protocols, including different time requirements in which an order is executed. Some exchanges require separate linkages and their quotes are not accessible through Nasdaq's SM.

[0009] In addition to Nasdaq SM and UTP exchanges, Nasdaq listed and other securities can trade in Alternative Trading Systems (ATSs), which include electronic communication networks (ECNs). Typically, ECNs are private trading venues for professional members, and each member obtains a separate computer trading terminal that is linked or connected to the ECN and its centralized order book and trading system. The ECN consolidated order book computer keeps track on a real-time basis of member bids and offers, and resulting transactions, and will automatically match or execute eligible orders electronically. Each member's trading terminal will be updated to reflect the transaction and to update the order book to take out the price and size of the executed transaction. Members may input additional bids or offers, automatically update their quotes if they designate additional or reserve size and other functionality. Membership in an ECN typically is limited to institutional investors, broker-dealers, specialists and other market professionals; retail investors typically need to use a broker or dealer to access ECN quotations, including resulting delays in execution due to the

lack of direct access. Each ECN has different electronic trading mechanics and protocols, and charge various access fees, as well as transaction fees based on the volume of each transaction. The total number of ECNs at one time was eight, including Instinet, Island, Brut, Archipelago, each representing a different market venue that may execute transactions electronically for members.

[0010] An additional market venue includes the process whereby dealers or market makers "internalize" orders and execute them as principal internally, without placing orders into Nasdaq SM, any ECN or other market for publication or interaction with other orders, or including such orders in their own market maker quotes. Dealer internalized orders represent additional pools of hidden liquidity that are not included in public quotation venues, but can be used by market makers to fill other customer orders on the other side of the market. If executed on a net price basis, the internalized order execution may not be included in any public quotations in any separate last sale figures, thereby reducing market impact of the execution.

trading venues to be linked, such that prices entered by market makers or exchange specialists in ECNs that are better than their public quotes must be displayed publicly, such as in the Nasdaq SM system. Also, if broker-dealers receive customer limit orders (orders at a limit price), they may either execute the orders, include the order in their own market making bid, or display the order in an alternative market, such as an ECN that is electronically linked to Nasdaq SM to permit public display of the limit order (unless the customer requests that the order not be displayed). Orders from other institutional members of ECNs, however, need not be displayed in a public quotation system, and possible pools of liquidity are accessible by members only, unless the ECN represents five percent or more of the trading volume in a particular security.

[0012] The number of securities offered for sale or purchasers by market makers at the NBBO, even if several market makers each may be quoting the best bid or offer

nationally, may not be large enough to fill larger sized orders. Therefore, if a customer wants to purchase or sell securities at a volume level larger than the NBBO, it may need to seek out alternative markets or trading venues to execute the full size of the order and at prices outside or inferior to the NBBO. The consolidated firm quotes of market makers, ECNs or exchange specialists at the NBBO may be thin, meaning such firms would be obligated to purchase or sell only a limited number of shares at the NBBO. Other market makers or dealers offering to quote at different price levels just below or above the NBBO also may not be willing to commit their capital to purchase or sell shares in any large amount.

[0013] Therefore, the depth of a security's available liquidity typically may not be located in one market venue, but may be quoted in several market venues (or may be internalized on a dealer's limit order book), only some of which are accessible by brokers, dealers, market makers, or in Nasdaq SM. In order to reach that liquidity necessary to purchase or sell shares, an investor, dealer or market maker may need to interact with many different market venues or pools of liquidity, at different prices, to execute the complete size of the order. In addition, the customer would need to pay for "ticket" charges (clearance and settlement fees) and/or transaction fees for each execution necessary to complete the full size of the order.

The speed of execution at the various UTP exchanges, ECNs and in Nasdaq SM varies, as well as the parameters, protocols and exceptions for orders receiving automated executions. The ability to execute a large order in many markets can be subject to significant delays or unwanted costs. Some investors or broker-dealers avoid certain markets if, for example, speed or automated execution is important to the transaction.

[0015] A market maker's quotes in Nasdaq SM are firm quotes, meaning that market makers are obligated to purchase or sell securities at volume and price levels set by the market maker upon the presentment of an order. The number of shares

quoted by a market maker and the price selected are functions of each market maker's own risk in committing its capital to be at risk if short, or to carry an inventory of securities, and to maintain a long or short position until it can trade out of or unwind the position. Any inability to unwind a position, particularly for market makers with less capital to risk, may impair their own ability to make markets of any size.

[0016] For those market makers that offer some form of automated execution service, several developments have made it more difficult to operate those auto-ex services for larger orders or during certain market conditions. Market making spreads (and profits) have narrowed significantly over the last few years, particularly with the competition of ECNs and alternative markets, and due to the advent of decimalization and the one-cent minimum price increment. Market makers trading Nasdag securities, therefore, have found it more difficult to take risk by devoting capital to execute transactions or to carry long or short securities positions in inventory. These developments have caused market makers to reduce their ability to provide automated executions in response to customer orders, or they have limited the size of the order to reduce overall capital commitments, particularly at or near the NBBO where risk that a firm quote execution is more likely. The NBBO price, therefore, may be "flickering," with little trading interest or depth, but there may be greater willingness to trade or commit capital, and larger volume levels, at prices outside the NBBO and often at graduated levels of pricing.

[0017] Because of decimalization, a one-cent minimum price increment, and market fragmentation, investors, dealers and market makers find it more difficult, time consuming and expensive to obtain a quick execution of a larger order. There is a wide range of price points quoted by intermediaries in different markets that investors may need to access in order to execute a larger order, as well as the ability for a market maker to trade out of the position and reduce its own inventory risk. Prices, and available liquidity, are known to move in split second timing due to other trading interest

in the market, such that a quote in Nasdaq SM, a UTP exchange or in an ECN may not be available by the time an investor or other market maker attempts to execute an order against a market maker's firm quote. This can further change the ultimate price of an execution, delay execution due to a lack of available liquidity and create principal trading risk for market makers.

[0018] Many investors have a need for immediate execution, and many professional traders have sophisticated trading strategies for which they need to hedge or reduce their risk by purchasing a large block of securities. Therefore, speed and certainty of execution and a consistent price related to the NBBO can be an important trading characteristic that cannot be executed efficiently through a series of orders directed to various fragmented markets. Thus, there is a need for an automated execution system for securities transactions that enhances the speed and certainty of the transaction, especially for large orders.

#### SUMMARY OF THE INVENTION

[0019] The present invention enables market makers to deliver to investors, brokers, dealers and other market makers (users) an automated execution service, typically of a larger order size, that captures available liquidity in one or more market venues selected by the user and meeting a user's need for speed and certainty of execution, including Nasdaq SM, certain UTP exchanges and certain ECNs, and capturing any undisclosed customer limit orders held by a market maker, all with the added benefit of reducing ticket charges, trading fees and the market impact of a transaction.

[0020] The present invention also permits market makers to deliver to users an automated execution of larger size orders immediately at a "net price" based on the size weighted average price (SWAP) of securities traded in selected market venues, and

with only one ticket charge, instead of separate partial fills and trade execution fees for each execution. A market maker also may permit a user to obtain automated executions of larger size orders immediately on a riskless principal basis, either obtaining a series of executions and prices, or requesting a single weighted average price. The execution module can rank each market venue and thereby decide whether to include or exclude them when pricing or executing orders based on an evaluation of speed, costs, quality of executions and other factors. A net price principal execution would attempt to reduce the market impact of a larger order because the SWAP executions may access a market maker's internal limit order book and reducing the number of lay-off transactions by the market maker.

In accordance with the present invention market makers can efficiently manage trading risk and inventory replenishment or reduction using an integrated front end and back end computer network designed to maximize trading speed and efficiency. In particular, a market maker can immediately execute transactions in response to customer orders, on a principal or riskless principal basis, to permit the market maker to run an automated execution system under most market conditions and at larger order sizes. The risk management element includes an ability to route orders to the most advantageous market venues necessary to complete the order and to tap possible hidden pools of liquidity. The system also may enhance liquidity and execution of customer limit orders and reduce or unlock locked and crossed markets.

[0022] Unlike other prior systems which attempt to create a network to deliver consolidated market information, data, analytics and order entry to terminals used by end-user investors, such as disclosed in U.S. patent no. 6,278,982, assigned to Lava Trading, Inc., the present invention is an integrated front end and back end service for market makers to provide investors with an automated execution service using only select market venues to maximize speed and certainty of execution and to manage inventory risk.

[0023] The invention uses various front end linkages to broker-dealers and institutional investors; internal market maker/dealer order book management and integration; and back end linkages to Nasdaq SM, UTP exchanges and ECNs to deliver aggregated liquidity from disparate market venues, while enabling a market maker to manage risk by accessing liquidity and executing orders in such market venues to replenish or reduce inventory. Users can obtain market pricing and volume information from various trading venues, and from an internal limit order book maintained by the market maker, and to select those venues that offer the user and/or market maker to maximize the speed and certainty of an execution, to pre-select the mode of execution (principal/riskless principal) and to determine execution pricing (net price, trade for trade riskless principal or average price riskless principal).

[0024] From direct and indirect data feeds from and to these market venues, a market maker, as the operator of the system, can select those venues to receive and transmit data and provide a user different venues from which to obtain pricings and executions and that meet the market maker's and the user's trading and risk management needs. The system operator then can determine those markets with the best prices and different volume levels necessary to respond to a customer order. In response to a customer or user order, the system will permit the system operator to compile pricing and volume information from the trading venues selected. For a net price trade, the system will determine the best available prices in the trading venues selected and use that data to calculate a size weighted average price (SWAP) incorporated into the net price. The net price includes the SWAP and a pricing component that relates to a market maker's risk for trading as principal.

[0025] In accordance with the invention, a user, when linked electronically through their computer terminal, can query the system to obtain sample net price quotes and/or to send an order to a market maker as a system operator to execute an order. The sample quote presentation may be provided as a graphic display of available

liquidity and pricing at the NBBO, within Nasdaq SM and with an automated execution by the system operator at a net price as principal using the system, and a comparison of the number of executions needed to obtain NBBO and Nasdaq SM executions as compared to a single trade at a net price using the system.

Upon receipt of a net price order by the market maker, the market maker's [0026] computer system will query pricing and volume information from selected market venues and determine the SWAP and net price. If a user selects a net price trade, the system will permit the market maker to first execute the full size of the order instantaneously as principal with the investor. The market maker will be at risk, but also can use the system to reduce that risk by immediately taking the securities purchased from the user and selling them to trading venues willing to purchase, or taking the securities sold to the user and purchasing additional shares in select venues to replenish inventory, if necessary. The system's algorithms, and access to alternative market venues, permits the market maker to select the best market or markets to reduce its trading position or risk, and in the most efficient manner. To the extent the market maker maintains an internal limit order book, it may offer an opportunity to decrease the market impact of an order, because the market maker may be able to internally execute those limit orders without executing corresponding transactions in other market venues and related trade reporting. This feature provides customers anonymous trading via internalization and protection of other customer limit orders.

[0027] For riskless principal transactions, the service permits a market maker to access trading information in various trading or market venues, including Nasdaq SM, UTP exchanges and those ECNs of which it is a member, and execute orders against available liquidity in those markets, including those ECNs that are included by the system operator.

[0028] The present invention allows users to choose to submit market, market with cap, or limit orders. A market with cap order allows the user to specify the price up

to which orders should be executed automatically, leaving any unfilled quantity to be executed manually on a market not held basis or possibly configured to reject the balance. For example, when a user clicks an "AutoFill Limit" button, an order is sent that specifies as a limit or market cap price the price returned in the last snapshot sample quote.

[0029] Because a system operator can access Nasdaq SM, UTP exchanges and ECNs directly, it can obtain pricing and volume information necessary to determine whether there is sufficient liquidity in the market to exercise the full size of the order, and if so, to electronically and automatically execute orders in those trading venues. It also may use internal or other pools of liquidity to reduce risk. These features permit a market maker to manage its market making and capital commitment risks, thereby enhancing its ability to operate an automated execution service handling larger sized orders on a more continuous basis.

## **DESCRIPTION OF THE DRAWING**

[0030] These and other advantages of the present invention will be readily apparent from the following specification and attached drawing wherein:

[0031] FIG. 1 is a screen shot of an exemplary order entry screen for use with the present invention, shown after a quote or an order has been requested to purchase 15,000 shares of COMS stock.

[0032] FIGS. 2A-2C are screen shots of exemplary status screens which maintain the status of the various open positions and closed positions using the present invention.

[0033] FIG. 3 is a block diagram of an automated securities execution system in accordance with the present invention.

[0034] FIG. 4 is a data flow diagram of the software in accordance with the present invention.

#### **DETAILED DESCRIPTION**

The present invention relates to an automated execution and risk management system for transactions in securities. The system facilitates quick execution of orders, particularly larger orders, of securities for various clients, including broker-dealers and their customers and for institutional investors, whose paramount objectives for any particular transaction are the speed and certainty of an automated execution for an order size typically greater than the size displayed at the NBBO. The system, in accordance with the present invention, enables various users to capture greater depth and liquidity immediately and automatically and in a single execution (or in single or multiple riskless principal executions), unlike known systems which result in delayed or multiple executions, often at different prices, with multiple access fees and related costs. As such, the system, in accordance with the present invention, minimizes the risk that markets may move while an order is being filled.

[0036] In accordance with an important aspect of the invention, as will be discussed in more detail below, orders, including larger size orders, are automatically executed as principal in one transaction and a net price that includes a size weighted average pricing synthesis of fragmented liquidity. In accordance with another aspect of the present invention, the system provides users with access to displayed and certain undisplayed liquidity efficiently in one automated net price transaction or a single or in multiple riskless principal transactions, typically in sizes greater than the displayed NBBO size. In particular, the system operator assumes the capital risk for executing orders as principal and assumes the market risk. Because the system permits an operator to trade as principal or riskless principal to fill the complete order size on an

automated basis, investors have a greater likelihood of obtaining liquidity and completing their transaction immediately. The system manages trading and inventory risk by integrating internal order book and multiple market venues.

#### **EXEMPLARY ORDER SCREENS**

[0037] An exemplary order screen in which a user can enter orders to purchase or sell securities is shown in FIG. 1 and identified with the reference numeral 20. An order entry screen 22 may also include a display of the status of open and closed positions and comparison quotations, as illustrated in FIG. 2A. Alternative status screens for maintaining the status of the various open and closed positions are shown in FIGS. 2 and 2C.

[0038] Referring to FIG. 1, a user enters the type of transaction by typing a "B" for buy or "S" for sell, the number of shares and the trading symbol of the security (for example, B 15000 COMS means buy 15,000 shares of the security COMS) in an order entry box 24. A snapshot quote can be obtained by pressing a "Snapshot" button 26. A "Reload" button 28 provides additional sample quotations. A "Place Order" button 30 is provided for placing orders. When the "Place Order" button 30, or "AutoFill Limit" 47 button (FIG. 2B), is depressed, the system will execute the order as discussed below. A user may also enter a limit order price into the order entry box 24 (FIG. 1) along with the number of shares and the volume.

[0039] In response to a snapshot quotation (or reload) request or a Place Order request, the system may be configured to display the requested order information, along with the following information. For example, the order entry screen 20 may display the number of shares from zero to the size of the order in equal increments, i.e., 0-15,000 in increments of 3000 as shown in FIG. 1. The order entry screen 20 may also display a

series of comparative information in several formats, for example, a plurality of bar graphs 32, 34 and 36, comparing pricing and execution information for the NBBO (national best bid and offer), SUMO (Nasdaq SuperMontage) and AUTOFILL (net price SWAP transaction). The bar graphs 32, 34 and 36 may display the number of shares available at the NBBO, in SUMO and through AUTOFILL. If an order size is too large, the graph will display a message "not enough liquidity" to indicate that there are not enough quotations in the market for AUTOFILL to complete the full size of the order.

[0040] The order entry screen 20 may display the number of shares available at the NBBO and the price of all shares available at the NBBO under the term "Availability" in a box 38 labeled NBBO. Similarly, a box 40 labeled "SUMO," may be used to display the number of shares available in SUMO and the average price of all those shares under the term "Availability". An AUTOFILL box 42 may be used to display the number of shares requested by the user and show either the snapshot quote or the number of shares actually executed and the net price using the SWAP pricing under the term "Availability". If the user is set up to have orders executed on a riskless principal basis, instead of a net price basis, the AUTOFILL box under the term "Availability" may indicate the number of shares executed and the average price of all shares executed. The NBBO, SUMO and AUTOFILL boxes 38, 40 and 42 may indicate the number of separate executions or ticket charges the user would incur if the user separately were able to execute all shares at the price quoted at that point in time. For example as shown, in the sample Snapshot illustrated in FIG. 1 or order for B 15000 COMS, the user could have obtained 3600 shares at the NBBO price of \$4.66 in 12 separate executions or ticket charges, the user could have obtained 13600 shares in SUMO at \$4.6983 in 22 separate executions or ticket charges, or the user could obtain all 15000 shares at a net price of \$4.68046 in one execution. For all net price trades, the number

of ticket charges in the AUTOFILL Tickets box will always be one. As noted in the example, the net AUTOFILL price also may be better than the price in SUMO.

[0041] Orders can be cancelled by checking a "CANCEL" box 43 (FIG. 2A) on the status screen 22. Alternatively, orders can be cancelled and replaced by way of a "CR" (cancel/replace) button 49 (FIG. 2A). Depressing the cancel/replace button 49 causes orders to be cancelled and replaced with orders entered into a "short hand order" box 45. An AutoFill limit button 47 (FIG. 2B) is also provided. The AutoFill limit button initiates a limit order using the snapshot quote as a limit price.

[0042] As an alternative display format, a user may select the alternative line graph button 44, which will display the same information as discussed above using line graphs and color coding to differentiate between NBBO, SUMO and AUTOFILL. The line graph displays the number of shares, the prices and the number of ticket charges.

#### SYSTEM DIAGRAM

[0043] FIG. 3 illustrates an exemplary embodiment of a block diagram of the automated execution and risk management system for transactions in securities in accordance with the present invention, generally identified with the reference numeral 101. The system 101 may include a number of exemplary software modules, as shown, implemented in one or more servers. For the exemplary embodiment shown in FIG. 3, the system 101 may include a QFIX\_CMM module 103; a QFIX\_BRASS module 105; a BRASS PowerData feed 107; a rules engine 109; a price module 111; a pre-execution module 113; an execution module 115 and an atomic order router 117.

[0044] The QFIX\_CMM module 103 is a gateway or portal for all clients. In one embodiment of the invention, the QFIX\_CMM module 103 is configured as a financial information exchange (FIX) engine that allows clients 121 to communicate over a

network atomic order router 117, which may be a secured network, such as virtual private network (not shown) using FIX protocol. FIX protocol is an open-source protocol that defines the format of messages on a session-level interaction between two applications, such as an application between clients 121 and the QFIX\_CMM module. Additional information regarding the FIX protocol is available at www.fixprotocol.org.

[0045] It is to be understood that communications between the clients 121 and the system 101 may be other than by way of the FIX protocol. Virtually any communication protocol can be used. The FIX protocol described and illustrated is merely exemplary.

The system is configured to allow clients 121 to send orders, request [0046] snapshots and reload requests. Cancel and cancel/replace requests may be accepted for orders that have not been completely filled. Orders sent by clients 121 using the FIX protocol are received by the QFIX CMM module 103 which may convert the order to a different protocol after which the order is passed on to the QFIX\_BRASS module 105. The QFIX BRASS component 105 monitors the system's open order book, as maintained by a BRASS feed 107. The BRASS feed 107 may be, for example, a SunGard Trading PowerData feed, available from Systems/BRASS (www.sungard.com). The Brass PowerData feed 107 provides a single point of access to multiple trading venues 123, such as multiple electronic communication networks (ECNs) maintained, for example, by BRUT, Island, Archipelago and Instinet, B-Trade, and the Nasdaq SM. In particular, the PowerData feed 107 is a digital feed of real time exchange and market data for integration into dynamic applications. This feed 107 synthesizes price and trading information into a single data stream.

[0047] The QFIX\_BRASS module 105 communicates with a rules engine 109, a price module 111 and pre-execution module 113 in order to process orders for securities as shown in FIG. 3. Orders are executed by a "dumb" order system which may include an execution module 115 and an atomic order router 117. The pre-

execution module 113 and the execution module 115 are available form Magic Works, LLC, East Windsor, New Jersey. Other software is also suitable, such as PowerNet, available from SunGard Trading Systems (www.sungard.com). The source code for the Atomic Order Router 117 is contained on the compact disk Appendix.

#### **RULES ENGINE**

The rules engine 109 is a database-driven component that defines specific rules for each customer. For orders marked with a special flag (i.e., AutoFill flag) that meets the requirements set forth in the rules engine 109, the order is sent first to the price module 111 and subsequently to the pre-execution module 113. The rules engine 109 allows each order to be customized for each customer or user. As such, orders may be configured to create rules at the following levels: customer, symbol, price level, branch, order (buy or sell), trade mode (risk, riskless, agency model), takeout mode and quantity and stored in a database before any orders are placed.

The rules engine 109 may also be used to determine customer pricing. The rules engine 109 can be used to regulate on a per-customer, per-branch basis the quality of execution pricing above and beyond liquidity available in Nasdaq's SM, the number of price levels within ECN books, as well as the system operator's own order book.

[0050] Risk management rules may be used to determine the trading mode and manner in which the orders are to be handled. For example, the system may be configured to handle trades on different levels as follows:

- [0051] Number of pricing levels to be included on a per customer/branch/symbol basis.
- [0052] Which price levels to use, and what percentage of each.

[0053] • Percentage of Liquidity check rule specifies that if an order is less than a configurable percentage of NBBO size, do not perform auto-takeout unless customer is riskless principal.

- [0054] The Rules Engine may also provide a rounding rule configurable for all conventional rounding methods.
- [0055] risk principal Transactions are automatically executed at a size weighted-average pricing synthesis of fragmented liquidity.
- [0056] riskless principal The system may also be configured to enable securities transactions in a riskless principal mode. Riskless principal trading relates to orders filled utilizing the actual market maker execution price plus a mark-up or mark down and separate ticket charges for each transaction.
- [0057] agency mode This mode includes riskless principal trades where a market maker delivers a single execution to the customer using the average price of executions plus markup/markdown and a single ticket charge.

[0058] The rules engine 109 can also be used to assign a pricing component that relates to a market makers risk for trading as principal. This pricing component may be integrated into a net price principal trade and reported to the tape as such.

#### PRICE MODULE

[0059] A price module 111 computes the pricing, utilizing the various business rules from the rules engine 109. The price module 111 receives requests from the QFIX\_BRASS module 105 and determines the quantity and price or prices at which the order is executed. The system may also provide a rounding rule configurable for all conventional rounding methods.

[0060] For AUTOFILL net price trade modes, the price module 111 calculates a net price as discussed below for the order and posts it in the box 42 (FIG. 1) on the order entry screen 20. An example of a SWAP price calculation is provided below. Data from the price module is then passed on to the pre-execution module 113. The pre-execution module 113 plans and monitors execution of large orders from the QFIX\_BRASS module 105. In general, the execution module 115 receives requests from the QFIX\_BRASS module 105 for auto-takeout and logs the execution.

#### **ORDER EXECUTION**

[0061] Orders may be executed by way of order execution module 115 and an atomic order router 117. The execution module 115 plans and monitors execution of large orders through atomic orders and enforces price limits for auto-takeout. The order execution module 115 is a "dumb" system that simply executes orders under the influence of the pre-execution module 113. The pre-execution module 113 also interacts with manual overrides and cancellations to adjust the order size. Orders from the order execution module 115 are passed on to an atomic order router 117 that executes the order. The atomic order router 117 interacts with different ECNs, as well as with Nasdaq's SM, and selects the best route for execution of an immediate order. The atomic order router 117 maintains a state machine for atomic orders and provides a status, such as acknowledged, partial fill and cancelled. Various systems may be used for the pre-execution module 113 and the order execution module 115 as discussed above.

## **DATA FLOW DIAGRAM**

[0062] FIG. 4 illustrates a software data flow diagram for the automated execution and risk management system 101 for securities transactions in accordance with the present invention. Initially, the QFIX\_CMM module 103 listens for customers to

log in. During a ready condition, the QFIX\_CMM module 103 is connected to the QFIX BRASS module 105, which, in turn, is logged into the BRASS module 107. As mentioned above, the BRASS PowerData feed 107 maintains the order book for the system. Initially, in step 119, an order from a client 121 is received in FIX format. The order is converted to another format compatible with the system by the QFIX\_CMM module 103 and passed on to the QFIX\_BRASS module 105 in step 123. QFIX\_BRASS module 105 checks the order to determine if it is designated as an autofill order in step 130. If not, the system reverts to the QFIX\_BRASS module 105 in step 131. For non-autofill orders, the QFIX\_BRASS module 105, in turn, sends the order to the BRASS module 107 in step 122. The BRASS module acknowledges the order and returns an acknowledgement to the QFIX\_BRASS module 105 in step 124, which, in turn is acknowledged back to the QFIX\_CMM module 103 in step 126. The QFIX\_CMM module 103 converts the acknowledgement to FIX protocol, for example, and acknowledges the order back to the customer in step 128. Once an order is acknowledged, the client can receive full or partial execution for these orders and can cancel or replace any unexecuted portion of the order. Each of these commands and acknowledgements are handled in the same manner as discussed above.

[0063] If the order is an autofill order, the system 101 automatically executes the order according to a predetermined set of rules stored in the rules engine 109. As discussed above, these rules may include trade mode (risk, riskless, agency model), takeout mode and quantity. Other rules may also be incorporated. The rules are stored on a customer level. Clients of customers may be subjected to the same rules as the customer and for market makers as systems operators. As such, when customers place an order, the system 101 automatically retrieves the rules for the customer placing the order and automatically fills the order according to the rules for that customer if the "Place Order" button 30 (FIG. 1) is depressed on the order screen. Alternatively, if the "Snapshot" button 26 or "Reload" button 28 are depressed, the

system merely returns sample quotation data and comparative quotation data for the indicated transaction.

The system 101 continuously checks in step 130 to determine if autofill orders have been placed. If an autofill order has been placed, as determined in step 130, the system 101 checks the rules engine 109 in step 132 to determine if a rule exists in its database for the particular order, customer or symbol combination. If there is no rule, the order is handled by QFIX\_BRASS module 105 and executed per default rules, if they exist, or otherwise as a non-autofill order in step 133. If a rule does exist for the particular customer, as determined in step 132, the QFIX\_BRASS module 105 obtains the information from the rules engine 109 regarding how the order is to be processed (i.e., auto-takeout/no auto-takeout), price levels, principal auto-fill, riskless principal, trade-for-trade, or agency model-riskless with one ticket. The QFIX\_BRASS module 105 utilizes this information to format a price request for the price module 111 in step 134. In response thereto, the price module 111 returns a customer execution price and the available quantity to the QFIX\_CMM module 105 in step 136.

The system 101 next checks in step 138 whether the price request submitted by QFIX\_CMM 103 is rejected by the price module 111. If the price request is not rejected, the system 101 next checks in step 140 the trade mode. In particular, the system 101 first determines whether the order is an auto-takeout order. If not, the system 101 determines in step 142 whether the order is marked as a principal order and whether or not there is sufficient liquidity. If so, the order is formatted and sent to the QFIX\_BRASS module 105 in step 144 for execution. If there is not enough liquidity, the order is marked as "not enough liquidity available" and will revert to the QFIX\_BRASS module in 105 and follow the rule for that customer in step 145. If the order is set for auto take out, the system checks whether the order is a principal order and sufficient liquidity exists as determined in step 146, and if so, the order is sent in step 148 to the QFIX\_BRASS module 105 for execution.

[0066] In step 150, the system checks whether a partial fill rule exists. particular, if the size of the order is less than a certain percentage of the overall liquidity. the order is executed in step 151. If the order size is not less than a certain percentage of the liquidity, the limit order protection is calculated in step 152. For auto-takeout orders, in a principal mode, the system 101 determines whether enough liquidity exists, in step 154. If the order is an auto-takeout order, the lesser of the original quantity or available liquidity minus limit order protection obligations as determined in steps 152 and 158 is determined and sent to the pre-execution module 113 in step 158. The trade is then sent to the QFIX BRASS module 105 in step 160. When the trade arrives, the QFIX BRASS module 105 formats the trades and sends it to the execution module 115 in step 164. The QFIX BRASS module 105 checks in step 166 whether the order was a riskless principal order with multiple tickets or whether there was not enough liquidity. If yes, then the QFIX BRASS module 105 formats the executions and sends them to the execution module 115 after each trade is sent is step 168. If the trade order is marked riskless principal with a single ticket, as indicated in step 170, a single execution will be formatted by the QFIX\_BRASS module 105 and sent to the Brass module 105 in step 172 after the final message arrives from the pre-execution server 113. All requests for orders that are received by the QFIX CMM module 103 and executed by the system are sent back to the client. A database archives all underlying orders that comprise the price returned for snap quotes, as well as for those that comprise the actual customer execution price and the risk management take-out.

#### **NET PRICE**

The net price of any AutoFill execution is comprised of two elements. All net prices are computed at the time an order is received. The first element is the size weighted average price (SWAP) of "displayed" market participant quotes on the opposite side of the order (i.e., sell orders measure the SWAP of market participant bid

quotes; buy orders measure the SWAP of market participant offer quotes). SWAP calculations, including levels of displayed liquidity captured or included in a price, may vary from user to user. SWAP also will include any price improvement due to rounding of some ECN pricing data. Some market participant quotes (currently the American Stock Exchange) may be excluded from the SWAP calculation (and corresponding size/liquidity). The SWAP component may include the system operator's own market maker quotation (which may include customer limit orders) if the system operator's quote is at the NBBO or otherwise is necessary to fill the order, as well as any limit order received by system operator and displayed in an ECN. In a net price trade, the system operator may not be trading on a riskless principal basis. Therefore, the SWAP component of the net price may not be based on the system operator's own price when purchasing (selling) those shares and then reselling (repurchasing) them to the customer. The SWAP component only represents displayed liquidity in the market, but provides a useful, consistent pricing measurement directly related to the market as reflected in system operator's net price.

[0068] The SWAP price is calculated as provided below:

SWAP = 
$$(S_1*P_1 + S_2*P_2.... \text{ to } S_n*P_n) / (S_1 + S_2...S_n)$$
, where

 $p_n$  = price of shares

 $S_n$  = number of shares at price pn

[0069] The second element of the net price is the risk factor. Because the system operator is trading as principal and subject to market risk, the net price may be outside the actual SWAP by an amount based on the market capitalization or liquidity of

<sup>&</sup>lt;sup>1</sup> The "displayed" liquidity means market participant quotes in the national market system for Nasdaq-listed securities, including quotes by market makers and ECNs in Nasdaq's SM and the NASD's ADF, and by specialists on UTP exchanges. The displayed liquidity would not include other potential liquidity not displayed to the public; for example, it would not include any "reserve size" or undisplayed limit orders. In addition, a system operator may, from time to time, exclude from the SWAP certain quotes and volume figures from some UTP exchanges, ECNs or other market participants trading Nasdaq stocks. Omitting certain quotes and volume figures may limit a system operator's own ability to offer liquidity that may be displayed in some market centers.

the security in question, the depth of the market, the size of the order, market access fees, system operator's risk management and trading firewall policies and the trading characteristics of the user. The system operator likely will have access to all major market participants, and pay related access and user fees. The system operator may negotiate at arms length different pricing parameters including different SWAP pricing levels with each participating dealer, order routing broker-dealer or institutional customer, which may change from time to time based on the above factors.

[0070] As a principal trade at a net price, the net price will be the same price reported to the consolidated tape.

#### **Pricing Request Protocol**

[0071] Exemplary protocol for requesting pricing is provided below:

- The Liquidity Prices provider acts as a server
- The Rules Engine acts as a client
- Connection: TCP/IP, client opens connection to server on a specified port (default 31103)
- Communication between the server and client consists of ASCII text lines terminated by <EOL> sequences ("\r\n");
- (\*) After the connection is established, client is prompted for login and password: it monitors input for a string "login:" and sends login string followed by <EOL> ("\r\n"); then monitors input for string "password:" and sends password string followed by <EOL>. Server sends a string starting from an error code. Error codes are: 230 (access granted) and 530 (access denied).
- (\*) Client has 3 attempts for login, after third unsuccessful server drops the connection;
- After login, client ignores all lines starting with space (ASCII 32). They are debug messages. Client may log them.
- At any time if connection is lost the client must be able to detect that and reconnect
  to the server. After reconnection, client must (if needed) resend pending requests
  to the server.

#### **SWAP Liquidity Price Request**

Client sends request strings followed by <EOL>. Each request starts with ASCII letter 'R' for SWAP liquidity specifying that this is a request for liquidity price. Fields in the request string are separated by one or more spaces (ASCII 32). Request

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format is as follows:

## R <request id> <symbol> [-]<number of shares> <price cap> [<ECN levels>] <EOL>

- o <request id> any unique for this session integer (client may use a simple integer counter incrementing with every request; counter is reset when the connection is established)
- o <symbol> symbol for the security
- o <number of shares> integer specifying number of shares, negative value means sell, positive - buy)
- o <pri>could be 0 (means no cap), otherwise is treated as a double float value of the price cap
- o <ECN levels> number of ECN book levels included in the calculation, default - no limit. The server includes no more than specified number of price levels when looking for liquidity in available ECN books, although it uses all possible price levels of the NASDAQ montage (Level II or Super montage).
- o <EOL> "\r\n" sequence

#### Examples:

R 12345 MSFT -100 24.6 2 R 12346 AAPL 30000 0

 Server asynchronously sends reply messages. They are started with ASCII letter 'Q' and have format as follows:

## Q <request id> <symbol> [-]<number of shares> <liquidity price> (Continued from previous line) < Market 1 SWAP data> [< Market 2 SWAP data>] <EOL>

- o <request id> the original request id from the R message:
- o <symbol> symbol for the security
- o <number of shares> integer specifying number of shares, negative value means sell, positive - buy)
- o < liquidity price> double float value for the liquidity price
- o <Market SWAP data> syntax:
  - <Market Symbol>=<number of shares>:<liquidity price>
- o <EOL> "\r\n" sequence"

## Examples:

Q 12345 MSFT -100 24.6 ISLD=-100:24.6

Q 12346 AAPL 10000 14.4 ISLD=100:14.4 INST=900:14.4

If the price cap is not specified in the request message, and total market inquirity is less than specified in the request, <number of shares> gives the total available liquidity.

#### Periodical SWAP Liquidity Price Request

This request is similar to the simple SWAP Liquidity Price Request; the difference is that the client specifies the update time (in seconds) and the server sends Q packets periodically. The first Q response is sent immediately after the request. Every consecutive Q response has the same ID as the corresponded request; this gives an opportunity to distinguish between responses even if they have the same symbol and other parameters.

Syntax for the Periodical SWAP Liquidity Price Request:

P <request id> <symbol> [-]<number of shares> <price cap> <time interval> (continued) [<ECN levels>] <EOL>

<time interval> - update time in seconds (\*). Default is 1 sec.

Example:

P 12345 MSFT -100 24.6 5 2

The client will receive updates every <time interval> seconds, until it disconnects or issues an unsubscribe request in the form:

F <request id>

<reguest id> - the same request id as in the first P packet.

Example:

F 12345

(\*) - Optional

#### **BBO** request

 This type of request starts with 'B' followed by integer request id, symbol, and <EOL>:

B <request id> <symbol> <EOL>

o <request id> - any unique for this session integer (client may use a simple

integer counter incrementing with every request; counter is reset when the connection is established)

- o <symbol> symbol for the security
- <EOL> "\r\n" sequence

Example:

B 12345 MSFT

• If symbol is recognized by the server, it sends a reply starting with 'O':

O <request id> <symbol> <BBO ask>:<BBO ask size>/<BBO bid>:<BBO bid size> (Continued from previous line) [<Market BBO quote 1>] [<Market BBO quote 2>] ... EOL>

<Market BBO quote> syntax:
<Market Symbol>=<Best ask>:<Best ask size>/<Best bid>:<Best bid size>

Example:

O 12345 MSFT 25.79:18600/25.78:11000 ISLD=25.79:2000/25.77:100 IST=25.79:9400/25.78:700

# Periodical BBO request

This is a variation of the BBO request for the case if the client needs to receive BBO packets (type O) periodically. The syntax is as follows:

N <request id> <symbol> <time interval> <EOL>

<time interval> - update time in seconds (default: 1 sec)

The client will receive updates every <time interval> seconds, until it disconnects or issues an unsubscribe request in the form:

F <request id>

<request id> - the same request id as in the first N packet.

[0072]

[0073] Obviously, many modifications and variations of the present invention are possible in light of the above teachings. Thus, it is to be understood that, within the scope of the appended claims, the invention may be practiced otherwise than as specifically described above.

[0074] What is claimed and desired to be covered by a Letters Patent is as follows:

```
dir.txt
  Volume in drive D is CAF
  Volume Serial Number is OE6E-3BA2
  Directory of D:\
10/31/2003
                01:36p
                                  <DIR>
                                                        cvs
                                        30,483,968 cvs.Mon.tar
30,483,968 bytes
11/04/2003
                 10:16a
                      1 File(s)
  Directory of D:\cvs
01/01/1601
01/01/1601
09/15/2003
10/24/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/24/2003
10/31/2003
10/24/2003
10/24/2003
09/19/2003
                 12:00a
                                  <DIR>
                 12:00a
                                  <DIR>
                 09:58a
                                   <DIR>
                                                        .ssh
                 02:02p
04:08p
                                  <DIR>
                                                        auto fill VT
                                   <DIR>
                                                        autoFill
                 02:02p
                                   <DIR>
                                                        AUTOFILL_SNAPSHOT
                 04:04p
                                  <DIR>
                                                        cafweb
                 04:01p
02:54p
                                   <DIR>
                                                        cmm
                                  <DIR>
                                                        CVSROOT
                 04:01p
                                   <DIR>
                                                        ets
                 02:02p
                                  <DIR>
                                                        FOREIGN_QUOTES
                 04:08p
                                  <DIR>
                                                        prule
10/24/2003
09/19/2003
10/22/2003
10/30/2003
08/23/2002
08/23/2002
08/23/2002
08/28/2002
09/10/2002
10/30/2003
09/23/2003
10/24/2003
10/24/2003
10/24/2003
                                                        qfix
                 02:03p
                                  <DIR>
                 11:24a
                                                        syblib
                                  <DIR>
                 10:43a
                                   <DIR>
                                                        tmp
                 02:55p
                                               9,955 bash_history
                 02:01p
                                                   24 bash_logout
                                                  191 .bash_profile
124 .bashrc
                 02:01p
                 02:01p
                 05:57p
                                                  854 .emacs
                                               120 .gtkrc
5,466 .viminfo
                 01:00p
                 02:54p
08:32a
                                                    56 .xauthJccsDN
                 12:29p
10:58a
                                                    66 .Xauthority
                                                   65 .xauthzfam6x
71 backup_sh
                 11:02a
                                               5,027 cvscommitemail.pl
                 02:06p
10/27/2003
                 10:44a
                                               1,173 dead.letter
                                               23,192 bytes
                    13 File(s)
 Directory of D:\cvs\.ssh
01/01/1601
                 12:00a
                                  <DIR>
01/01/1601
                 12:00a
                                  <DIR>
09/15/2003
09/15/2003
                 09:58a
                                                  887 id_rsa
225 id_rsa.pub
                 09:58a
                04:21p
                                                  439 known_hosts
10/28/2003
                     3 File(s)
                                                1,551 bytes
 Directory of D:\cvs\AUTOFILL_SNAPSHOT
01/01/1601
01/01/1601
10/28/2003
                12:00a
12:00a
                                  <DIR>
                                  <DIR>
                03:35p
                                  <DIR>
                                                       NES_webserver
10/24/2003
                02:02p
                                  <DIR>
                                                       VT_environment
                     0 File(s)
                                                      0 bytes
 Directory of D:\cvs\AUTOFILL_SNAPSHOT\NES_webserver
01/01/1601
                12:00a
                                  <DIR>
01/01/1601
                12:00a
                                  <DIR>
10/06/2003
                07:33a
                                            770,068 AutoFill client.fla.v
                                                       Page 1
```

```
dir.txt
4,371 autoFill.jsp,v
93,096 AutoFill.swf,v
 09/25/2003
                       09:36a
 09/25/2003
                       09:36a
                                                             1,201 autoFill_fs.jsp,v
2,286 autoFill_topframe.htm,v
363 test file.htm,v
871,385 bytes
 09/25/2003
09/25/2003
                       09:36a
                       09:36a
                       03:40a
 09/29/2003
                             6 File(s)
  Directory of D:\cvs\AUTOFILL_SNAPSHOT\VT_environment
                       12:00a
                                               <DIR>
 01/01/1601
 01/01/1601
09/25/2003
                        12:00a
                                               <DIR>
                        09:36a
                                                                4,066 autoFill.asp,v
                                                                 3,902 autoFill.asp.development,v
 09/30/2003
                        12:55p
 09/25/2003
09/25/2003
09/25/2003
                                                              33,759 checkDisclaimer.asp,v
2,132 checkFlash.htm,v
                        09:36a
                        09:36a
                        09:36a
                                                              30,946 disclaimer work.htm,v
                                                                    671 signAgreement.asp,v
 09/25/2003
                       09:36a
                              6 File(s)
                                                                75,476 bytes
   Directory of D:\cvs\CVSROOT
 01/01/1601
                      12:00a
                                               <DIR>
01/01/1601

08/27/2003

09/23/2003

09/23/2003

09/23/2003

09/23/2003

09/23/2003

09/23/2003

09/23/2003

09/23/2003

09/23/2003

09/23/2003

10/24/2003

10/15/2003

08/27/2003

10/15/2003

10/15/2003

08/27/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003

10/15/2003
                        12:00a
                                               <DIR>
                       01:31p
10:59a
                                               <DIR>
                                                                            Emptydir
                                                                    493 .#checkoutlist
760 .#commitinfo
                        10:59a
                                                                987 .#config
602 .#cvswrappers
1,025 .#editinfo
                        10:59a
                        10:59a
                        10:59a
                        10:59a
                                                                1,141 .#loginfo
                                                                1,151 .#modules
564 .#notify
                        10:59a
                        10:59a
                        10:59a
                                                                    649 .#rcsinfo
                                                                879 .#taginfo
1,026 .#verifymsg
4,096 .loginfo.swp
                        10:59a
                       10:59a
02:10p
                                                                    493 checkoutlist
                       02:20p
                                                                    692 checkoutlist,v
                       01:31p
                       09:41a
                                                                    760 commitinfo
959 commitinfo,v
                       01:31p
                                                              15,616 committle
                       12:25p
                       02:20p
01:31p
                                                                987 config
1,186 config,v
                       02:20p
                                                                    602 cvswrappers
                       01:31p
02:20p
                                                                    801 cvswrappers, v
                                                             1,025 editinfo
1,224 editinfo,v
87,736 history
1,334 loginfo
1,340 loginfo,v
5,280 mail-to-dev.pl
                       01:31p
                       07:12a
                       09:57a
01:31p
10/30/2003
10/15/2003
08/27/2003
10/15/2003
08/27/2003
10/06/2003
10/15/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
                       02:54p
                                                                1,151 modules
1,350 modules,v
564 notify
                       02:20p
                       01:31p
02:20p
                                                                    763 notify, v
                       01:31p
                                                                   37 passwd
649 rcsinfo
                       11:39a
02:20p
                       01:31p
                                                                    848 rcsinfo, v
                                                                    879 taginfo
                       02:20p
                                                               1,078 taginfo,v
0 val-tags
1,026 verifymsg
                       01:31p
                       01:31p
                       02:20p
08/27/2003
                       01:31p
                                                                1,225 verifymsg,v
                                                                            Page 2
```

```
dir.txt
                    39 File(s)
                                            142,978 bytes
 Directory of D:\cvs\CVSROOT\Emptydir
01/01/1601
                 12:00a
                                 <DIR>
01/01/1601
                 12:00a
                                 <DIR>
                     0 File(s)
                                                     0 bytes
 Directory of D:\cvs\FOREIGN_QUOTES
01/01/1601
01/01/1601
                 12:00a
                                  <DIR>
                 12:00a
                                  <DIR>
09/30/2003
09/30/2003
                 12:55p
12:55p
                                              8,110 gatorXMLParser.jsp,v
1,986 globalQuotes_form.htm,v
901 globalQuotes_fs.htm,v
                 05:25a
09/29/2003
                                              10,997 bytes
                     3 File(s)
 Directory of D:\cvs\auto fill VT
01/01/1601
01/01/1601
                 12:00a
                                  <DTR>
                 12:00a
                                  <DIR>
09/23/2003
                 11:00a
                                              4,059 autoFill.asp,v
09/23/2003
                 11:00a
                                             43,039 checkDisclaimer.asp,v
                                             2,125 checkFlash.htm,v
30,939 disclaimer work.htm,v
09/23/2003
09/23/2003
                 11:00a
                 11:00a
09/23/2003
                 11:00a
                                                 664 signAgreement.asp,v
                     5 File(s)
                                              80.826 bytes
 Directory of D:\cvs\autoFill
01/01/1601
                 12:00a
                                  <DIR>
01/01/1601
10/31/2003
09/23/2003
09/23/2003
09/23/2003
09/23/2003
                 12:00a
                                 <DIR>
                 04:08p
                                                       VT_environment
                                  <DIR>
                                           410,297 AutoFill client.fla,v
4,358 autoFill.jsp,v
                 10:54a
                 10:54a
                                            93,083 Autorill.swf,v
1,188 autorill_fs.jsp,v
2,273 autorill_topframe.htm,v
511,199 bytes
                 10:54a
                 10:54a
09/23/2003
                 10:54a
                     5 File(s)
 Directory of D:\cvs\autoFill\VT_environment
01/01/1601
                 12:00a
                                 <DIR>
01/01/1601
01/01/1601
09/23/2003
09/23/2003
09/23/2003
09/23/2003
09/23/2003
                 12:00a
                                 <DIR>
                                              4,053 autoFill.asp,v
                 10:54a
                                            43,033 checkDisclaimer.asp,v
2,119 checkFlash.htm,v
                 10:54a
                 10:54a
                                             30,933 disclaimer work.htm,v
658 signAgreement.asp,v
                 10:54a
                 10:54a
                                              80.796 byteš
                     5 File(s)
 Directory of D:\cvs\cafweb
01/01/1601
01/01/1601
                12:00a
                                 <DIR>
                12:00a
                                 <DTR>
10/31/2003
                 04:04p
                                 <DIR>
                                                       src
                     0 File(s)
                                                     0 bytes
 Directory of D:\cvs\cafweb\src
01/01/1601
01/01/1601
                12:00a
                12:00a
                                 <DIR>
10/31/2003
                04:04p
                                 <DIR>
                                                       com
                                                      Page 3
```

```
dir.txt
10/31/2003 04:04p
                             <DIR>
                                              jsp
                  0 File(s)
                                             0 bytes
 Directory of D:\cvs\cafweb\src\com
01/01/1601
              12:00a
                             <DIR>
01/01/1601
              12:00a
                             <DIR>
10/31/2003
              04:04p
                             <DIR>
                                              crown
                  0 File(s)
                                             0 bytes
 Directory of D:\cvs\cafweb\src\com\crown
01/01/1601
              12:00a
                             <DIR>
01/01/1601
              12:00a
                             <DIR>
10/31/2003
              04:04p
                             <DIR>
                                              nes
                  0 File(s)
                                             0 bytes
 Directory of D:\cvs\cafweb\src\com\crown\nes
01/01/1601
              12:00a
                            <DIR>
01/01/1601
              12:00a
                             <DIR>
10/31/2003
10/31/2003
10/31/2003
10/31/2003
              04:04p
                             <DIR>
                                              common
              04:04p
                            <DIR>
                                              parser
              04:04p
                            <DIR>
                                              pricecatcher
              04:04p
                             <DIR>
                                              pricing
10/31/2003
10/31/2003
              04:04p
                            <DIR>
                                              rules
              04:04p
                             <DIR>
                                              server
10/31/2003
              04:04p
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                                              util
10/31/2003
              04:04p
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                                              web
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                                             0 bytes
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01/01/1601
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01/01/1601
              12:00a
                            <DIR>
09/22/2003
              09:55a
                                         978 Constants.java,v
                  1 File(s)
                                           978 bytes
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01/01/1601
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01/01/1601
              12:00a
                            <DIR>
09/22/2003
09/22/2003
09/22/2003
              09:55a
                                         668 IRuleEventReceiver.java,v
              09:55a
                                         882 RuleEventObject.java,v
              09:55a
                                       6,140 RuleParser.java,v
1,680 RuleParserConstants.java,v
09/22/2003
              09:55a
09/22/2003
              09:55a
                                         ,867 RuleProcessInfo.java,v
                 5 File(s)
                                       13,237 bytes
 Directory of D:\cvs\cafweb\src\com\crown\nes\pricecatcher
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01/01/1601
              12:00a
                            <DIR>
09/22/2003
09/22/2003
09/22/2003
             09:55a
09:55a
09:55a
                                       8,515 Configuration.java,v
                                      10,538 PriceCatcher.java,v
                                       1,645 PriceDataGroup.java,v
09/22/2003
09/22/2003
09/22/2003
                                       1,999 PriceDataKey.java,v
              09:55a
             09:55a
09:55a
                                     10,761 PriceDataMart.java,v
7,750 PriceDataProcessor.java,v
                 6 File(s)
                                       41,208 bytes
 Directory of D:\cvs\cafweb\src\com\crown\nes\pricing
```

Page 4

```
dir.txt
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01/01/1601
                 12:00a
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09/22/2003
09/22/2003
09/22/2003
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                 09:55a
                                                3,178 BBOPriceResp.java,v
1,301 PriceConstants.java,v
                 09:55a
09/22/2003
09/22/2003
09/22/2003
09/22/2003
                 09:55a
                                                5,260 PriceDataCache.java,v
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09:55a
                                               687 PriceException.java,v
15,386 PriceManager.java,v
16,200 PriceParser.java,v
                 09:55a
09/22/2003
09/22/2003
09/22/2003
                 09:55a
                                                    705 PriceParserException.java,v
                 09:55a
                                               15,484 PriceReader.java,v
                                                   902 PriceReq.java,v
                 09:55a
09/22/2003
09/22/2003
09/22/2003
09/22/2003
                 09:55a
                                                   794 PriceResp.java,v
                                                4,754 PriceSvrConnector.java,v
2,734 SubsMgr.java,v
                 09:55a
                 09:55a
                 09:55a
                                                4,694 SwapPriceReq.java,v
                 09:55a
09/22/2003
                                                 2,320 SwapPriceResp.java,v
                    15 File(s)
                                                76,912 bytes
  Directory of D:\cvs\cafweb\src\com\crown\nes\rules
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01/01/1601
09/22/2003
09/22/2003
                 12:00a
                                   <DIR>
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09:55a
                                                   665 IRule.java,v
768 IRuleEvalObj.java,v
09/22/2003
09/22/2003
09/22/2003
09/22/2003
09/22/2003
09/22/2003
09/22/2003
                                                   924 IRuleKey.java,v
                 09:55a
                                                8,929 NESRule.java,v
8,451 NESRuleTreeKey.java,v
5,176 RoundingRule.java,v
                 09:55a
                 09:55a
                 09:55a
                                               13,047 RoundingRuleData.java,v
                 09:55a
                 09:55a
                                                4,086 RoundingRulesCache.java,v
                 09:55a
                                                8,353 RoundingRuleTreeKey.java,v
1,700 RuleBearer.java,v
09/22/2003
                 09:55a
09/22/2003
                 09:55a
                                                1,027 RuleConstants.java,v
                                               10,370 RulesCache.java,v
63,496 bytes
09/22/2003
                 09:55a
                    12 File(s)
 Directory of D:\cvs\cafweb\src\com\crown\nes\server
01/01/1601
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01/01/1601
09/22/2003
                 12:00a
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26,590 RoundingRuleProcessor.java,v
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09/22/2003
09/22/2003
                 09:55a
                                                5,288 RuleSvrThread.java,v
3,152 SvrConfiguration.java,v
52,354 bytes
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09/22/2003
                 09:55a
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 Directory of D:\cvs\cafweb\src\com\crown\nes\util
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10/31/2003
10/31/2003
09/22/2003
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                                   <DIR>
                                                         db
                 04:04p
                                   <DIR>
                                                         logging
                                                2,645 NESUtil.java,v
2,645 bytes
                 09:55a
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01/01/1601
01/01/1601
09/22/2003
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3,199 DBConfiguration.java,v
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09/22/2003
                 09:55a
                                                3,166 DBUtil.java,v
09/22/2003
                 09:55a
                                                         Page 5
```

```
dir.txt
09/22/2003
09/22/2003
09/22/2003
                  09:55a
                                                     621 IResultSetProcessor.java,v
                                                   615 IUpdateProcessor.java,v
731 NullSQLType.java,v
                  09:55a
                  09:55a
                                                  2,949 SQLExecuter.java,v
18,072 bytes
09/22/2003
                  09:55a
                       7 File(s)
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1,658 bytes
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09/22/2003
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                  12:00a
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1,347 WebRoundRuleEvalObj.java,v
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09/22/2003
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09/22/2003
                  09:55a
                                                  1,811 WebRuleEvalObj.java,v
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09/22/2003
09/22/2003
09/22/2003
09/22/2003
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                                    <DIR>
                  09:55a
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                                                92,892 AutoFill.swf,v
1,213 autoFill_fs.jsp,v
2,289 autoFill_topframe.htm,v
19,774 AutoFillCannedData.jsp,v
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                  09:55a
                  09:55a
                  09:55a
                                                14,094 AutoFillPrice.jsp,v
747 index.jsp,v
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09/22/2003
                  09:55a
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                                                135,283 bytes
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01/01/1601
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
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                  04:01p
                                    <DIR>
                                                           esmconvert
                  04:01p
                                    <DIR>
                                                           esmcore
                  04:01p
                                    <DIR>
                                                           esmfix
                  04:01p
                                    <DIR>
                                                           esmmarketdata
                  04:01p
                                    <DIR>
                                                           esmserver
10/31/2003
                  04:01p
                                    <DIR>
                                                           esmutil
10/31/2003
10/31/2003
                  04:01p
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                                                           GFMS
                  04:01p
                                    <DIR>
                                                          mds
                  04:01p
10/31/2003
                                                          nesbrass
                                    <DIR>
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                                                         0 bytes
 Directory of D:\cvs\cmm\GFMS
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01/01/1601
10/31/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
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                                    <DIR>
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                                                           com
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195,906 GFMS.jar,v
46,864 gma.jar,v
81,991 JavaBrass.jar,v
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                  06:30a
                  06:30a
                  06:30a
                                                79,571 Util.jar,v
787,772 bytes
09/08/2003
                  06:30a
                      5 File(s)
```

Page 6

```
dir.txt
 Directory of D:\cvs\cmm\GFMS\com
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01/01/1601
10/31/2003
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               12:00a
                               <DIR>
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                                                 0 bytes
 Directory of D:\cvs\cmm\GFMS\com\cameronsystems
01/01/1601
01/01/1601
10/31/2003
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                               <DIR>
               12:00a
                               <DIR>
               04:01p
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                                                  afms
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\cmm\GFMS\com\cameronsystems\gfms
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01/01/1601
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10/31/2003
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                                                   component
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\cmm\GFMS\com\cameronsystems\gfms\component
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01/01/1601
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               12:00a
                               <DIR>
10/31/2003
               04:01p
                               <DIR>
                                                   cameronfix
                    0 File(s)
                                                 0 bytes
 Directory of D:\cvs\cmm\GFMS\com\cameronsystems\gfms\component\cameronfix
01/01/1601
01/01/1601
09/08/2003
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               12:00a
                               <DIR>
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                                            1,690 bytes
 Directory of D:\cvs\cmm\esmbrass
01/01/1601
01/01/1601
10/31/2003
10/31/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
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               12:00a
                               <DIR>
               04:01p
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                               <DIR>
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                               <DIR>
                                                  src
               02:09p
                                             599
                                                  .nbattrs,v
                                             469 build.bat,v
503 build.sh,v
               02:09p
               02:09p
               02:09p
                                           2,000 build.xml,v
               02:09p
02:09p
                                             506 doc.bat,v
                                           2,501 esmbrass.jpx,v
                                           650 testEsm.bat,v
7,228 bytes
10/24/2003
               02:09p
                   7 File(s)
 Directory of D:\cvs\cmm\esmbrass\build
01/01/1601
01/01/1601
10/31/2003
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12:00a
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                               <DIR>
               04:01p
                               <DIR>
                                                  COM
10/31/2003
               04:01p
                               <DIR>
                                                  src
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\cmm\esmbrass\build\com
01/01/1601
               12:00a
                               <DIR>
01/01/1601
10/31/2003
               12:00a
                               <DIR>
               04:01p
                               <DIR>
                                                  crown
                                                  Page 7
```

```
dir.txt
10/31/2003
               04:01p
                                <DIR>
                                                    esmbrass
10/31/2003
               04:01p
                                <DIR>
                                                    ksil
                    0 File(s)
                                                  0 bytes
 Directory of D:\cvs\cmm\esmbrass\build\com\crown
01/01/1601
               12:00a
                                <DIR>
01/01/1601
10/31/2003
                12:00a
                                <DTR>
               04:01p
                                <DIR>
                                                    nes
                    0 File(s)
                                                  0 bytes
 Directory of D:\cvs\cmm\esmbrass\build\com\crown\nes
01/01/1601
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01/01/1601
10/31/2003
                12:00a
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                                                    common
10/31/2003
                04:01p
                                <DIR>
                                                    parser
10/31/2003
10/31/2003
10/31/2003
                04:01p
                                                    pricing
                                <DIR>
                04:01p
                                <DIR>
                                                    rules
                04:01p
                                <DIR>
                                                    util
                    0 File(s)
                                                  0 bytes
 Directory of D:\cvs\cmm\esmbrass\build\com\crown\nes\common
01/01/1601
01/01/1601
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12:00a
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                                <DIR>
10/24/2003
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                                            1,102 Constants.class.v
                    1 File(s)
                                             1,102 bytes
 Directory of D:\cvs\cmm\esmbrass\build\com\crown\nes\parser
01/01/1601
01/01/1601
10/24/2003
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                12:00a
                                <DIR>
                02:09p
                                               591 IRuleEventReceiver.class,v
10/24/2003
10/24/2003
10/24/2003
                02:09p
                                               987 RuleEventObject.class,v
                                           7,276 RuleParser.class,v
2,567 RuleParserConstants.class,v
4,993 RuleProcessInfo.class,v
               02:09p
02:09p
10/24/2003
               02:09b
                    5 File(s)
                                            16,414 bytes
 Directory of D:\cvs\cmm\esmbrass\build\com\crown\nes\pricing
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01/01/1601
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10/24/2003
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                                            2,420 BBOPriceReq.class,v
10/24/2003
10/24/2003
               02:09p
                                            1,717 BBOPriceResp$BBOData.class.v
                                           2,562 BBOPriceResp.class,v
1,328 PriceConstants.class,v
               02:09p
10/24/2003
10/24/2003
               02:09p
               02:09p
                                            1,634 PriceDataCache$TSBBOPriceData.class.v
10/24/2003
10/24/2003
10/24/2003
               02:09p
02:09p
                                            1,645 PriceDataCache$TSSwapPriceData.class,v
                                            4,111 PriceDataCache.class,v
               02:09b
                                              945 PriceException.class,v
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                                           9,479 PriceManager.class,v
               02:09p
                                           9,463 PriceParser.class,v
963 PriceParserException.class,v
               02:09p
               02:09p
                                           6,544 PriceReader.class,v
               02:09p
                                           1,118 PriceReq.class,v
1,046 PriceResp.class,v
               02:09p
02:09p
10/24/2003
               02:09p
                                           4,043 PriceSvrConnector.class,v
10/24/2003
10/24/2003
10/24/2003
                                           3,408 SubsMgr.class,v
3,717 SwapPriceReq.class,v
1,568 SwapPriceResp$SwapData.class,v
               02:09p
               02:09p
               02:09p
10/24/2003
               02:09p
                                           2,118 SwapPriceResp.class,v
                                                   Page 8
```

dir.txt

19 File(s) 59.829 bytes Directory of D:\cvs\cmm\esmbrass\build\com\crown\nes\rules 01/01/1601 12:00a <DIR> 01/01/1601 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 01/01/1601 12:00a <DIR> 02:09p 1,974 CustBranch.class,v 584 IRule.class,v 665 IRuleEvalObj.class,v 02:09p 02:09p 02:09p 866 IRuleKey.class,v 02:09p 16,306 NESRule.class,v 5,940 NESRuleTreeKey.class,v 02:09p 2,138 PxLvlAllocation.class,v 02:09p 02:09p 02:09p 2,067 RefDataCache.class,v 4,961 RoundingRule.class,v 5,901 RoundingRuleData.class,v 02:09p 02:09p 02:09p 6,288 RoundingRulesCache.class,v 5,316 RoundingRuleTreeKey.class,v 1,733 RoundRuleEvalObj.class,v 02:09p 02:09p 1,967 RuleBearer.class,v 02:09p 2,657 RuleConstants.class,v 02:09p 1,503 RulesCache\$RulesComparator.class,v 02:09p 7,963 RulesCache.class.v 17 File(s) 68,829 bytes Directory of D:\cvs\cmm\esmbrass\build\com\crown\nes\util 01/01/1601 01/01/1601 10/31/2003 12:00a <DIR> 12:00a <DIR> 04:01p <DIR> db 02:09p 2,474 NESUtil.class,v 2,474 bytes 10/24/2003 1 File(s) Directory of D:\cvs\cmm\esmbrass\build\com\crown\nes\util\db 01/01/1601 12:00a <DIR> 01/01/1601 01/01/1601 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 10/24/2003 12:00a <DIR> 4,733 ConnectionMgr.class,v 4,010 DBConfiguration.class,v 2,999 DBUtil.class,v 02:09p 02:09p 02:09p 02:09p 645 IResultSetProcessor.class,v 02:09p 02:09p 620 IUpdateProcessor.class,v 1,141 NullSQLType.class,v 2,925 SQLExecuter.class,v 17,073 bytes 02:09p 7 File(s) Directory of D:\cvs\cmm\esmbrass\build\com\esmbrass 01/01/1601 01/01/1601 10/31/2003 10/31/2003 10/31/2003 10/31/2003 10/24/2003 10/24/2003 10/24/2003 12:00a 12:00a <DIR> <DIR> 04:01p <DIR> component 04:01p <DIR> constants 04:01p message <DIR> 04:01p <DIR> test 6,542 BrassAssembler.class,v 02:09p 11,137 BrassServer.class,v 23,641 BrassSession.class,v 41,320 bytes 02:09p 02:09p 3 File(s) Directory of D:\cvs\cmm\esmbrass\build\com\esmbrass\component 01/01/1601 12:00a 01/01/1601 12:00a <DIR> <DIR> Page 9

```
dir.txt
                                          5,723 Book.class,v
2,239 BookRecord.class,v
78,244 BrassComponent.class,v
 10/24/2003
                02:09p
 10/24/2003
                02:09p
10/24/2003
10/24/2003
                02:09p
02:09p
                                          14,935 ComponentHelper.class,v
10,204 DataProcessor.class,v
10/24/2003
                02:09p
 10/24/2003
                02:09p
                                            2,442 NESParser.class,v
10/24/2003
10/24/2003
10/24/2003
                02:09p
02:09p
                                          20,563 NESRuleProcessor.class,v
                                           8,613 NESSession.class,v
                02:09p
                                           6,782 OrderRule.class,v
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10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
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                02:09p
                                           4,056 RuleSvrThread$ClientHandler.class,v
                02:09p
                                           2,763 RuleSvrThread.class,v
                02:09p
                                            3,471 SecurityMapper.class,v
                02:09p
                                          36,164 SessionReaderThread.class,v
1,271 TopOfBook.class,v
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10/24/2003
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                                <DIR>
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10/24/2003
                                           2,072 ESMConstants.class,v
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                                           26,369 bytes
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10/24/2003
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10/24/2003
10/24/2003
10/24/2003
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                                           1,292 BookMessage.class,v
                                           4,404 BrassMessage.class,v
1,270
                02:09p
                02:09p
BrassMessageHashMap$BrassMessageHashMapEntry.class,v
10/24/2003 02:09p 2,511 BrassMessageHashMap.class,v
10/24/2003 02:09p 1,523 GeneralMessage.class,v
10/24/2003
10/24/2003
10/24/2003
                02:09p
                                           5,473 OrderMessage.class,v
10/24/2003
10/24/2003
               02:09p
02:09p
                                           2,249 PLTranMessage.class,v
2,745 QuoteMessage.class,v
10/24/2003
                                           1,911 RegisterMessage.class,v
               02:09p
10/24/2003
10/24/2003
10/24/2003
               02:09p
                                           1,265 ReplyMessage.class,v
                02:09p
                                           3,811 SecmstMessage.class,v
               02:09p
                                           2,331 SignonMessage.class,v
10/24/2003
               02:09p
                                           5,800 Trademessage.class,v
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                                           38,048 bytes
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01/01/1601
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10/24/2003
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                                           9,677 BrassTest.class,v
                                            9.677 bytes
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 Directory of D:\cvs\cmm\esmbrass\build\com\ksil
01/01/1601
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01/01/1601
               12:00a
                               <DIR>
10/31/2003
               04:01p
                               <DIR>
                                                   esmbrass
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                                                 0 bytes
 Directory of D:\cvs\cmm\esmbrass\build\com\ksil\esmbrass
01/01/1601 12:00a
                               <DIR>
                                                  Page 10
```

```
dir.txt
01/01/1601
                   12:00a
                                     <DIR>
01/01/1601
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/24/2003
10/24/2003
                   04:01p
                                     <DIR>
                                                            component
                   04:01p
                                     <DIR>
                                                            constants
                   04:01p
                                     <DIR>
                                                            message
                   04:01p
                                     <DIR>
                                                            test
                  02:09p
02:09p
                                                   6,717 BrassAssembler.class,v
                                                 11,352 BrassServer.class,v
12,051 BrassSession.class,v
                   02:09p
                       3 File(s)
                                                   30,120 bytes
  Directory of D:\cvs\cmm\esmbrass\build\com\ksil\esmbrass\component
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                  12:00a
12:00a
                                     <DIR>
                                     <DIR>
                   02:09p
                                                  31,626 BrassComponent.class,v
                   02:09p
                                                  14,713 ComponentHelper.class.v
                                                 14,715 ComponentHerper.Class,V
10,299 DataProcessor.class,V
3,536 SecurityMapper.class,V
13,098 SessionReaderThread.class,V
1,281 TopOfBook.class,V
74,553 bytes
                   02:09p
                   02:09p
                   02:09p
                  02:09p
10/24/2003
                       6 File(s)
  Directory of D:\cvs\cmm\esmbrass\build\com\ksil\esmbrass\constants
01/01/1601
01/01/1601
10/24/2003
                   12:00a
                                     <DIR>
                  12:00a
                                     <DIR>
                                                 24,302 BrassMessageConstants.class,v
2,041 ESMConstants.class,v
                   02:09p
10/24/2003
                  02:09p
                       2 File(s)
                                                   26,343 bytes
  Directory of D:\cvs\cmm\esmbrass\build\com\ksil\esmbrass\message
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
                  12:00a
                                     <DIR>
                  12:00a
                                     <DIR>
                  02:09p
                                                   1,478 AlertMessage.class,v
                                                  1,307 BookMessage.class,v
4,504 BrassMessage.class,v
1,300
                  02:09p
                  02:09p
10/24/2003
                  02:09p
BrassMessageHashMap$BrassMessageHashMapEntry.class,v
10/24/2003
                                                  2,546 BrassMessageHashMap.class.v
                  02:09p
10/24/2003
10/24/2003
10/24/2003
                  02:09p
02:09p
                                                   1,538 GeneralMessage class, v
                                                   5,488 OrderMessage.class,v
2,264 PLTranMessage.class,v
                  02:09p
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                  02:09p
02:09p
                                                   2,760 QuoteMessage.class,v
                                                  1,926 RegisterMessage.class,v
1,280 ReplyMessage.class,v
                  02:09p
                                                  3,826 SecmstMessage.class,v
2,346 SignonMessage.class,v
6,045 TradeMessage.class,v
38,608 bytes
                  02:09p
                  02:09p
                  02:09p
                     14 File(s)
 Directory of D:\cvs\cmm\esmbrass\build\com\ksil\esmbrass\test
01/01/1601
01/01/1601
10/24/2003
                  12:00a
                                     <DIR>
                  12:00a
                                     <DIR>
                                                  9,772 BrassTest.class,v
9,772 bytes
                  02:09p
                       1 File(s)
 Directory of D:\cvs\cmm\esmbrass\build\src
01/01/1601
01/01/1601
                  12:00a
                                     <DIR>
                  12:00a
                                     <DIR>
10/31/2003
                  04:01p
                                     <DIR>
                                                            esmbrass
                                                           Page 11
```

```
dir.txt
                 0 File(s)
                                           0 bytes
 Directory of D:\cvs\cmm\esmbrass\build\src\esmbrass
01/01/1601
             12:00a
                           <DIR>
01/01/1601
10/31/2003
             12:00a
                           <DIR>
             04:01p
                           <DIR>
                                            src
                 0 File(s)
                                           0 bytes
 Directory of D:\cvs\cmm\esmbrass\build\src\esmbrass\src
01/01/1601
             12:00a
                           <DIR>
01/01/1601
             12:00a
                           <DIR>
             04:01p
10/31/2003
                           <DIR>
                                            COM
                 0 File(s)
                                           0 bytes
 Directory of D:\cvs\cmm\esmbrass\build\src\esmbrass\src\com
01/01/1601
             12:00a
                           <DIR>
01/01/1601
10/31/2003
             12:00a
                           <DIR>
             04:01p
                           <DIR>
                                            esmbrass
10/31/2003
             04:01p
                           <DIR>
                                            ksil
                 0 File(s)
                                           0 bytes
 Directory of D:\cvs\cmm\esmbrass\build\src\esmbrass\src\com\esmbrass
01/01/1601
01/01/1601
             12:00a
                           <DIR>
             12:00a
                           <DIR>
10/31/2003
             04:01p
                           <DIR>
                                            constants
                 0 File(s)
                                           0 bytes
 Directory of D:\cvs\cmm\esmbrass\build\src\esmbrass\src\com\esmbrass\constants
01/01/1601
01/01/1601
             12:00a
                           <DIR>
             12:00a
                           <DIR>
10/24/2003
             02:09p
                                    32,939 BrassFields.class.v
                 1 File(s)
                                     32,939 bytes
 Directory of D:\cvs\cmm\esmbrass\build\src\esmbrass\src\com\ksil
01/01/1601
01/01/1601
             12:00a
                           <DIR>
             12:00a
                           <DIR>
10/31/2003
             04:01p
                                            esmbrass
                           <DIR>
                 0 File(s)
                                           0 bytes
 Directory of D:\cvs\cmm\esmbrass\build\src\esmbrass\src\com\ksil\esmbrass
01/01/1601
01/01/1601
             12:00a
                           <DIR>
             12:00a
                           <DIR>
10/31/2003
             04:01p
                           <DIR>
                                            constants
                0 File(s)
                                           0 bytes
 Directory of D:\cvs\cmm\esmbrass\build\src\esmbrass\src\com\ksil\esmbrass\constants
01/01/1601
01/01/1601
             12:00a
12:00a
                           <DIR>
                           <DIR>
10/24/2003
             02:09p
                                    32,949 BrassFields.class,v
                                     32,949 bytes
                1 File(s)
 Directory of D:\cvs\cmm\esmbrass\src
01/01/1601
01/01/1601
             12:00a
                           <DIR>
             12:00a
                           <DIR>
                                           Page 12
```

```
dir.txt
 10/31/2003 04:01p
                                 <DIR>
                                                      COM
                     0 File(s)
                                                     0 bytes
  Directory of D:\cvs\cmm\esmbrass\src\com
 01/01/1601
01/01/1601
10/31/2003
                 12:00a
                                 <DIR>
                 12:00a
                                 <DIR>
                 04:01p
                                 <DIR>
                                                      crown
 10/31/2003
                 04:01p
                                 <DIR>
                                                      esmbrass
                     0 File(s)
                                                     0 bytes
  Directory of D:\cvs\cmm\esmbrass\src\com\crown
                 12:00a
12:00a
 01/01/1601
                                 <DIR>
 01/01/1601
                                 <DIR>
 10/31/2003
                 04:01p
                                 <DIR>
                                                      nes
                     0 File(s)
                                                     0 bytes
  Directory of D:\cvs\cmm\esmbrass\src\com\crown\nes
01/01/1601
01/01/1601
10/31/2003
                 12:00a
                                 <DIR>
                 12:00a
                                 <DIR>
                 04:01p
                                 <DIR>
                                                      common
 10/31/2003
10/31/2003
10/31/2003
                 04:01p
                                 <DIR>
                                                      parser
                 04:01p
                                 <DIR>
                                                      pricing
                 04:01p
                                                      rules
                                 <DIR>
 10/31/2003
                 04:01p
                                 <DIR>
                                                      util
                     0 File(s)
                                                     0 bytes
  Directory of D:\cvs\cmm\esmbrass\src\com\crown\nes\common
01/01/1601
                 12:00a
                                 <DIR>
01/01/1601
                 12:00a
                                 <DIR>
10/24/2003
                 02:09p
                                                974 Constants.java,v
                                                  974 bytes
                     1 File(s)
  Directory of D:\cvs\cmm\esmbrass\src\com\crown\nes\parser
01/01/1601
01/01/1601
                 12:00a
                                 <DIR>
                 12:00a
                                 <DIR>
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                02:09p
                                                664 IRuleEventReceiver.java,v
                                             878 RuleEventObject.java,v
8,054 RuleParser.java,v
2,024 RuleParserConstants.java,v
                 02:09p
                02:09p
                02:09p
                02:09p
10/24/2003
                                               ,770 RuleProcessInfo.java,v
                     5 File(s)
                                             16,390 bytes
 Directory of D:\cvs\cmm\esmbrass\src\com\crown\nes\pricing
01/01/1601
                12:00a
                                 <DIR>
01/01/1601
                12:00a
                                 <DIR>
                                             2,590 BBOPriceReq.java,v
3,296 BBOPriceResp.java,v
1,324 PriceConstants.java,v
5,256 PriceDataCache.java,v
10/24/2003
10/24/2003
10/24/2003
                02:09p
02:09p
                02:09p
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                02:09p
                                            698 PriceException.java,v
15,892 PriceManager.java,v
16,636 PriceParser.java,v
                02:09p
                02:09p
                02:09p
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                02:09p
                                                716 PriceParserException.java,v
                                            16,008 PriceReader.java,v
924 PriceReq.java,v
                02:09p
                02:09p
                                                813 PriceResp.java,v
                02:09p
10/24/2003
                02:09p
                                             4,915 PriceSvrConnector.java,v
                                                     Page 13
```

```
dir.txt
10/24/2003
10/24/2003
                                                          2,730 SubsMgr.java,v
4,853 SwapPriceReq.java,v
                     02:09p
                     02:09p
10/24/2003
                                                            ,408 SwapPriceResp.java,v
                     02:09p
                                                          79,059 bytes
                         15 File(s)
 Directory of D:\cvs\cmm\esmbrass\src\com\crown\nes\rules
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                     12:00a
                                          <DIR>
                     12:00a
                                          <DIR>
                                                        14,398 AutoFillPrice.jsp,v
1,703 CustBranch.java,v
                     02:09p
                     02:09p
02:09p
                                                              661 IRule.java,v
764 IRuleEvalObj.java,v
                     02:09p
                                                        920 IRuleKey.java,v
11,926 NESRule.java,v
8,447 NESRuleTreeKey.java,v
1,736 PXLVIAllocation.java,v
                     02:09p
                     02:09p
                     02:09p
                     02:09p
02:09p
02:09p
                                                          2,040 RefDataCache.java,v
5,172 RoundingRule.java,v
                     02:09p
                                                        13,043 RoundingRuleData.java,v
                                                          4,615 RoundingRulesCache.java,v
8,349 RoundingRuleTreeKey.java,v
1,342 RoundRuleEvalObj.java,v
                     02:09p
                     02:09p
                     02:09p
                     02:09p
                                                          1,696 RuleBearer.java,v
                     02:09p
02:09p
                                                        2,225 RuleConstants.java,v
10,366 RulesCache.java,v
                                                          89,403 bytes
                         17 File(s)
  Directory of D:\cvs\cmm\esmbrass\src\com\crown\nes\util
01/01/1601
                     12:00a
                                          <DIR>
01/01/1601
10/31/2003
                     12:00a
04:01p
                                          <DIR>
                                                                     db
                                          <DIR>
                                                          2,658 NESUtil.java,v
2,658 bytes
10/24/2003
                     02:09p
                          1 File(s)
 Directory of D:\cvs\cmm\esmbrass\src\com\crown\nes\util\db
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                     12:00a
                                          <DIR>
                     12:00a
                                          <DIR>
                                                          5,255 ConnectionMgr.java,v
3,195 DBConfiguration.java,v
3,162 DBUtil.java,v
625 IResultSetProcessor.java,v
                     02:09p
                     02:09p
                     02:09p
                     02:09p
10/24/2003
10/24/2003
10/24/2003
                     02:09p
                                                              620 IUpdateProcessor.java,v
                                                          745 NullsQLType.java,v
2,923 SQLExecuter.java,v
                     02:09p
                     02:09p
                                                          16.525 bytes
                          7 File(s)
 Directory of D:\cvs\cmm\esmbrass\src\com\esmbrass
01/01/1601
01/01/1601
11/03/2003
10/31/2003
10/31/2003
10/31/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
09/19/2003
                     12:00a
                                          <DIR>
                     12:00a
02:02p
                                          <DIR>
                                          <DIR>
                                                                     component
                     04:01p
                                          <DIR>
                                                                    constants
                     04:01p
                                          <DIR>
                                                                    message
                     04:01p
                                          <DIR>
                                                                    test
                     02:09p
                                                              599 .nbattrs,v
                                                        18,051 BrassAssémbler.java,v
                     02:09p
                                                        31,421 BrassServer.java,v
37,155 BrassSession.java,v
37,031 BrassSession.latest,v
                     02:09p
                     02:09p
                     02:09p
                                                        41,165 BrassSession.my,v
                     11:11a
                                                                    Page 14
```

```
dir.txt
37,029 BrassSession.prod,v
10/24/2003 02:09p
                         7 File(s)
                                                     202,451 bytes
  Directory of D:\cvs\cmm\esmbrass\src\com\esmbrass\component
01/01/1601
01/01/1601
09/19/2003
                    12:00a
                                        <DIR>
                    12:00a
                                        <DIR>
                    11:11a
                                                     16,793 .BrassComponent.java.swp,v
10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003

10/24/2003
                    02:09p
                                                          781 .nbattrs,v
                    11:11a
                                                     16,796 .SessionReaderThread.java.swp,v
                                                      5,837 Book.java,v
4,841 Book.tmp,v
1,817 BookRecord.java,v
                    02:09p
                    11:11a
                    02:09p
                    11:11a
                                                       1,823 BookRecord.tmp,v
                                                    100,609 BrassComponent.java,v
97,950 BrassComponent.latest,v
                    02:09p
                    02:09p
                                                     97,838 BrassComponent.prod,v
35,932 ComponentHelper.java,v
24,375 DataProcessor.java,v
                    02:09p
                    02:09p
                    02:09p
                                                      2,692 NESParser.java,v
                    02:09p
                                                     24,481 NESRuleProcessor.java,v
17,724 NESRuleProcessor.java.org,v
7,996 NESSespino.java,v
                    02:09p
                    02:09p
                    02:09p
                                                     2,933 OrderRule.java,v
26,873 RoundingRuleProcessor.java,v
26,605 RoundingRuleProcessor.java.org,v
5,287 RuleSyrThread.java,v
                    02:09p
                   02:09p
02:09p
                    02:09p
                                                     7,404 SecurityMapper.java,v
47,517 SessionReaderThread.java,v
                    02:09p
                    02:09p
                    02:09p
                                                     44,078 SessionReaderThread.prod,v
                                                     1,375 TopOfBook.java,v
620,357 bytes
                   02:09p
10/24/2003
                       24 File(s)
 Directory of D:\cvs\cmm\esmbrass\src\com\esmbrass\constants
                   12:00a
01/01/1601
                                        <DIR>
01/01/1601
10/24/2003
10/24/2003
                    12:00a
                                        <DIR>
                   02:09p
02:09p
                                                     44,148 BrassFields.java,v
                                                     32,675 BrassMessageConstants.java,v
2,517 ESMConstants.java,v
                   02:09p
10/24/2003
                                                      79.340 bytes
                         3 File(s)
 Directory of D:\cvs\cmm\esmbrass\src\com\esmbrass\message
01/01/1601
01/01/1601
10/24/2003
                   12:00a
                                        <DIR>
                    12:00a
                                        <DIR>
                   02:09p
                                                      2,791 AlertMessage.java,v
10/24/2003
10/24/2003
                   02:09p
                                                       2,460 BookMessage.java,v
                                                      9,198 BrassMessage.java,v
5,504 BrassMessageHashMap.java,v
                   02:09p
10/24/2003
10/24/2003
                   02:09p
                   02:09p
                                                      2,827 GeneralMesšage.java, v
10/24/2003
10/24/2003
10/24/2003
                   02:09p
02:09p
02:09p
                                                     14,830 OrderMessage.java,v
                                                      5,882 PLTranMessage.java,v
7,057 QuoteMessage.java,v
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                                                      4,010 RegisterMessage.java,v
                   02:09p
                   02:09p
                                                      1,742 ReplyMessage.java,v
                   02:09p
                                                    12,663 SecmstMessage.java,v
4,695 SignonMessage.java,v
10/24/2003
                   02:09p
                                                    18,213 TradeMessage.java,v
91,872 bytes
10/24/2003
                   02:09p
                       13 File(s)
```

Directory of D:\cvs\cmm\esmbrass\src\com\esmbrass\test

```
dir.txt
01/01/1601
01/01/1601
10/24/2003
              12:00a
12:00a
                             <DIR>
                             <DIR>
              02:09p
                                          599 .nbattrs,v
 10/24/2003
              02:09p
                                       41,768 BrassTest.java,v
                  2 File(s)
                                       42,367 bytes
  Directory of D:\cvs\cmm\esmconvert
01/01/1601
01/01/1601
10/31/2003
10/31/2003
09/08/2003
              12:00a
                             <DIR>
              12:00a
                             <DIR>
              04:01p
                                              build
                             <DIR>
              04:01p
                             <DIR>
                                              src
                                       2,751 build.xml,v
2,751 bytes
              06:30a
                  1 File(s)
  Directory of D:\cvs\cmm\esmconvert\build
01/01/1601
01/01/1601
              12:00a
12:00a
                             <DIR>
                             <DIR>
10/31/2003
              04:01p
                             <DIR>
                                              com
                  0 File(s)
                                             0 bytes
 Directory of D:\cvs\cmm\esmconvert\build\com
01/01/1601
01/01/1601
              12:00a
                             <DIR>
              12:00a
                             <DIR>
10/31/2003
              04:01p
                                              ksi1
                             <DIR>
                  0 File(s)
                                             0 bytes
 Directory of D:\cvs\cmm\esmconvert\build\com\ksil
01/01/1601
01/01/1601
              12:00a
                             <DIR>
              12:00a
                             <DIR>
10/31/2003
              04:01p
                             <DIR>
                                              esmconvert
                  0 File(s)
                                             0 bytes
 Directory of D:\cvs\cmm\esmconvert\build\com\ksil\esmconvert
01/01/1601
01/01/1601
09/08/2003
              12:00a
                             <DIR>
              12:00a
06:30a
                             <DIR>
                                       6,450 BrassConverter.class.v
09/08/2003
              06:30a
                                       7,658 FixConverter.class,v
                  2 File(s)
                                       14,108 bytes
 Directory of D:\cvs\cmm\esmconvert\src
01/01/1601
              12:00a
                            <DIR>
01/01/1601
              12:00a
                            <DIR>
10/31/2003
              04:01p
                            <DIR>
                                              COM
                 0 File(s)
                                             0 bytes
 Directory of D:\cvs\cmm\esmconvert\src\com
01/01/1601
              12:00a
                            <DIR>
01/01/1601
              12:00a
                            <DIR>
10/31/2003
             04:01p
                            <DIR>
                                              esmconvert
                 0 File(s)
                                             0 bytes
 Directory of D:\cvs\cmm\esmconvert\src\com\esmconvert
01/01/1601
             12:00a
                            <DTR>
01/01/1601
             12:00a
                            <DIR>
09/08/2003
             06:30a
                                       9,140 BrassConverter.java,v
                                             Page 16
```

```
dir.txt
                                        11,726 FixConverter.java,v
09/08/2003
               06:30a
                                          20,866 bytes
                   2 File(s)
 Directory of D:\cvs\cmm\esmcore
01/01/1601
01/01/1601
10/31/2003
10/31/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
               12:00a
                              <DIR>
               12:00a
                              <DIR>
               04:01p
                              <DIR>
                                                 build
               04:01p
                              <DIR>
                                                 src
               02:09p
                                             599
                                                 .nbattrs,v
               02:09p
                                             496 build.bat,v
               02:09p
                                             503 build.sh,v
                                          1,734 build.xml,v
506 doc.bat,v
               02:09p
               02:09p
                   5 File(s)
                                           3,838 bytes
 Directory of D:\cvs\cmm\esmcore\build
01/01/1601
01/01/1601
10/31/2003
               12:00a
                              <DIR>
               12:00a
                              <DIR>
               04:01p
                                                  COM
                              <DIR>
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\cmm\esmcore\build\com
01/01/1601
01/01/1601
10/31/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
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                                                  esmcore
                               <DIR>
10/31/2003
               04:01p
                               <DIR>
                                                  ksil
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\cmm\esmcore\build\com\esmcore
01/01/1601
01/01/1601
10/31/2003
10/31/2003
10/31/2003
10/31/2003
               12:00a
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                               <DIR>
               04:01p
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                                                  constants
               04:01p
                                                  message
                               <DIR>
               04:01p
                               <DIR>
                                                  pubsub
               04:01p
                              <DIR>
                                                  test
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\cmm\esmcore\build\com\esmcore\constants
01/01/1601
01/01/1601
10/24/2003
               12:00a
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               12:00a
                               <DIR>
                                          3,429 ESMCoreNames.class,v
               02:09p
                                          5,259 ESMCoreValues.class,v
10/24/2003
               02:09p
                                           8,688 bytes
                   2 File(s)
 pirectory of D:\cvs\cmm\esmcore\build\com\esmcore\message
01/01/1601
01/01/1601
10/24/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
                                          3,526 ESMCoreMessage.class,v
               02:09p
10/24/2003
               02:09p
                                          2,611_ESMCoreMessageAttribute.class,v
                                           6,137 bytes
                   2 File(s)
 Directory of D:\cvs\cmm\esmcore\build\com\esmcore\pubsub
01/01/1601
01/01/1601
10/31/2003
                               <DIR>
               12:00a
               12:00a
                               <DIR>
               04:01p
                              <DIR>
                                                  util
                                          1,229 ESMCoreComponentImpl.class,v
10/24/2003
               02:09p
                                                 Page 17
```

```
dir.txt
                                         2,650 ESMCoreComponentProxy.class,v
1,153 ESMCoreListenerImpl.class,v
583 ESMCorePersistentComponentImpl$1.class,v
10/24/2003
10/24/2003
               02:09p
               02:09p
10/24/2003
               02:09p
10/24/2003
               02:09p
                                         2,837
ESMCorePersistentComponentImpl$QueueReadingThread.class,v
                                         2,628 ESMCorePersistentComponentImpl.class,v
2,079 ESMCorePublisherImpl.class,v
10/24/2003
               02:09p
10/24/2003
               02:09p
10/24/2003
10/24/2003
10/24/2003
10/24/2003
               02:09p
                                            566 IESMCoreComponent.class,v
                                            681 IESMCoreListener.class,v
646 IESMCorePersistentComponent.class,v
               02:09p
               02:09p
                                            747 IESMCorePublisher.class,v
               02:09p
                  11 File(s)
                                         15,799 bytes
 Directory of D:\cvs\cmm\esmcore\build\com\esmcore\pubsub\util
01/01/1601
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                              <DIR>
01/01/1601
10/24/2003
               12:00a
                              <DIR>
                                         1,879 ESMCoreRecordComponent.class,v
               02:09p
                                          2,038 ESMCoreReplayComponent.class,v
3,917 bytes
10/24/2003
               02:09p
                   2 File(s)
 Directory of D:\cvs\cmm\esmcore\build\com\esmcore\test
01/01/1601
               12:00a
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01/01/1601
               12:00a
                              <DIR>
10/24/2003
10/24/2003
                                         1,596 ESMCoreMessageBenchmark.class,v
2,236 ESMCoreMessagePersistenceBenchmark.class,v
               02:09p
               02:09p
10/24/2003
               02:09p
                                         1,010 ESMCoreRecordReplayTest.class,v
                                           4,842 bytes
                   3 File(s)
 Directory of D:\cvs\cmm\esmcore\build\com\ksil
01/01/1601
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01/01/1601
10/31/2003
               12:00a
                              <DIR>
               04:01p
                              <DIR>
                                                 esmcore
                   0 File(s)
                                                0 bytes
 Directory of D:\cvs\cmm\esmcore\build\com\ksil\esmcore
01/01/1601
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01/01/1601
10/31/2003
10/31/2003
10/31/2003
               12:00a
                              <DIR>
               04:01p
                              <DIR>
                                                 constants
                                                 message
               04:01p
                              <DIR>
               04:01p
                              <DIR>
                                                 pubsub
10/31/2003
               04:01p
                              <DIR>
                                                 test
                   0 File(s)
                                                0 bytes
 Directory of D:\cvs\cmm\esmcore\build\com\ksil\esmcore\constants
01/01/1601
01/01/1601
10/24/2003
               12:00a
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                              <DIR>
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10/24/2003
                                         5,162 ESMCoreValues.class,v
               02:09p
                   2 File(s)
                                           8,290 bytes
 Directory of D:\cvs\cmm\esmcore\build\com\ksil\esmcore\message
01/01/1601
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01/01/1601
               12:00a
                              <DIR>
10/24/2003
                                         3,606 ESMCoreMessage.class,v
               02:09p
                                         2,691 ESMCoreMessageAttribute.class,v
6,297 bytes
10/24/2003
               02:09p
                   2 File(s)
```

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```
dir.txt
 Directory of D:\cvs\cmm\esmcore\build\com\ksil\esmcore\pubsub
01/01/1601
01/01/1601
10/31/2003
10/24/2003
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              12:00a
                             <DIR>
              04:01p
                             <DIR>
                                               util
              02:09p
                                        1,264 ESMCoreComponentImpl.class,v
10/24/2003
10/24/2003
10/24/2003
10/24/2003
              02:09p
02:09p
02:09p
                                        2,717 ESMCoreComponentProxy.class,v
                                        1,174 ESMCoreListenerImpl.class,v
                                          593 ESMCorePersistentComponentImpl$1.class,v
              02:09p
                                        2,924
ESMCorePersistentComponentImpl$QueueReadingThread.class,v
10/24/2003
10/24/2003
              02:09p
                                        2,723 ESMCorePersistentComponentImpl.class,v
              02:09p
                                        2,118 ESMCorePublisherImpl.class,v
10/24/2003
10/24/2003
10/24/2003
              02:09p
                                          581 IESMCoreComponent.class,v
              02:09p
02:09p
                                          696 IESMCoreListener.class,v
661 IESMCorePersistentComponent.class,v
10/24/2003
              02:09p
                                          762 IESMCorePublisher.class,v
                 11 File(s)
                                        16,213 bytes
 Directory of D:\cvs\cmm\esmcore\build\com\ksil\esmcore\pubsub\util
01/01/1601
              12:00a
                             <DIR>
01/01/1601
10/24/2003
              12:00a
                             <DIR>
                                        1,906 ESMCoreRecordComponent.class,v
              02:09p
                                        2,058 ESMCoreReplayComponent.class,v 3,964 bytes
10/24/2003
              02:09p
                  2 File(s)
 Directory of D:\cvs\cmm\esmcore\build\com\ksil\esmcore\test
01/01/1601
01/01/1601
              12:00a
                             <DIR>
              12:00a
                             <DIR>
10/24/2003
10/24/2003
                                        1,606 ESMCoreMessageBenchmark.class,v
              02:09p
              02:09p
                                        2,268 ESMCoreMessagePersistenceBenchmark.class,v
10/24/2003
              02:09p
                                        1,030 ESMCoreRecordReplayTest.class,v
                  3 File(s)
                                         4,904 bytes
 Directory of D:\cvs\cmm\esmcore\src
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01/01/1601
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10/31/2003
              04:01p
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                                               com
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                                              0 bytes
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01/01/1601
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10/31/2003
              04:01p
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                                               esmcore
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                                             0 bytes
 Directory of D:\cvs\cmm\esmcore\src\com\esmcore
01/01/1601
01/01/1601
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                             <DIR>
              12:00a
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10/31/2003
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10/31/2003
10/31/2003
10/31/2003
              04:01p
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              04:01p
                             <DIR>
                                              pubsub
              04:01p
                                               test
                             <DIR>
                 0 File(s)
                                             0 bytes
 Directory of D:\cvs\cmm\esmcore\src\com\esmcore\constants
01/01/1601 12:00a
                            <DIR>
                                              Page 19
```

```
dir.txt
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10/24/2003
              02:09p
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              02:09p
10/24/2003
                                         6,884 ESMCoreValues.java,v
                  2 File(s)
                                         10,858 bytes
 Directory of D:\cvs\cmm\esmcore\src\com\esmcore\message
01/01/1601
01/01/1601
10/24/2003
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               12:00a
                              <DIR>
                                        16,794 .ESMCoreMessage.java.swp,v
               02:09p
                                         8,949 ESMCoreMessage.java,v
6,522 ESMCoreMessageAttribute.java,v
10/24/2003
10/24/2003
              02:09p
02:09p
                  3 File(s)
                                          32,265 bytes
 Directory of D:\cvs\cmm\esmcore\src\com\esmcore\pubsub
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01/01/1601
10/31/2003
10/24/2003
10/24/2003
10/24/2003
               12:00a
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               04:01p
                                                 util
                              <DIR>
                                        16,780 .ESMCorePublisherImpl.java.swp,v
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                                                 .nbattrs,v
               02:09p
               02:09p
                                          2,375 ESMCoreComponentImpl.java,v
               02:09p
                                          4,904 ESMCoreComponentProxy.java,v
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                                          1,720 ESMCoreListenerImpl java,v
               02:09p
                                         6,253 ESMCorePersistentComponentImpl.java,v
4,622 ESMCorePublisherImpl.java,v
               02:09p
               02:09p
                                            615 IESMCoreComponent.java,v
               02:09p
10/24/2003
10/24/2003
                                          1,438 IESMCoreListener.java,v
               02:09p
02:09p
                                          1,014 IESMCorePersistentComponent.java,v
10/24/2003
               02:09p
                                          1,586 IESMCorePublisher.java,v
                 11 File(s)
                                          42,084 bytes
 Directory of D:\cvs\cmm\esmcore\src\com\esmcore\pubsub\util
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10/24/2003
               12:00a
                              <DIR>
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                                          3,794 ESMCoreReplayComponent.java,v
10/24/2003
              02:09p
                   2 File(s)
                                           6,778 bytes
 Directory of D:\cvs\cmm\esmcore\src\com\esmcore\test
01/01/1601
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01/01/1601
10/24/2003
               12:00a
                              <DIR>
                                          2,235 ESMCoreMessageBenchmark.java,v
               02:09p
                                         3,606 ESMCoreMessagePersistenceBenchmark.java,v
1,206 ESMCoreRecordReplayTest.java,v
10/24/2003
               02:09p
10/24/2003
              02:09p
                  3 File(s)
                                           7,047 bytes
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               12:00a
                              <DIR>
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                              <DIR>
10/31/2003
10/24/2003
10/24/2003
10/24/2003
               04:01p
                              <DIR>
                                                 src
                                            469 build.bat,v
503 build.sh,v
               02:09p
               02:09p
                                          2,136 build.xm1,v
               02:09p
10/24/2003
              02:09p
                                            506 doc.bat,v
                  4 File(s)
                                           3,614 bytes
 Directory of D:\cvs\cmm\esmfix\build
```

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```
dir.txt
01/01/1601
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                             <DIR>
01/01/1601
              12:00a
                              <DIR>
10/31/2003
              04:01p
                             <DIR>
                                                COM
                  0 File(s)
                                               0 bytes
 Directory of D:\cvs\cmm\esmfix\build\com
01/01/1601
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01/01/1601
               12:00a
                             <DIR>
10/31/2003
10/31/2003
              04:01p
                             <DIR>
                                                crown
              04:01p
                             <DIR>
                                                esmfix
10/31/2003
              04:01p
                             <DIR>
                                                ksil
                  0 File(s)
                                               0 bytes
 Directory of D:\cvs\cmm\esmfix\build\com\crown
              12:00a
01/01/1601
                             <DTR>
01/01/1601
10/31/2003
               12:00a
                              <DIR>
              04:01p
                             <DIR>
                                                nes
                  0 File(s)
                                               0 bytes
 Directory of D:\cvs\cmm\esmfix\build\com\crown\nes
01/01/1601
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01/01/1601
               12:00a
                             <DIR>
10/31/2003
               04:01p
                             <DIR>
                                                common
              04:01p
10/31/2003
                             <DIR>
                                                parser
10/31/2003
               04:01p
                             <DIR>
                                                rules
10/31/2003
              04:01p
                             <DIR>
                                                util
                  0 File(s)
                                               0 bytes
 Directory of D:\cvs\cmm\esmfix\build\com\crown\nes\common
01/01/1601
01/01/1601
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              12:00a
                             <DIR>
                                        1,102 Constants.class,v
1,102 bytes
              02:09p
10/24/2003
                  1 File(s)
 Directory of D:\cvs\cmm\esmfix\build\com\crown\nes\parser
01/01/1601
01/01/1601
10/24/2003
              12:00a
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              12:00a
                             <DIR>
              02:09p
                                           591 IRuleEventReceiver.class,v
10/24/2003
              02:09p
                                           987 RuleEventObject.class,v
10/24/2003
10/24/2003
                                        3,450 RuleParser.class,v
1,371 RuleParserConstants.class,v
              02:09p
              02:09p
              02:09p
                                        2,498 RuleProcessInfo.class,v
10/24/2003
                                         8.897 bytes
                  5 File(s)
 Directory of D:\cvs\cmm\esmfix\build\com\crown\nes\rules
01/01/1601
01/01/1601
10/24/2003
10/24/2003
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              12:00a
                             <DIR>
                                          584 IRule.class,v
665 IRuleEvalObj.class,v
              02:09p
              02:09p
10/24/2003
10/24/2003
              02:09p
                                           807 IRuleKey.class,v
              02:09p
                                        7,088 NESRule.class.v
                                        5,640 NESRuleTreeKey.class,v
4,420 RoundingRule.class,v
10/24/2003
              02:09p
10/24/2003
              02:09p
                                        4,428 RoundingRuleData.class,v
3,737 RoundingRulesCache.class,v
10/24/2003
              02:09p
10/24/2003
10/24/2003
              02:09p
                                        5,015 RoundingRuleTreeKey.class,v
              02:09p
10/24/2003
             02:09p
                                        1,967 RuleBearer.class,v
                                               Page 21
```

```
dir.txt
                                            1,188 RuleConstants.class,v
10/24/2003
               qe0:50
                                            1,503 RulesCache$RulesComparator.class,v
10/24/2003
               02:09p
10/24/2003
               02:09p
                                            7,823 RulesCache.class,v
                  13 File(s)
                                            44,865 bytes
 Directory of D:\cvs\cmm\esmfix\build\com\crown\nes\util
01/01/1601 12:00a
                                <DIR>
01/01/1601
                12:00a
                                <DIR>
10/31/2003
               04:01p
                                                    db
                                <DIR>
                    0 File(s)
                                                   0 bytes
 Directory of D:\cvs\cmm\esmfix\build\com\crown\nes\util\db
                                <DIR>
01/01/1601
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01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
                12:00a
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                                            4,733 ConnectionMgr.class,v
4,010 DBConfiguration.class,v
2,999 DBUtil.class,v
                02:09p
                02:09p
02:09p
                                               645 IResultSetProcessor.class,v
                02:09p
                02:09p
02:09p
10/24/2003
10/24/2003
                                               620 IUpdateProcessor.class,v
                                            1,141 NullsQLType.class,v
                                            2,925 SQLExecuter.class,v
17,073 bytes
10/24/2003
                02:09p
                    7 File(s)
 Directory of D:\cvs\cmm\esmfix\build\com\esmfix
01/01/1601
01/01/1601
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12:00a
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                                <DIR>
10/31/2003
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                04:01p
                                <DIR>
10/31/2003
                                                     constants
                04:01p
                                <DIR>
10/31/2003
                04:01p
                                                     fixmodifiers
                                <DIR>
                    0 File(s)
                                                   0 bytes
 Directory of D:\cvs\cmm\esmfix\build\com\esmfix\component
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
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                                <DIR>
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                                             3,685 ComponentHelper.class,v
                02:09p
                                            5,368 CustomMessageModifier.class,v
                                           48,974 FIXComponent.class,v
48,393 FixMWAccApp.class,v
1,232 FixserverThread.class,v
                02:09p
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                02:09p
02:09p
                02:09p
                                           11,405 IDMapper.class,v
                                               658 IMessageModifier.class,v
                02:09p
                02:09p
                                            2,753 Messagevalidator.class,v
                                            2,471 NESParser.class,v
                02:09p
                02:09p
                                           10,177 NESRuleProcessor.class,v
                                            6,184 NESSession.class,v
3,260 OrderRule.class,v
3,851 RuleSvrThread$ClientHandler.class,v
                02:09p
                02:09p
                02:09p
10/24/2003
                                            2,349 RuleSvrThread.class,v
                02:09p
                                            4,079 TargetProfile.class,v
3,328 TraderExchangeIdentifier.class,v
10/24/2003
10/24/2003
                02:09p
02:09p
                                           158,167 bytes
                   16 File(s)
 pirectory of p:\cvs\cmm\esmfix\build\com\esmfix\constants
01/01/1601
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01/01/1601
10/24/2003
                12:00a
                                <DIR>
                                           26,006 Constants.class,v
                02:09p
10/24/2003
                02:09p
                                             2,011 FIXConstants.class,v
                                            28,017 bytes
                    2 File(s)
                                                    Page 22
```

## dir.txt

```
pirectory of D:\cvs\cmm\esmfix\build\com\esmfix\fixmodifiers
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01/01/1601
10/24/2003
10/24/2003
                  12:00a
                                    <DIR>
                                                  4,677 BLOOFixModifier.class,v
2,198 RITDFixModifier$RitdClient.class,v
                  02:09p
                  02:09p
                                                   6,794 RITDFixModifier.class,v
10/24/2003
                  02:09p
                       3 File(s)
                                                   13,669 bytes
 Directory of D:\cvs\cmm\esmfix\build\com\ksil
01/01/1601
01/01/1601
10/31/2003
                  12:00a
                                     <DIR>
                  12:00a
                                     <DIR>
                  04:01p
                                     <DIR>
                                                            esmfix
                       0 File(s)
                                                          0 bytes
 Directory of D:\cvs\cmm\esmfix\build\com\ksil\esmfix
01/01/1601
01/01/1601
10/31/2003
                  12:00a
                                     <DIR>
                  12:00a
                                     <DIR>
                                                            component
                  04:01p
                                     <DIR>
10/31/2003
10/31/2003
10/31/2003
                  04:01p
04:01p
                                                            constants
                                     <DIR>
                                                            fixmodifiers
                                     <DIR>
                  04:01p
                                                            monitor
                                     <DIR>
                       0 File(s)
                                                          0 bytes
 Directory of D:\cvs\cmm\esmfix\build\com\ksil\esmfix\component
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
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                                     <DIR>
                                                 12,073 BuildFixMap.class,v
                  02:09p
                                                   6,433 ComponentHelper.class,v
5,482 CustomMessageModifier.class,v
                  02:09p
                  02:09p
02:09p
                                                 30,811 FIXComponent.class,v
                                                   1,588 FIXLogger.class,v
1,389 FixMWAccApp$1.class,v
1,607 FixMWAccApp$2.class,v
                  02:09p
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                  02:09p
02:09p
                                                 28,363 FixMWACCApp.class,v
1,242 FixServerThread.class,v
11,624 IDMapper.class,v
                  02:09p
                  02:09p
02:09p
02:09p
                                                      668 IMessageModifier.class,v
                                                   2,813 Messagevalidator.class,v
                  02:09p
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                  02:09p
02:09p
                                                   2,129 MonitoringSessionListener.class,v
2,570 NESParser.class,v
                                                   8,837 NESRuleProcessor.class,v
                  02:09p
                                                   5,056 NESSession.class,v
2,651 OrderRule.class,v
1,525 PersistenceManager.class,v
3,876 RuleSyrThroad Client
                  02:09p
                  02:09p
02:09p
                  02:09p
10/24/2003
10/24/2003
10/24/2003
                  02:09p
02:09p
                                                   2,374 RuleSvrThread.class,v
1,445 SessionReaderThread$1.class,v
                                                   1,445 SessionReaderThread$2.class,v
                  02:09p
                                                 19,871 SessionReaderThread.class,v
10/24/2003
                  02:09p
                                                   4,124 TargetProfile.class,v
3,353 TraderExchangeIdentifier.class,v
10/24/2003
10/24/2003
                  02:09p
02:09p
                                                 163,349 bytes
                      25 File(s)
  Directory of D:\cvs\cmm\esmfix\build\com\ksil\esmfix\constants
                                     <DIR>
01/01/1601
                   12:00a
01/01/1601
10/24/2003
                   12:00a
                                     <DIR>
                                                  25,947 Constants.class,v
                  02:09p
                                                            Page 23
```

```
dir.txt
10/24/2003
             02:09p
                                       2,016 FIXConstants.class,v
                 2 File(s)
                                      27,963 bytes
 Directory of D:\cvs\cmm\esmfix\build\com\ksil\esmfix\fixmodifiers
01/01/1601
01/01/1601
10/24/2003
10/24/2003
              12:00a
                            <DIR>
              12:00a
                            <DIR>
              02:09p
                                       5,558 BLOOFixModifier.class,v
                                      2,223 RITDFixModifier$RitdClient.class,v
              02:09p
10/24/2003
              02:09p
                                       7,609 RITDFixModifier.class,v
                 3 File(s)
                                       15,390 bytes
 Directory of D:\cvs\cmm\esmfix\build\com\ksil\esmfix\monitor
01/01/1601
01/01/1601
10/24/2003
              12:00a
                            <DIR>
              12:00a
                            <DIR>
              02:09p
                                      1,731 ApplicationBaseMonitor.class,v
1,731 bytes
                 1 File(s)
 Directory of D:\cvs\cmm\esmfix\src
01/01/1601
01/01/1601
10/31/2003
              12:00a
                            <DIR>
              12:00a
                            <DIR>
              04:01p
                            <DIR>
                                             COM
                 0 File(s)
                                            0 bytes
 Directory of D:\cvs\cmm\esmfix\src\com
01/01/1601
              12:00a
                            <DIR>
01/01/1601
10/31/2003
              12:00a
                            <DIR>
              04:01p
                            <DIR>
                                             crown
10/31/2003
              04:01p
                            <DIR>
                                             esmfix
                 0 File(s)
                                            0 bytes
 Directory of D:\cvs\cmm\esmfix\src\com\crown
01/01/1601
              12:00a
                            <DIR>
01/01/1601
10/31/2003
              12:00a
                            <DIR>
              04:01p
                            <DIR>
                                             nes
                 0 File(s)
                                            0 bytes
 Directory of D:\cvs\cmm\esmfix\src\com\crown\nes
01/01/1601
              12:00a
                            <DIR>
01/01/1601
              12:00a
                            <DIR>
             04:01p
10/31/2003
                            <DIR>
                                             common
10/31/2003
10/31/2003
              04:01p
                            <DIR>
                                             parser
             04:01p
                            <DIR>
                                             rules
10/31/2003
             04:01p
                                             util
                            <DIR>
                 0 File(s)
                                            0 bytes
 Directory of D:\cvs\cmm\esmfix\src\com\crown\nes\common
01/01/1601
             12:00a
                            <DIR>
01/01/1601
             12:00a
                            <DIR>
09/08/2003
             06:30a
                                         996 Constants.java,v
                                          996 bytes
                 1 File(s)
 Directory of D:\cvs\cmm\esmfix\src\com\crown\nes\parser
01/01/1601
01/01/1601
             12:00a
                            <DIR>
             12:00a
                            <DIR>
09/08/2003
             06:30a
                                         686 IRuleEventReceiver.java,v
                                            Page 24
```

```
dir.txt
09/08/2003
                06:30a
                                                 900 RuleEventObject.java,v
09/08/2003
09/08/2003
                06:30a
                                              6,158 RuleParser.java,v
                06:30a
                                              1,698 RuleParserConstants.java,v
                                              3,885 RuleProcessInfo.java,v
13,327 bytes
                06:30a
09/08/2003
                     5 File(s)
 Directory of D:\cvs\cmm\esmfix\src\com\crown\nes\rules
01/01/1601
01/01/1601
09/08/2003
09/08/2003
                12:00a
                                 <DIR>
                12:00a
                                 <DIR>
                 06:30a
                                                 683 IRule java, v
                 06:30a
                                                 786 IRuleEvalObj.java,v
09/08/2003
                 06:30a
                                                 905 IRuleKey.java,v
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                                              8,947 NESRule.java,v
8,175 NESRuleTreeKey.java,v
4,018 RoundingRule.java,v
                06:30a
06:30a
                 06:30a
                06:30a
06:30a
                                              7,458 RoundingRuleData.java,v
                                              3,826 RoundingRulesCache.java,v
8,110 RoundingRuleTreeKey.java,v
                 06:30a
                06:30a
                                              1,718 RuleBearer.java,v
09/08/2003
09/08/2003
                06:30a
06:30a
                                            1,045 RuleConstants.java,v
10,150 RulesCache.java,v
                   12 File(s)
                                              55,821 bytes
 Directory of D:\cvs\cmm\esmfix\src\com\crown\nes\util
01/01/1601
                12:00a
                                 <DIR>
01/01/1601
                 12:00a
                                 <DIR>
10/31/2003
                                                      db
                04:01p
                                 <DIR>
                     0 File(s)
                                                     0 bytes
 Directory of D:\cvs\cmm\esmfix\src\com\crown\nes\util\db
01/01/1601
                12:00a
                                 <DIR>
01/01/1601
09/08/2003
                 12:00a
                                 <DIR>
                                              5,277 ConnectionMgr.java,v
3,217 DBConfiguration.java,v
                 06:30a
09/08/2003
                 06:30a
                                              3,184 DBUtil.java,v
647 IResultSetProcessor.java,v
642 IUpdateProcessor.java,v
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                06:30a
                 06:30a
                06:30a
                06:30a
                                                 767 NullsQLType.java,v
                                              2,945 SQLExecuter.java,v
16,679 bytes
09/08/2003
                06:30a
                     7 File(s)
 Directory of D:\cvs\cmm\esmfix\src\com\esmfix
01/01/1601
01/01/1601
10/31/2003
10/31/2003
                12:00a
                                 <DIR>
                12:00a
                                 <DIR>
                04:01p
                                 <DIR>
                                                      component
                04:01p
                                 <DIR>
                                                      constants
10/31/2003
                04:01p
                                                      fixmodifiers
                                 <DIR>
                    0 File(s)
                                                     0 bytes
 Directory of D:\cvs\cmm\esmfix\src\com\esmfix\component
01/01/1601
01/01/1601
                12:00a
                                 <DIR>
                12:00a
                                 <DIR>
09/19/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                11:11a
                                            16,801 .IDMapper.java.swp,v
                02:09p
                                                753 nbattrs,v
                                              5,833 ComponentHelper.java,v
9,570 CustomMessageModifier.java,v
                02:09p
                02:09p
                                            95,881 FIXComponent.java,v
                02:09p
10/24/2003
                                            89,661 FIXComponent solaris, v
                02:09p
                                                     Page 25
```

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```
dir.txt
               02:09p
                                          45,674 FixMWAccApp.java,v
10/24/2003
10/24/2003
10/24/2003
               02:09p
                                              792 FixServerThread.java,v
                                          23,235 IDMapper.java,v
1,526 IMessageModifier.java,v
               02:09p
10/24/2003
10/24/2003
09/08/2003
               02:09p
               02:09p
                                          10,323 Messagevalidator.java,v
                                          2,676 NESParser.java,v
17,727 NESRuleProcessor.java,v
11,115 NESRuleProcessor.java.org,v
               06:30a
09/08/2003
09/08/2003
09/08/2003
09/08/2003
               06:30a
06:30a
                                           7,366 NESSession.java,v
2,157 OrderRule.java,v
5,079 RuleSvrThread.java,v
3,037 TradorEvchangeTdenti
                06:30a
                06:30a
09/08/2003
10/24/2003
                06:30a
02:09p
                                            6,422 TraderExchangeIdentifier.java,v
10/24/2003
                02:09p
                                          355,628 bytes
                  19 File(s)
 Directory of D:\cvs\cmm\esmfix\src\com\esmfix\constants
                12:00a
                                <DIR>
01/01/1601
01/01/1601
10/24/2003
                12:00a
                                <DIR>
                                           35,764 Constants.java,v
                02:09p
                                            2,189 FIXConstants.jáva,v
37,953 bytes
10/24/2003
                02:09p
                    2 File(s)
 Directory of D:\cvs\cmm\esmfix\src\com\esmfix\fixmodifiers
                12:00a
                                <DIR>
01/01/1601
01/01/1601
10/24/2003
                12:00a
                                <DIR>
                                            5,616 BLOOFixModifier.java,v
                02:09p
                                           10,231 RITDFixModifier.java,v
                02:09p
10/24/2003
                                            15,847 bytes
                    2 File(s)
 Directory of D:\cvs\cmm\esmmarketdata
01/01/1601
                12:00a
                                <DIR>
01/01/1601
10/31/2003
10/31/2003
09/08/2003
                12:00a
                                <DIR>
                                                    build
                04:01p
                                <DIR>
                04:01b
                                <DIR>
                                                    src
                                               518 build.bat,v
511 build.sh,v
                06:30a
09/08/2003
09/08/2003
                06:30a
06:30a
                                            2,223 build.xml,v
                                               528 doc.bat,v
09/08/2003
                06:30a
                    4 File(s)
                                              3,780 bytes
 Directory of D:\cvs\cmm\esmmarketdata\build
01/01/1601
01/01/1601
                12:00a
                                <DIR>
                12:00a
                                <DIR>
                                                    com
10/31/2003
                04:01p
                                <DIR>
                                                   0 bytes
                    0 File(s)
 Directory of D:\cvs\cmm\esmmarketdata\build\com
01/01/1601
01/01/1601
                12:00a
                                <DIR>
                12:00a
                                <DIR>
                                                    ksil
10/31/2003
                04:01p
                                <DIR>
                    0 File(s)
                                                   0 bytes
  Directory of D:\cvs\cmm\esmmarketdata\build\com\ksil
01/01/1601
01/01/1601
                12:00a
                                <DIR>
                12:00a
                                <DIR>
 10/31/2003
                                                    esmmarketdata
                04:01p
                                 <DIR>
                    0 File(s)
                                                   0 bytes
                                                    Page 26
```

## dir.txt

```
Directory of D:\cvs\cmm\esmmarketdata\build\com\ksil\esmmarketdata
01/01/1601
              12:00a
                             <DIR>
01/01/1601
              12:00a
                             <DIR>
10/31/2003
              04:01p
                             <DIR>
                                              combined
10/31/2003
              04:01p
                             <DIR>
                                              service
10/31/2003
              04:01p
                             <DIR>
                                              util
                  0 File(s)
                                             0 bytes
 pirectory of D:\cvs\cmm\esmmarketdata\build\com\ksil\esmmarketdata\combined
01/01/1601
01/01/1601
09/08/2003
              12:00a
                             <DIR>
              12:00a
                             <DIR>
              06:30a
                                       6,792 CombinedService.class,v
                  1 File(s)
                                         6,792 bytes
 Directory of D:\cvs\cmm\esmmarketdata\build\com\ksil\esmmarketdata\service
01/01/1601
01/01/1601
10/31/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
              12:00a
                             <DIR>
              12:00a
                             <DIR>
                                               rtp
              04:01p
                             <DIR>
                                        3,956 BBOService.class,v
              06:30a
              06:30a
06:30a
                                       5,085 FBService.class,v
7,840 LoginService.class,v
                                        3,719 LSPService.class,v
              06:30a
09/08/2003
09/08/2003
09/08/2003
              06:30a
                                       1,434 MarketDataService$1.class,v
                                        5,493 MarketDataService.class,v
              06:30a
              06:30a
                                        4,040 PositionService.class,v
09/08/2003
09/08/2003
09/08/2003
                                          505 pubring pgp,v
              06:30a
              06:30a
06:30a
                                       2,996 StatisticService.class,v
3,804 StatusService.class,v
                                       38,872 bytes
                10 File(s)
 Directory of D:\cvs\cmm\esmmarketdata\build\com\ksil\esmmarketdata\service\rtp
01/01/1601
              12:00a
                             <DIR>
01/01/1601
09/08/2003
              12:00a
06:30a
                             <DIR>
                                        4,932 RTP.class,v
09/08/2003
              06:30a
                                        4,578 RTPService.class,v
                                         9,510 bytes
                  2 File(s)
 Directory of D:\cvs\cmm\esmmarketdata\build\com\ksil\esmmarketdata\util
              12:00a
                             <DIR>
01/01/1601
01/01/1601
              12:00a
                             <DIR>
                                       1,933 PasswordProvider.class.v
09/08/2003
              06:30a
                                       3,254 UserManager.class,v
09/08/2003
             06:30a
                  2 File(s)
                                         5,187 bytes
 Directory of D:\cvs\cmm\esmmarketdata\src
              12:00a
01/01/1601
                             <DIR>
01/01/1601
              12:00a
                             <DIR>
10/31/2003
              04:01p
                                              COM
                             <DIR>
                  0 File(s)
                                             0 bytes
 Directory of D:\cvs\cmm\esmmarketdata\src\com
01/01/1601
             12:00a
                             <DIR>
01/01/1601
              12:00a
                             <DIR>
10/31/2003
                                              ksil
              04:01p
                             <DIR>
                  0 File(s)
                                             0 bytes
                                              Page 27
```

dir.txt

```
Directory of D:\cvs\cmm\esmmarketdata\src\com\ksil
01/01/1601
01/01/1601
                12:00a
                               <DIR>
                12:00a
                               <DIR>
10/31/2003
                04:01p
                                <DIR>
                                                   esmmarketdata
                   0 File(s)
                                                  0 bytes
 Directory of D:\cvs\cmm\esmmarketdata\src\com\ksil\esmmarketdata
01/01/1601
01/01/1601
10/31/2003
10/31/2003
                12:00a
                               <DIR>
                12:00a
                                <DIR>
                04:01p
                                <DIR>
                                                   combined
               04:01p
                                <DIR>
                                                   service
                04:01p
10/31/2003
                                <DIR>
                                                   util
                    0 File(s)
                                                  0 bytes
 Directory of D:\cvs\cmm\esmmarketdata\src\com\ksil\esmmarketdata\combined
01/01/1601
01/01/1601
                12:00a
12:00a
                                <DIR>
                                <DIR>
09/08/2003
                06:30a
                                          14,486 CombinedService.iava.v
                                            14,486 bytes
                    1 File(s)
 Directory of D:\cvs\cmm\esmmarketdata\src\com\ksil\esmmarketdata\service
01/01/1601
01/01/1601
10/31/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                12:00a
                                <DIR>
                12:00a
                               <DIR>
                04:01p
                                <DIR>
                                                   rtp
                                              806
                06:30a
                                                   .nbattrs,v
                                          7,212 BBOService.java,v
10,247 FBService.java,v
                06:30a
                06:30a
                                          20,270 LoginService.jáva,v
                06:30a
09/08/2003
09/08/2003
                                          6,656 LSPService.java,v
14,594 MarketDataService.java,v
                06:30a
                06:30a
                                            7,305 PositionService.java,v
09/08/2003
                06:30a
09/08/2003
09/08/2003
                                           505 pubring.pgp,v
4,529 StatisticService.java,v
                06:30a
               06:30a
06:30a
                                            6,836 StatusService.java,v
09/08/2003
                  10 File(s)
                                           78,960 bytes
 Directory of D:\cvs\cmm\esmmarketdata\src\com\ksil\esmmarketdata\service\rtp
01/01/1601
01/01/1601
09/08/2003
09/08/2003
               12:00a
                               <DIR>
                12:00a
                               <DIR>
               06:30a
                                              621 .nbattrs,v
                                          16,566 RTP.java,v
8,502 RTPService.java,v
25,689 bytes
               06:30a
09/08/2003
               06:30a
                   3 File(s)
 Directory of D:\cvs\cmm\esmmarketdata\src\com\ksil\esmmarketdata\util
01/01/1601
01/01/1601
09/08/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
                                          2,807 PasswordProvider.java,v
10,329 UserManager.java,v
               06:30a
09/08/2003
               06:30a
                   2 File(s)
                                           13,136 bytes
 Directory of D:\cvs\cmm\esmserver
01/01/1601
01/01/1601
10/31/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
                                                   build
               04:01p
                               <DIR>
                                                  Page 28
```

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```
dir.txt
10/31/2003
10/24/2003
10/24/2003
10/24/2003
               04:01p
                              <DIR>
                                                src
                                           496 build.bat,v
               02:09p
               02:09p
                                           502 build.sh,v
                                         1,923 build.xml,v
               02:09p
                                           506 doc.bat,v
10/24/2003
               02:09p
                  4 File(s)
                                          3,427 bytes
 Directory of D:\cvs\cmm\esmserver\build
               12:00a
                              <DIR>
01/01/1601
               12:00a
                              <DIR>
01/01/1601
10/31/2003
               04:01p
                              <DIR>
                                                 com
                   0 File(s)
                                                0 bytes
 Directory of D:\cvs\cmm\esmserver\build\com
01/01/1601
01/01/1601
10/31/2003
10/31/2003
               12:00a
                              <DIR>
               12:00a
                              <DIR>
               04:01p
                              <DIR>
                                                 esmserver
               04:01p
                              <DIR>
                                                 ksi1
                   0 File(s)
                                                0 bytes
 Directory of D:\cvs\cmm\esmserver\build\com\esmserver
01/01/1601
01/01/1601
10/31/2003
10/31/2003
               12:00a
                              <DIR>
               12:00a
                              <DIR>
               04:01p
                              <DIR>
                                                 beans
                                                 constants
               04:01p
                              <DIR>
10/31/2003
10/24/2003
10/24/2003
10/24/2003
               04:01p
                              <DIR>
                                                 manager
                                        29,060 ESMServer.class,v
               02:09p
                                         5,783 ESMServer_Skel.class,v
9,910 ESMServer_Stub.class,v
1,437 IESMServer.class,v
               02:09p
               02:09p
10/24/2003
               02:09p
                                          46,190 bytes
                   4 File(s)
 Directory of D:\cvs\cmm\esmserver\build\com\esmserver\beans
01/01/1601
01/01/1601
               12:00a
                              <DIR>
               12:00a
                              <DIR>
                                          6,577 ESMServerBean.class,v
6,577 bytes
10/24/2003
               02:09p
                   1 File(s)
 Directory of D:\cvs\cmm\esmserver\build\com\esmserver\constants
01/01/1601
01/01/1601
               12:00a
                              <DIR>
               12:00a
                              <DIR>
                                          1,720 ESMServerConstants.class,v
10/24/2003
               02:09p
                                           1,720 bytes
                   1 File(s)
 Directory of D:\cvs\cmm\esmserver\build\com\esmserver\manager
01/01/1601
               12:00a
                              <DIR>
01/01/1601
               12:00a
                              <DIR>
                                          2,192 ComponentManager$ConnectThread.class,v
10/24/2003
10/24/2003
               02:09p
                                          4,984 ComponentManager.class,v
7,176 bytes
               02:09p
                   2 File(s)
 Directory of D:\cvs\cmm\esmserver\build\com\ksil
01/01/1601
01/01/1601
               12:00a
                               <DIR>
               12:00a
                               <DIR>
10/31/2003
               04:01p
                                                 esmserver
                              <DTR>
                   0 File(s)
                                                0 bytes
                                                Page 29
```

## dir.txt

```
pirectory of D:\cvs\cmm\esmserver\build\com\ksil\esmserver
01/01/1601
01/01/1601
10/31/2003
                12:00a
                                <DIR>
                12:00a
                                <DIR>
                04:01p
                                <DIR>
                                                   beans
10/31/2003
10/31/2003
10/31/2003
10/24/2003
10/24/2003
10/24/2003
                04:01p
                                <DIR>
                                                   constants
                04:01p
                                <DIR>
                                                   manager
                                          16,234 ESMServer.class,v
                02:09p
                                           5,793 ESMServer_Skel.class,v
9,930 ESMServer_Stub.class,v
1,442 IESMServer.class,v
                02:09p
                02:09p
                02:09p
                                            33,399 bytes
                    4 File(s)
 Directory of D:\cvs\cmm\esmserver\build\com\ksil\esmserver\beans
01/01/1601
01/01/1601
10/24/2003
                12:00a
                                <DIR>
                12:00a
                                <DIR>
                                            6,515 ESMServerBean.class,v
                02:09p
                    1 File(s)
                                             6,515 bytes
 Directory of D:\cvs\cmm\esmserver\build\com\ksil\esmserver\constants
01/01/1601
01/01/1601
10/24/2003
                12:00a
                                <DIR>
                12:00a
                                <DIR>
                                            1,734 ESMServerConstants.class,v
                02:09p
                    1 File(s)
                                             1,734 bytes
 Directory of D:\cvs\cmm\esmserver\build\com\ksil\esmserver\manager
01/01/1601
01/01/1601
10/24/2003
10/24/2003
                12:00a
                                <DIR>
                12:00a
                                <DIR>
                                            2,226 ComponentManager$ConnectThread.class,v
                02:09p
                                            5,068 ComponentManager.class,v
7,294 bytes
                02:09p
                    2 File(s)
 Directory of D:\cvs\cmm\esmserver\src
01/01/1601
                12:00a
                                <DIR>
01/01/1601
                12:00a
                                <DIR>
10/31/2003
                04:01p
                                <DIR>
                                                    com
                    0 File(s)
                                                  0 bytes
 Directory of D:\cvs\cmm\esmserver\src\com
01/01/1601
01/01/1601
                12:00a
                                <DIR>
                12:00a
                                <DIR>
10/31/2003
                04:01p
                                <DIR>
                                                   esmserver
                    0 File(s)
                                                  0 bytes
 Directory of D:\cvs\cmm\esmserver\src\com\esmserver
01/01/1601
01/01/1601
10/31/2003
10/31/2003
10/31/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                12:00a
                                <DIR>
                12:00a
                                <DIR>
                04:01p
                                                   beans
                                <DIR>
                04:01p
                                                   constants
                                <DIR>
                04:01p
                                                   manager
                                <DIR>
                02:09p
                                            2,089 .nbattrs,v
                02:09p
                                          33,147 ESMServer.java,v
                                              391 ESMServer.rmi,v
                02:09p
                                             .268 IESMServer.java,v
                02:09p
                                           40,895 bytes
                    4 File(s)
```

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```
dir.txt
 Directory of D:\cvs\cmm\esmserver\src\com\esmserver\beans
01/01/1601
               12:00a
                               <DIR>
               12:00a
01/01/1601
                               <DIR>
                                         13,920 ESMServerBean.java,v
10/24/2003
               02:09p
                   1 File(s)
                                          13,920 bytes
 Directory of D:\cvs\cmm\esmserver\src\com\esmserver\constants
               12:00a
                               <DIR>
01/01/1601
               12:00a
01/01/1601
                               <DIR>
                                           1,527 ESMServerConstants.java,v
1,527 bytes
10/24/2003
               02:09p
                   1 File(s)
 Directory of D:\cvs\cmm\esmserver\src\com\esmserver\manager
01/01/1601
               12:00a
                               <DIR>
                               <DIR>
01/01/1601
               12:00a
                                         16,790 .ComponentManager.java.swp,v
595 .nbattrs,v
10/24/2003
10/24/2003
               02:09p
               02:09p
10/24/2003
                                          11,446 ComponentManager.java,v
               02:09p
                   3 File(s)
                                           28,831 bytes
 Directory of D:\cvs\cmm\esmutil
01/01/1601
01/01/1601
10/31/2003
10/31/2003
10/24/2003
10/24/2003
10/24/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
                                                   build
               04:01p
                               <DIR>
               04:01p
02:09p
                               <DIR>
                                                   src
                                             802 .nbattrs,v
               02:09p
                                              469 build.bat,v
                                           503 build.sh,v
2,035 build.xml,v
506 doc.bat,v
               02:09p
10/24/2003
10/24/2003
               02:09p
               02:09p
                                            4,315 bytes
                    5 File(s)
 Directory of D:\cvs\cmm\esmutil\build
01/01/1601
01/01/1601
10/31/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
               04:01p
                               <DIR>
                                                   com
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\cmm\esmutil\build\com
01/01/1601
01/01/1601
10/31/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
               04:01p
                               <DIR>
                                                   esmutil
10/31/2003
               04:01p
                               <DIR>
                                                  ksil
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\esmutil
01/01/1601
01/01/1601
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
               04:01p
                               <DIR>
                                                   connection
               04:01p
04:01p
                               <DIR>
                                                   database
                                                   exception
                               <DIR>
                                                  game
list
               04:01p
                               <DIR>
               04:01p
                               <DIR>
               04:01p
                                                   lock
                               <DIR>
               04:01p
                               <DIR>
                                                   logging
10/31/2003
               04:01p
                               <DIR>
                                                   map
                                                  Page 31
```

```
dir.txt
              04:01p
10/31/2003
                              <DIR>
                                                 persistence
10/31/2003
               04:01p
                              <DIR>
                                                 queue
10/31/2003
10/31/2003
10/31/2003
10/31/2003
               04:01p
                              <DIR>
                                                 security
              04:01p
                              <DIR>
                                                 stream
              04:01p
                              <DIR>
                                                 transport
                  0 File(s)
                                                0 bytes
 pirectory of D:\cvs\cmm\esmutil\build\com\esmutil\connection
                              <DIR>
               12:00a
01/01/1601
01/01/1601
10/24/2003
               12:00a
                              <DIR>
               02:09p
                                          1,555 ConnectionImpl.class,v
10/24/2003
               02:09p
                                          2,218 ConnectionManager.class,v
              02:09p
10/24/2003
                                            671 IConnection class, v
                   3 File(s)
                                           4,444 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\esmutil\database
01/01/1601
               12:00a
                              <DIR>
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
               12:00a
                              <DIR>
                                          4,597 DBBase.class,v
1,946 DBManager.class,v
               02:09p
               02:09p
                                          1,688 DBQuery.class,v
               02:09p
                                            706 IQuery class, v
               02:09p
                                            509 QueryBase$QueryKey.class,v
               02:09p
               02:09p
                                          3,181 QueryBase.class,v
                                            767 QueryException.class,v
               02:09p
                                          14,394 bytes
                   7 File(s)
 Directory of D:\cvs\cmm\esmutil\build\com\esmutil\exception
01/01/1601
01/01/1601
               12:00a
                              <DIR>
               12:00a
                              <DIR>
10/24/2003
                                            981 ESMException.class,v
               02:09p
                   1 File(s)
                                             981 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\esmutil\game
01/01/1601
01/01/1601
               12:00a
                              <DIR>
               12:00a
                              <DIR>
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                                          4,927 GameServer.class,v
               02:09p
               02:09p
02:09p
                                          2,463 GameServer_Skel.class,v
4,193 GameServer_Stub.class,v
               02:09p
                                            796 IGameServer.class,v
                                          738 IPlayer.class,v
1,436 Player.class,v
               02:09p
10/24/2003
10/24/2003
               02:09p
               02:09p
                                          2,560 Player_skel.class,v
                                          4,728 Player_Stub.class,v
10/24/2003
              02:09p
                  8 File(s)
                                          21,841 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\esmutil\list
01/01/1601
01/01/1601
10/24/2003
               12:00a
                              <DIR>
              12:00a
                              <DIR>
                                          2,794 ESMPersistentArrayList.class,v
              02:09p
                                          2,277 ListEvent.class,v
5,071 bytes
10/24/2003
              02:09p
                  2 File(s)
 Directory of D:\cvs\cmm\esmutil\build\com\esmutil\lock
01/01/1601
              12:00a
                              <DIR>
01/01/1601 12:00a
10/24/2003 02:09p
                              <DIR>
                                          1,504 DistributedLock$1.class.v
                                                 Page 32
```

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```
dir.txt
10/24/2003
10/24/2003
10/24/2003
                                              1,841 DistributedLock$LockWaiter.class,v
                02:09p
                                              6,139 DistributedLock.class,v
                02:09p
                                             12,421 LockManager.class,v
                 02:09p
                                              1,079 Mutex.class,v
22,984 bytes
                02:09p
10/24/2003
                     5 File(s)
 Directory of D:\cvs\cmm\esmutil\build\com\esmutil\logging
01/01/1601
                 12:00a
                                  <DIR>
01/01/1601
10/31/2003
                 12:00a
                                  <DIR>
                                  <DIR>
                                                       monitor
                 04:01p
                                                 626 BroadcastTransportLogger$1.class,v
10/24/2003
10/24/2003
                 02:09p
02:09p
                                              2,590 BroadcastTransportLogger$LogEntry.class,v 4,811 BroadcastTransportLogger.class,v
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                 02:09p
                 02:09p
02:09p
                                               1,012 ILogger.class,v
1,941 LoggerManager.class,v
3,477 MonitorApplet.class,v
                 02:09p
                                               14.457 bytes
                     6 File(s)
  Directory of D:\cvs\cmm\esmutil\build\com\esmutil\logging\monitor
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                                  <DIR>
                 12:00a
                 12:00a
                                  <DIR>
                                               2,093 HighlightTable.class,v
                 02:09p
                                               3,662 LogMonitorTableModel.class,v
2,672 PlaySound.class,v
                 02:09p
                 02:09p
                                               609 ResponseTimer$1.class,v
2,305 ResponseTimer$OrderAckStatistic.class,v
6,560 ResponseTimer.class,v
                 02:09p
                 02:09p
 10/24/2003
10/24/2003
                 02:09p
02:09p
                                               2,591 TransportLogListener.class,v
                                               3,110 TransportLogMonitorApplication.class,v
10/24/2003
10/24/2003
                 02:09p
                                               2,668 TransportLogMonitorFrame$1.class,v5,677 TransportLogMonitorFrame.class,v
                 02:09p
 10/24/2003
                 02:09p
                                               31.947 bytes
                    10 File(s)
  Directory of D:\cvs\cmm\esmutil\build\com\esmutil\map
01/01/1601
01/01/1601
10/24/2003
10/24/2003
                                  <DIR>
                 12:00a
                 12:00a
                                  <DIR>
                                               2,387 ESMMap.class,v
                 02:09p
02:09p
                                               3,322 ESMPersistentMap.class,v
2,761 MapEvent.class,v
2,206 StringSerializer.class,v
10,676 bytes
 10/24/2003
                 02:09p
 10/24/2003
                 02:09p
                      4 File(s)
  Directory of D:\cvs\cmm\esmutil\build\com\esmutil\persistence
01/01/1601
01/01/1601
10/24/2003
                                  <DIR>
                 12:00a
                 12:00a
                                  <DIR>
                                                  812 IESMSerializable.class,v
675 ITransactionLog.class,v
                 02:09p
 10/24/2003
10/24/2003
10/24/2003
                 02:09p
                                                  605 ITransactionLogHandler.class,v
                 02:09p
                                                  634
                                                        PersistentSequenceNumber$1.class,v
                 02:09p
                                               2,589
 10/24/2003
                 02:09p
 PersistentSequenceNumber$PersistentSequenceNumberSeriali.class,v
                                               2,413 PersistentSequenceNumber class,v
 10/24/2003
                 02:09p
                                               604 TransactionLog$1.class,v
1,899 TransactionLog$LogWaiter.class,v
 10/24/2003
                 02:09p
 10/24/2003
10/24/2003
                 02:09p
                                                4,258 TransactionLog.class,v
                 02:09p
                                               7,531 TransactionLogManager.class,v
22,020 bytes
 10/24/2003
                 02:09p
                    10 File(s)
  Directory of D:\cvs\cmm\esmutil\build\com\esmutil\queue
```

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dir.txt

```
01/01/1601
               12:00a
                               <DIR>
01/01/1601
10/24/2003
10/24/2003
10/24/2003
               12:00a
02:09p
                               <DIR>
                                          2,874 ESMPersistentQueue.class,v
               02:09p
                                             574 ESMQueue$1.class,v
                                          2,034 ESMQueue$QueueNode.class.v
               02:09p
10/24/2003
10/24/2003
10/24/2003
               02:09p
                                          1,775 ESMQueue$QueueWaiter.class,v
               02:09p
                                          3,268 ESMQueue.class,v
               02:09p
                                          1,502 ObjectWrapper.class,v
10/24/2003
               02:09p
                                          2,364 QueueEvent.class,v
                   7 File(s)
                                          14.391 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\esmutil\security
               12:00a
01/01/1601
                               <DIR>
01/01/1601
10/24/2003
               12:00a
                               <DIR>
                                          1,732 SecurityDetails.class,v
7,146 SecurityMapper.class,v
8,878 bytes
               02:09p
10/24/2003
               02:09p
                   2 File(s)
 Directory of D:\cvs\cmm\esmutil\build\com\esmutil\stream
01/01/1601
               12:00a
                               <DIR>
01/01/1601
10/24/2003
10/24/2003
10/24/2003
               12:00a
02:09p
                               <DTR>
                                          8,354 ConversionUtilities.class,v
1,916 ESMInputOutputStream.class,v
               02:09p
               02:09p
                                             971 ESMInputStream$ResultPair.class,v
10/24/2003
10/24/2003
               02:09p
                                          3,363 ESMInputStream.class,v
               02:09p
                                          1,834 ESMOutputStream.class,v
10/24/2003
               02:09p
                                          1,302 OffsetTracker.class,v
                                          17,740 bytes
                   6 File(s)
 Directory of D:\cvs\cmm\esmutil\build\com\esmutil\transport
01/01/1601
               12:00a
                               <DIR>
01/01/1601
10/24/2003
               12:00a
                               <DIR>
               02:09p
                                             591 IBroadcastListener.class,v
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
               02:09p
                                            833 IESMBroadcastTransport.class,v
                                          1,039 IMapMessage.class,v
1,293 TibcoBroadcastTransport$1.class,v
               02:09p
               02:09p
                                          1,567 TibcoBroadcastTransport$2.class,v
               02:09p
                                          3,075
               02:09p
                                                 TibcoBroadcastTransport.class,v
               02:09p
                                          3,409 TibcoMapMessage.class,v
10/24/2003
               02:09p
                                          1,574 TransportManager.class,v
                   8 File(s)
                                          13,381 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\ksil
01/01/1601
               12:00a
                              <DIR>
01/01/1601
               12:00a
                              <DIR>
10/31/2003
               04:01p
                               <DIR>
                                                 esmutil
                   0 File(s)
                                                0 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil
01/01/1601
01/01/1601
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
               12:00a
                              <DIR>
               12:00a
                              <DIR>
               04:01p
                               <DIR>
                                                 connection
               04:01p
                              <DIR>
                                                 database
               04:01p
                              <DIR>
                                                 exception
               04:01p
                              <DIR>
                                                 game
               04:01p
                               <DIR>
                                                  list
10/31/2003
               04:01p
                              <DIR>
                                                 lock
```

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```
dir.txt
10/31/2003
10/31/2003
10/31/2003
               04:01p
                              <DIR>
                                                 logging
               04:01p
                              <DIR>
                                                 map
               04:01p
                              <DIR>
                                                persistence
10/31/2003
10/31/2003
10/31/2003
10/31/2003
               04:01p
                              <DIR>
                                                 queue
               04:01p
                              <DIR>
                                                 security
               04:01p
                              <DIR>
                                                 stream
10/31/2003
               04:01p
                              <DIR>
                                                 transport
                   0 File(s)
                                               0 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\connection
01/01/1601
               12:00a
                              <DIR>
01/01/1601
10/24/2003
               12:00a
                              <DIR>
                                         1,600 ConnectionImpl.class,v
               02:09p
10/24/2003
               02:09p
                                         2,254 ConnectionManager.class,v
10/24/2003
               02:09p
                                            686 IConnection.class.v
                   3 File(s)
                                           4,540 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\database
01/01/1601
               12:00a
                              <DIR>
01/01/1601
10/24/2003
               12:00a
                              <DIR>
               02:09p
                                         4,607 DBBase.class,v
                                         1,976 DBManager.class.v
10/24/2003
               02:09p
10/24/2003
10/24/2003
                                         1,718 DBQuery.class,v
               02:09p
               02:09p
                                            716 IQuery class, v
10/24/2003
10/24/2003
               02:09p
                                         1,534 QueryBase$QueryKey.class,v
                                         3,261 QueryBase.class,v
777 QueryException.class,v
               02:09p
10/24/2003
               02:09p
                   7 File(s)
                                         14,589 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\exception
01/01/1601
01/01/1601
               12:00a
                              <DIR>
               12:00a
                              <DIR>
10/24/2003
              02:09p
                                            991 ESMException.class,v
                   1 File(s)
                                             991 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\game
01/01/1601
01/01/1601
10/24/2003
10/24/2003
              12:00a
                              <DIR>
               12:00a
                              <DIR>
              02:09p
02:09p
                                         4,982 GameServer.class,v
                                         2,497 GameServer_Skel.class,v
4,242 GameServer_Stub.class,v
10/24/2003
10/24/2003
10/24/2003
              02:09p
              02:09p
                                           811 IGameServer.class,v
                                         743 IPlayer.class,v
1,451 Player.class,v
              02:09p
10/24/2003
10/24/2003
              02:09p
                                         2,570 Player_Skel.class,v
4,748 Player_Stub.class,v
              02:09p
10/24/2003
              02:09p
                  8 File(s)
                                         22,044 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\list
01/01/1601
              12:00a
                              <DIR>
01/01/1601
10/24/2003
              12:00a
                              <DIR>
              02:09p
                                         2,888 ESMPersistentArrayList.class,v
10/24/2003
                                         2,341 ListEvent.class,v
5,229 bytes
              02:09p
                  2 File(s)
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\lock
01/01/1601
             12:00a
                             <DIR>
                                                Page 35
```

```
dir.txt
01/01/1601
10/24/2003
                 12:00a
                                  <DIR>
                 02:09p
                                               1,529 DistributedLock$1.class,v
                                               1,896 DistributedLock$Lockwaiter.class,v
6,229 DistributedLock.class,v
10/24/2003
                 02:09p
10/24/2003
10/24/2003
10/24/2003
                 02:09p
                                               6,621 LockManager.class,v
                 02:09p
                 02:09p
                                                  ,089 Mutex.class.v
                     5 File(s)
                                               17,364 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\logging
01/01/1601
01/01/1601
10/31/2003
                 12:00a
                                  <DIR>
                 12:00a
04:01p
                                  <DIR>
                                  <DIR>
                                                        monitor
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                 02:09p
                                                   636 BroadcastTransportLogger$1.class,v
                 02:09p
                                               2,660 BroadcastTransportLogger$LogEntry.class,v
                 02:09p
                                               4,881 BroadcastTransportLogger.class,v
                 02:09p
                                               1,017 ILogger.class,v
                 02:09p
                                                1,976 LoggerManager.class,v
                                               3,517 MonitorApplet.class,v
14,687 bytes
10/24/2003
                 02:09p
                     6 File(s)
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\logging\monitor
01/01/1601
                 12:00a
                                  <DIR>
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                 12:00a
                                  <DIR>
                                               2,103 HighlightTable.class,v
3,687 LogMonitorTableModel.class,v
                 02:09p
                 02:09p
                                               2,675 PlaySound.class,v
                 02:09p
                 02:09p
02:09p
                                                 619 ResponseTimer$1.class,v
,364 ResponseTimer$OrderAckStatistic.class,v
                 02:09p
                                               6,665 ResponseTimer.class,v
                 02:09p
                                                2,640 TransportLogListeneŕ.class,v
                 02:09p
02:09p
                                               3,143 TransportLogMonitorApplication.class,v
2,746 TransportLogMonitorFrame$1.class,v
                                               5,776 TransportLogMonitorFrame.class,v
32,418 bytes
10/24/2003
                 02:09p
                    10 File(s)
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\map
01/01/1601
01/01/1601
                 12:00a
                                  <DIR>
                 12:00a
                                  <DIR>
10/24/2003
                 02:09p
                                               2,422 ESMMap.class,v
10/24/2003
10/24/2003
                02:09p
02:09p
                                               3,422 ESMPersistentMap.class,v
                                               2,836 MapEvent.class.v
10/24/2003
                 02:09p
                                                  281 StringSerializer.class,v
                     4 File(s)
                                               10.961 bytes
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\persistence
01/01/1601
01/01/1601
10/24/2003
10/24/2003
                12:00a
12:00a
                                  <DIR>
                                  <DIR>
                 02:09p
                                                  832 IESMSerializable.class,v
                 02:09p
                                                  685 ITransactionLog.class,v
10/24/2003
10/24/2003
10/24/2003
                02:09p
02:09p
                                                  615
                                                       ITransactionLogHandler.class,v
                                                  644
                                                       PersistentSequenceNumber$1.class,v
                02:09p
                                               2,684
PersistentSequenceNumber$PersistentSequenceNumberSeriali.class,v 10/24/2003 02:09p 2,493 PersistentSequenceNumber. 10/24/2003 02:09p 614 TransactionLog$1.class,v 10/24/2003 02:09p 1,954 TransactionLog$LogWaiter.
                                               2,493 PersistentSequenceNumber.class,v
614 TransactionLog$1.class,v
                                               1,954 TransactionLog$LogWaiter.class,v
                                               4,403 TransactionLog.class,v
7,666 TransactionLogManager.class,v
22,590 bytes
10/24/2003
                02:09p
10/24/2003
                02:09p
                   10 File(s)
                                                       Page 36
```

## dir.txt

```
Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\queue
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                                <DIR>
                12:00a
                12:00a
                                <DIR>
                                            2,973 ESMPersistentQueue.class,v
                02:09p
                                             584 ESMQueue$1.class,v
2,124 ESMQueue$QueueNode.class,v
                02:09p
                02:09p
                02:09p
                                             1,830 ESMQueue$QueueWaiter.class,v
10/24/2003
10/24/2003
                                             3,398 ESMQueue.class,v
                02:09p
                                            1,542 ObjectWrapper.class,v
2,428 QueueEvent.class,v
14,879 bytes
                02:09p
10/24/2003
                02:09p
                    7 File(s)
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\security
01/01/1601
                12:00a
                                <DIR>
                12:00a
02:09p
01/01/1601
10/24/2003
                                <DIR>
                                             1,742 SecurityDetails.class,v
                                             7,211 SecurityMapper.class,v
8,953 bytes
10/24/2003
                02:09p
                    2 File(s)
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\stream
01/01/1601
01/01/1601
10/24/2003
10/24/2003
                12:00a
                                <DIR>
                 12:00a
                                <DIR>
                                             8,240 ConversionUtilities.class,v
                02:09p
                                             1,926 ESMInputOutputStream.class,v
986 ESMInputStream$ResultPair.class,v
                02:09p
10/24/2003
10/24/2003
10/24/2003
                 02:09p
                                             3,398 ESMInputStream.class,v
                02:09p
                                             1,854 ESMOutputStream.class,v
1,312 offsetTracker.class,v
17,716 bytes
                 02:09p
10/24/2003
                02:09p
                    6 File(s)
 Directory of D:\cvs\cmm\esmutil\build\com\ksil\esmutil\transport
01/01/1601
                 12:00a
                                <DIR>
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                 12:00a
                                 <DIR>
                02:09p
02:09p
02:09p
                                                601 IBroadcastListener.class,v
                                                858 IESMBroadcastTransport.class,v
                                             1,044 IMapMessage.class,v
                                             1,303 TibcoBroadcastTransport$1.class,v
1,612 TibcoBroadcastTransport$2.class,v
                 02:09p
                 02:09p
                                             3,145 TibcoBroadcastTransport.class,v
                 02:09p
                                             3,424 TibcoMapMessage.class,v
1,609 TransportManager.class,v
                 02:09p
10/24/2003
                02:09p
                                             13,596 bytes
                    8 File(s)
 Directory of D:\cvs\cmm\esmutil\src
                 12:00a
01/01/1601
                                 <DTR>
01/01/1601
10/31/2003
                 12:00a
                                 <DIR>
                04:01p
                                 <DIR>
                                                     com
                                                599 .nbattrs,v
10/24/2003
                02:09p
                                                 599 bytes
                     1 File(s)
  Directory of D:\cvs\cmm\esmutil\src\com
01/01/1601
                 12:00a
                                 <DIR>
01/01/1601
                 12:00a
                                 <DIR>
                                                     esmutil
10/31/2003
                 04:01p
                                 <DIR>
                     0 File(s)
                                                    0 bytes
                                                     Page 37
```

```
dir.txt
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil
01/01/1601
01/01/1601
10/31/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
               04:01p
                               <DIR>
                                                  connection
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
               04:01p
                               <DIR>
                                                  database
               04:01p
                               <DIR>
                                                  exception
               04:01p
                               <DIR>
                                                  game
               04:01p
                               <DIR>
                                                  list
               04:01p
                               <DIR>
                                                  lock
               04:01p
                               <DIR>
                                                  logging
               04:01p
                               <DIR>
                                                  map
               04:01p
                               <DIR>
                                                  persistence
10/31/2003
10/31/2003
10/31/2003
               04:01p
                               <DIR>
                                                  queue
               04:01p
                                                  security
                               <DIR>
               04:01p
                               <DIR>
                                                  stream
10/31/2003
               04:01p
                               <DIR>
                                                  transport
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\connection
01/01/1601
               12:00a
                               <DIR>
               12:00a
01/01/1601
                               <DIR>
10/24/2003
10/24/2003
               02:09p
02:09p
                                          1,883 ConnectionImpl.java,v
                                          3,710 ConnectionManager.java,v
10/24/2003
               02:09p
                                          1,071 IConnection.java,v
                   3 File(s)
                                           6,664 bytes
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\database
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
               02:09p
                                          8,560 DBBase.java,v
                                          2,139 DBManager.java,v
               02:09p
                                          2,559 DBQuery.java,v
1,496 IQuery.java,v
               02:09p
               02:09p
               02:09p
                                          7,484 QueryBase.java,v
10/24/2003
               02:09p
                                            783 QueryException.java,v
                   6 File(s)
                                          23,021 bytes
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\exception
01/01/1601
01/01/1601
               12:00a
                              <DIR>
               12:00a
                               <DIR>
10/24/2003
               02:09p
                                          1,226 ESMException.java,v
                   1 File(s)
                                           1,226 bytes
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\game
01/01/1601
01/01/1601
10/24/2003
10/24/2003
               12:00a
                              <DIR>
               12:00a
                              <DIR>
               02:09p
                                            599 .nbattrs,v
                                          7,401 GameServer.java,v
               02:09p
10/24/2003
               02:09p
                                            391 GameServer.rmi,v
10/24/2003
               02:09p
                                          1,307 IGameServer.java,v
10/24/2003
10/24/2003
                                          1,477 IPlayer.java,v
2,453 Player.java,v
               02:09p
               02:09p
10/24/2003
              02:09p
                                            391 Player.rmi, v
                   7 File(s)
                                         14,019 bytes
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\list
01/01/1601 12:00a
                              <DIR>
                                                 Page 38
```

```
dir.txt
01/01/1601
                 12:00a
                                   <DIR>
10/24/2003
10/24/2003
                 02:09p
                                                 599 .nbattrs,v
                 02:09p
                                               3,887 ESMPersistentArrayList.java,v
3,555 ListEvent.java,v
10/24/2003
                 02:09p
                     3 File(s)
                                                 8,041 bytes
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\lock
01/01/1601
01/01/1601
                 12:00a
                                   <DIR>
                 12:00a
                                   <DIR>
10/24/2003
                 02:09p
                                              11,799 DistributedLock.java,v
                                              13,249 LockManager.java,v
1,238 Mutex.java,v
26,286 bytes
10/24/2003
                 02:09p
10/24/2003
                 02:09p
                     3 File(s)
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\logging
01/01/1601
01/01/1601
10/31/2003
10/24/2003
10/24/2003
                 12:00a
                                   <DIR>
                 12:00a
                                   <DIR>
                 04:01p
                                   <DIR>
                                                        monitor
                 02:09p
                                                  595
                                                        .nbattrs,v
                 02:09p
                                              10,229 BroadcastTransportLogger.java,v
                                               1,641 ILogger.java,v
2,437 LoggerManager.java,v
5,365 MonitorApplet.java,v
10/24/2003
10/24/2003
                 02:09p
02:09p
10/24/2003
                 02:09p
                     5 File(s)
                                               20,267 bytes
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\logging\monitor
01/01/1601
01/01/1601
                 12:00a
                                   <DIR>
                 12:00a
                                   <DIR>
                                               1,117 .nbattrs,v
2,636 HighlightTable.java,v
10/24/2003
                 02:09p
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
10/24/2003
                 02:09p
                                               6,067 LogMonitorTableModel.java,v
1,975 PlaySound.java,v
                 02:09p
                 02:09p
                                              10,851 ResponseTimer.java,v
3,381 TransportLogListener.java,v
3,988 TransportLogMonitorApplication.java,v
                 02:09p
                 02:09p
                 02:09p
                                               8,129 TransportLogMonitorFrame.java,v
                 02:09p
                                               38.144 bytes
                     8 File(s)
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\map
01/01/1601
01/01/1601
10/24/2003
                 12:00a
                                  <DIR>
                 12:00a
                                  <DIR>
                                               3,110 ESMMap.java,v
5,130 ESMPersistentMap.java,v
                 02:09p
10/24/2003
10/24/2003
10/24/2003
                 02:09p
                 02:09p
                                               4,633 MapEvent.java,v
3,075 StringSerializer.java,v
                02:09p
                     4 File(s)
                                               15.948 bytes
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\persistence
01/01/1601
01/01/1601
10/24/2003
10/24/2003
                12:00a
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                 12:00a
                                  <DIR>
                                               1,588 IESMSerializable.java,v
                 02:09p
                02:09p
                                               1,018 ITransactionLog.java,v
10/24/2003
10/24/2003
                02:09p
02:09p
                                               712 ITransactionLogHandler.java,v
4,954 PersistentSequenceNumber.java,v
7,000 TransactionLog.java,v
10/24/2003
                02:09p
10/24/2003
                02:09p
                                             13,011 TransactionLogManager.java,v
                     6 File(s)
                                               28,283 bytes
```

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```
dir.txt
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\queue
               12:00a
                               <DIR>
01/01/1601
               12:00a
01/01/1601
                               <DIR>
10/24/2003
10/24/2003
10/24/2003
               02:09p
02:09p
                                          4,398 ESMPersistentQueue.java,v
                                          7,304 ESMQueue.java,v
               02:09p
                                          2,243 Objectwrapper.java,v
10/24/2003
               02:09p
                                          3,973 QueueEvent.java,v
                   4 File(s)
                                          17.918 bytes
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\security
                               <DIR>
01/01/1601
               12:00a
01/01/1601
10/24/2003
               12:00a
                               <DIR>
                                         16,787 .SecurityMapper.java.swp,v
3,029 SecurityDetails.java,v
14,974 SecurityMapper.java,v
               02:09p
10/24/2003
10/24/2003
               02:09p
               02:09p
                   3 File(s)
                                          34,790 bytes
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\stream
               12:00a
                               <DIR>
01/01/1601
01/01/1601
10/24/2003
               12:00a
                               <DIR>
                                         22,836 ConversionUtilities.java,v
               02:09p
                                         22,704 ConversionUtilities.my,v
09/19/2003
               11:11a
10/24/2003
10/24/2003
10/24/2003
                                          2,469 ESMInputOutputStream.java,v
6,760 ESMInputStream.java,v
               02:09p
               02:09p
                                          2,595 ESMOutputStream.java,v
               02:09p
               02:09p
                                            ,112 OffsetTracker.java,v
10/24/2003
                   6 File(s)
                                          59,476 bytes
 Directory of D:\cvs\cmm\esmutil\src\com\esmutil\transport
01/01/1601
01/01/1601
10/24/2003
10/24/2003
10/24/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
               02:09p
                                             706 IBroadcastListener.java,v
               02:09p
02:09p
                                          1,476 IESMBroadcastTransport.java,v
                                          3,109 IMapMessage.java,v
10/24/2003
10/24/2003
10/24/2003
                                          7,273 TibcoBroadcastTransport.java,v
               02:09p
                                          6,095 TibcoMapMessage.java,v
1,773 TransportManager.java,v
               02:09p
               02:09p
                                          20,432 bytes
                   6 File(s)
 Directory of D:\cvs\cmm\mds
01/01/1601
01/01/1601
10/31/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
               04:01p
                               <DIR>
                                                  build
10/31/2003
09/08/2003
               04:01p
                               <DIR>
                                                  src
                                          518 build.bat,v
1,486 build.xml,v
2,004 bytes
               06:30a
09/08/2003
               06:30a
                   2 File(s)
 Directory of D:\cvs\cmm\mds\build
01/01/1601
               12:00a
                               <DIR>
01/01/1601
               12:00a
                               <DIR>
10/31/2003
               04:01p
                               <DIR>
                                                  kts
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\cmm\mds\build\kts
01/01/1601 12:00a
                               <DIR>
                                                 Page 40
```

```
dir.txt
                      12:00a
                                            <DIR>
01/01/1601
                                            <DIR>
                                                                        com
10/31/2003
                      04:01p
                      04:01p
                                            <DIR>
                                                                        mode1
10/31/2003
                                                                        services
10/31/2003
10/31/2003
                      04:01p
                                            <DIR>
                                                                        util
                      04:01p
                                            <DIR>
                           0 File(s)
                                                                       0 bytes
  Directory of D:\cvs\cmm\mds\build\kts\com
01/01/1601
01/01/1601
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                                             <DIR>
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                       12:00a
                                             <DTR>
                                                              4,411 Formatter.class,v
                      06:30a
                                                              6,344 Parser class, v
                      06:30a
                      06:30a
06:30a
                                                              2,698 RRSubscriber class, v
                                                                  611 RvListener.class,v
                                                             704 RVListener.Class,V
704 RVListenerData.class,V
3,067 RVPublisher.class,V
1,559 RVSubscriber$1.class,V
923 RVSubscriber$Listener.class,V
904 RVSubscriber$ReplyCB.class,V
9,922 RVSubscriber.class,V
2,058 SerializedHandler.class,V
                      06:30a
                      06:30a
06:30a
06:30a
                      06:30a
06:30a
06:30a
                                                              2,058 SerializedHandler.class,v
                                                              1,608 Simulator$1.class,v
3,160 Simulator$Generator.class,v
937 Simulator$SimListener.class,v
                       06:30a
                       06:30a
06:30a
06:30a
                                                              2,927 Simulator.class,v
502 Worker.class,v
                       06:30a
                                                              42,335 bytes
                           16 File(s)
   Directory of D:\cvs\cmm\mds\build\kts\model
 01/01/1601
01/01/1601
09/08/2003
                                             <DIR>
                       12:00a
                       12:00a
                                             <DIR>
                                                              1,455 TestBbo.class,v
1,680 TestLsp.class,v
1,518 TestMarketState.class,v
                       06:30a
 09/08/2003
09/08/2003
                       06:30a
                       06:30a
                                                                4,653 bytes
                             3 File(s)
   Directory of D:\cvs\cmm\mds\build\kts\services
 01/01/1601
01/01/1601
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                       12:00a
                                             <DIR>
                       12:00a
06:30a
                                             <DIR>
                                                              1,632 Alert.class,v
                                                              879 ApplyQuote.class,v
546 ApplyQuoteListener.class,v
1,986 ApplyQuoteService.class,v
                       06:30a
                       06:30a
06:30a
                                                                  779 AqCommand.class,v
544 AqCommandListener.class,v
                       06:30a
 09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                       06:30a
                                                              2,870 AqCommandService.class,v
                       06:30a
06:30a
                                                              3,047 AqInfo.class,v
538 AqInfoListener.class,v
2,393 AqInfoService.class,v
                       06:30a
                       06:30a
                                                                   685 CustomerOrderReflection.class,v
                       06:30a
06:30a
                                                                   572 CustomerOrderReflectionListener.class,v
                                                             2,063 CustomerOrderReflectionService.class,v
12,641 DBConn.class,v
                        06:30a
                       06:30a
06:30a
                                                               6,898 DBCreate.class,v
                                                              4,248 DBSer.class,v
3,001 Fx.class,v
530 FxListener.class,v
                        06:30a
                        06:30a
                        06:30a
                        06:30a
                                                               1,895 FxService.class,v
                                                               1,112 IdMap.class,v
3,083 Index.class,v
                        06:30a
  09/08/2003
                       06:30a
                                                                         Page 41
```

```
dir.txt
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                                                           536 IndexListener.class,v
                    06:30a
                    06:30a
                                                        2,047 IndexService.class,v
716 KTBbo.class,v
                    06:30a
                    06:30a
                                                           910 KTBidAsk.class.v
09/08/2003

09/08/2003

09/08/2003

09/08/2003

09/08/2003

09/08/2003

09/08/2003

09/08/2003

09/08/2003

09/08/2003

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09/08/2003

09/08/2003

09/08/2003

09/08/2003

09/08/2003

09/08/2003

09/08/2003

09/08/2003

09/08/2003
                                                           661 KTCurrencyQuote.class,v
                    06:30a
                                                        623 KTEntity.class,v
2,096 KTExOrder.class,v
                    06:30a
                    06:30a
                                                        1,040 KTExOrderAck.class,v
                    06:30a
                    06:30a
06:30a
06:30a
                                                           627 KTFirmReference.class,v
866 KTMMQuote.class,v
                                                           858 KTQuote.class.v
                                                        1,090 KTRequestForService.class,v
                    06:30a
                    06:30a
06:30a
                                                        2,279 KTTrade.class,v
770 KTTradeParty.class,v
                                                        5,512 Lsp.class,v
                     06:30a
                                                        532 LspListener.class,v
1,377 LspRequest$1.class,v
                    06:30a
06:30a
                                                        3,171 LspRequest.class,v
                     06:30a
                                                        2,540 LspService.class,v
                     06:30a
                                                           901 MarketState.class,v
548 MarketStateListener.class,v
                     06:30a
06:30a
                                                        1,663 MarketStateService.class,v
                     06:30a
                                                        581 OrderListener.class,v
2,791 OrderService.class,v
                     06:30a
06:30a
                                                        1,490 ProductByVenue.class,v
                     06:30a
                                                        6,507 Quote.class,v
536 QuoteListener.class,v
                     06:30a
06:30a
                     06:30a
                                                        1,389 QuoteRequest$1.class,v
                                                        3,300 QuoteRequest.class,v
2,754 QuoteService.class,v
1,732 RRService.class,v
                     06:30a
                     06:30a
06:30a
                     06:30a
                                                            556 RRServiceListener.class,v
                                                        2,520 Service.class,v
557 StateListener.class,v
                     06:30a
                     06:30a
                                                           879 StateMsg.class,v
                     06:30a
                                                        3,320 StateService.class,v
                     06:30a
                                                        2,098 TestBboRR.class,v
538 TradeListener.class,v
                     06:30a
                     06:30a
06:30a
                                                        1,131 TradeService$1.class,v
                                                      2,029 TradeService.class,v
118,013 bytes
 09/08/2003
                     06:30a
                        61 File(s)
  Directory of D:\cvs\cmm\mds\build\kts\util
                                         <DIR>
 01/01/1601
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01/01/1601
09/08/2003
                     12:00a
                                         <DIR>
                                                        3,111 Config.class,v
2,588 TableConfigRecord.class,v
5,699 bytes
                     06:30a
 09/08/2003
                     06:30a
                          2 File(s)
  Directory of D:\cvs\cmm\mds\src
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01/01/1601
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 01/01/1601
                     12:00a
                                         <DIR>
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                                         <DIR>
                                                                  kts
                          0 File(s)
                                                                 0 bytes
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 01/01/1601
                     12:00a
                                         <DTR>
 01/01/1601
10/31/2003
                     12:00a
                                         <DIR>
                     04:01p
                                         <DIR>
                                                                  COM
                                                                  model
 10/31/2003
                     04:01p
                                         <DIR>
                                                                  Page 42
```

```
dir.txt
  10/31/2003
                         04:01p
                                                  <DIR>
                                                                                services
 10/31/2003 04:01p
                                                  <DIR>
                                                                                util
                               0 File(s)
                                                                              0 bytes
   Directory of D:\cvs\cmm\mds\src\kts\com
 01/01/1601
01/01/1601
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                         12:00a
                                                  <DIR>
                         12:00a
                                                  <DIR>
                                                                  9,142 Formatter.java,v
15,257 Parser.java,v
3,070 RRSubscriber.java,v
867 RVListener.java,v
                         06:30a
                         06:30a
                         06:30a
06:30a
06:30a
                                                                    922 RvListenerData.java,v
6,835 RvPublisher.java,v
                         06:30a
                         06:30a
06:30a
06:30a
                                                                  17,959 RvSubscriber.java,v
3,484 SerializedHandler.java,v
6,398 Simulator.java,v
 09/08/2003
 09/08/2003
                         06:30a
                                                                        794 Worker.java,v
                             10 File(s)
                                                                    64,728 bytes
   Directory of D:\cvs\cmm\mds\src\kts\model
 01/01/1601
                         12:00a
                                                  <DIR>
 01/01/1601
                         12:00a
                                                  <DIR>
 09/08/2003
09/08/2003
                         06:30a
                                                                    1,131 TestBbo.java,v
                                                                    1,466 TestLsp.java,v
1,279 TestMarketState.java,v
3,876 bytes
                         06:30a
06:30a
 09/08/2003
                                3 File(s)
   Directory of D:\cvs\cmm\mds\src\kts\services
01/01/1601
01/01/1601
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                         12:00a
                                                  <DIR>
                         12:00a
                                                  <DIR>
                         06:30a
                                                                    2,120 Alert.java,v
                                                                   2,120 ATERL. Java, v

989 ApplyQuote. java, v

750 ApplyQuoteListener. java, v

2,086 ApplyQuoteService. java, v

919 AqCommandListener. java, v
                         06:30a
                         06:30a
06:30a
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                         06:30a
                         06:30a
                                                                   3,311 AqCommandService.java,v
7,363 AqInfo.java,v
906 AqInfoListener.java,v
                         06:30a
                         06:30a
                         06:30a
                                                                   3,587 AqInfoService.java,v
792 CustomerOrderReflection.java,v
967 CustomerOrderReflectionListener.java,v
                        06:30a
06:30a
                        06:30a
                        06:30a
06:30a
                                                                    2,143 CustomerOrderReflectionService.java,v
                                                                 33,595 DBConn.java,v
11,990 DBCreate.java,v
                        06:30a
                                                                   7,083 DBSer.java,v
                        06:30a
                        06:30a
06:30a
                                                                   4,165 Fx.java,v
871 FxListener.java,v
2,693 FxService.java,v
09/08/2003
                        06:30a
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                                                                   1,377 IdMap.java,v
3,815 Index.java,v
956 IndexListener.java,v
2,334 IndexService.java,v
                        06:30a
                        06:30a
06:30a
                        06:30a
                                                                   2,334 Indexservice.java,v
789 KTBbo.java,v
840 KTBidAsk.java,v
678 KTCurrencyQuote.java,v
660 KTEntity.java,v
2,188 KTEXOrder.java,v
947 KTEXOrderAck.java,v
642 KTEIRMPoference java,v
                        06:30a
06:30a
09/08/2003
                        06:30a
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                        06:30a
                        06:30a
                        06:30a
09/08/2003
                        06:30a
                                                                       642 KTFirmReference.jáva,v
                                                                              Page 43
```

```
dir.txt
                                                      788 KTMMQuote.java,v
09/08/2003
                  06:30a
09/08/2003
09/08/2003
                                                      891 KTQuote.java,v
                  06:30a
                                                   1,027 KTRequestForService.java,v
2,998 KTTrade.java,v
                  06:30a
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                   06:30a
                                                      875 KTTradeParty.java,v
                  06:30a
                                                 19,323 Lsp.java,v
878 LspListener.java,v
5,439 LspRequest.java,v
                  06:30a
                  06:30a
06:30a
06:30a
                                                    2,919 LspService.java,v
                                                       841 MarketState.java,v
760 MarketStateListener.java,v
09/08/2003
                   06:30a
09/08/2003
09/08/2003
09/08/2003
                   06:30a
                                                    2,214 MarketStateService.java,v
                   06:30a
                                                      948 OrderListener.java,v
,893 OrderService.java,v
                   06:30a
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                   06:30a
                                                    2,133 ProductByVenue.java,v
                   06:30a
                                                  17,213 Quote.java,v

893 QuoteListener.java,v

5,320 QuoteRequest.java,v

3,515 QuoteService.java,v
                   06:30a
                   06:30a
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
09/08/2003
                   06:30a
                   06:30a
                                                    1,914 RRService.java,v
776 RRServiceListener.java,v
                   06:30a
                   06:30a
06:30a
                                                    3,129 Service.java,v
                   06:30a
                                                       913 StateListener.java,v
                                                    1,551 StateMsg.java,v
4,835 StateService.java,v
                   06:30a
09/08/2003
09/08/2003
09/08/2003
                   06:30a
06:30a
                                                  2,099 TestBboRR.java,v
895 TradeListener.java,v
2,262 TradeService.java,v
192,549 bytes
                   06:30a
09/08/2003
                   06:30a
                      58 File(s)
  Directory of D:\cvs\cmm\mds\src\kts\util
                                      <DIR>
 01/01/1601
                   12:00a
 01/01/1601
09/08/2003
                   12:00a
                                      <DIR>
                                                    4,111 Config.java,v
4,293 TableConfigRecord.java,v
8,404 bytes
                   06:30a
                   06:30a
 09/08/2003
                        2 File(s)
  Directory of D:\cvs\cmm\nesbrass
 01/01/1601
                   12:00a
                                      <DIR>
 01/01/1601
10/31/2003
10/31/2003
                   12:00a
                                      <DIR>
                                                             build
                   04:01p
                                      <DIR>
                                                             src
                   04:01p
                                      <DIR>
                                                       621 .nbattrs,v
525 build.sh,v
 09/08/2003
                   06:30a
 09/08/2003
09/08/2003
                   06:30a
                                                    2,026 build.xml,v
3,172 bytes
                   06:30a
                        3 File(s)
  Directory of D:\cvs\cmm\nesbrass\build
                   12:00a
                                      <DIR>
 01/01/1601
 01/01/1601
10/31/2003
                   12:00a
                                       <DIR>
                   04:01p
                                      <DIR>
                                                              com
 10/31/2003
                                                              src
                   04:01p
                                       <DIR>
                        0 File(s)
                                                            0 bytes
  Directory of D:\cvs\cmm\nesbrass\build\com
 01/01/1601
01/01/1601
10/31/2003
                   12:00a
                                       <DIR>
                    12:00a
                                      <DIR>
                                                              ksi1
                    04:01p
                                       <DIR>
                                                            0 bytes
                        0 File(s)
                                                             Page 44
```

dir.txt

```
Directory of D:\cvs\cmm\nesbrass\build\com\ksil
01/01/1601
             12:00a
                           <DIR>
01/01/1601
10/31/2003
             12:00a
                           <DIR>
             04:01p
                                           nesbrass
                           <DIR>
                0 File(s)
                                          0 bytes
pirectory of D:\cvs\cmm\nesbrass\build\com\ksil\nesbrass
01/01/1601
01/01/1601
             12:00a
                           <DIR>
             12:00a
                           <DIR>
10/31/2003
             04:01p
                                           component
                           <DIR>
                0 File(s)
                                          0 bytes
 Directory of D:\cvs\cmm\nesbrass\build\com\ksil\nesbrass\component
01/01/1601
01/01/1601
09/08/2003
                           <DIR>
             12:00a
             12:00a
                           <DIR>
             06:30a
                                     9,345 NESComponent.class,v
09/08/2003
09/08/2003
09/08/2003
                                     2,596 NESParser.class,v
             06:30a
             06:30a
                                     5,150 NESSession.class,v
             06:30a
                                     1,919 OrderRule.class,v
                                     4,529 SessionReaderThread.class,v
23,539 bytes
09/08/2003
             06:30a
                 5 File(s)
 Directory of D:\cvs\cmm\nesbrass\build\src
01/01/1601
             12:00a
                           <DIR>
01/01/1601
             12:00a
                           <DIR>
10/31/2003
             04:01p
                           <DIR>
                                           esmbrass
                 0 File(s)
                                          0 bytes
 Directory of D:\cvs\cmm\nesbrass\build\src\esmbrass
01/01/1601
             12:00a
                           <DIR>
01/01/1601
             12:00a
                           <DIR>
10/31/2003
             04:01p
                           <DIR>
                                           src
                0 File(s)
                                          0 bytes
 Directory of D:\cvs\cmm\nesbrass\build\src\esmbrass\src
01/01/1601
             12:00a
                           <DIR>
01/01/1601
             12:00a
                           <DIR>
10/31/2003
             04:01p
                           <DIR>
                                           com
                0 File(s)
                                          0 bytes
Directory of D:\cvs\cmm\nesbrass\build\src\esmbrass\src\com
01/01/1601
01/01/1601
             12:00a
                           <DIR>
             12:00a
                           <DIR>
10/31/2003
                                           ksil
             04:01p
                           <DIR>
                0 File(s)
                                          0 bytes
Directory of D:\cvs\cmm\nesbrass\build\src\esmbrass\src\com\ksil
             12:00a
01/01/1601
                           <DIR>
01/01/1601
             12:00a
                           <DIR>
10/31/2003
             04:01p
                           <DIR>
                                           esmbrass
                                          0 bytes
                0 File(s)
Directory of D:\cvs\cmm\nesbrass\build\src\esmbrass\src\com\ksil\esmbrass
```

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```
dir.txt
01/01/1601
              12:00a
                             <DIR>
              12:00a
                             <DTR>
01/01/1601
10/31/2003
                                               constants
              04:01p
                             <DIR>
                  0 File(s)
                                              0 bytes
 Directory of D:\cvs\cmm\nesbrass\build\src\esmbrass\src\com\ksil\esmbrass\constants
01/01/1601
              12:00a
                             <DIR>
              12:00a
01/01/1601
                             <DIR>
                                       32,971 BrassFields.class,v
09/08/2003
              06:30a
                  1 File(s)
                                       32,971 bytes
 Directory of D:\cvs\cmm\nesbrass\src
01/01/1601
              12:00a
                             <DIR>
01/01/1601
10/31/2003
              12:00a
                             <DIR>
                                               com
              04:01p
                             <DIR>
                  0 File(s)
                                              0 bytes
 Directory of D:\cvs\cmm\nesbrass\src\com
              12:00a
                             <DIR>
01/01/1601
              12:00a
                             <DIR>
01/01/1601
                                               ksil
10/31/2003
              04:01p
                             <DIR>
                                              0 bytes
                  0 File(s)
 Directory of D:\cvs\cmm\nesbrass\src\com\ksil
01/01/1601
01/01/1601
               12:00a
                             <DIR>
               12:00a
                             <DIR>
                                               nesbrass
10/31/2003
               04:01p
                             <DIR>
                  0 File(s)
                                              0 bytes
 Directory of D:\cvs\cmm\nesbrass\src\com\ksil\nesbrass
               12:00a
                             <DIR>
01/01/1601
01/01/1601
10/31/2003
09/08/2003
               12:00a
                              <DIR>
                                               component
               04:01p
                              <DIR>
                                           621 .nbattrs,v
621 bytes
               06:30a
                  1 File(s)
 Directory of D:\cvs\cmm\nesbrass\src\com\ksil\nesbrass\component
01/01/1601
01/01/1601
09/08/2003
                              <DIR>
               12:00a
               12:00a
                              <DIR>
                                           803 .nbattrs,v
               06:30a
                                       19,619 NESComponent.java,v
09/08/2003
               06:30a
                                        2,069 NESParser.java,v
09/08/2003
09/08/2003
09/08/2003
               06:30a
               06:30a
                                        6,906 NESSession java, v
                                        1,284 OrderRule.java,v
6,080 SessionReaderThread.java,v
36,761 bytes
               06:30a
               06:30a
09/08/2003
                   6 File(s)
  Directory of D:\cvs\ets
01/01/1601
01/01/1601
10/31/2003
               12:00a
                              <DIR>
               12:00a
                              <DIR>
                                                qui
                              <DIR>
               04:01p
 10/31/2003
10/31/2003
11/03/2003
                                                makerules
               04:01p
                              <DIR>
               04:01p
                                                pinnacle
                              <DIR>
                                                slicer
               01:10p
                              <DIR>
                                                sumosrvr
 11/03/2003
               07:12a
                              <DIR>
                                                tools
 10/31/2003
               04:01p
                              <DIR>
                                               Page 46
```

```
dir.txt
10/16/2003 01:17p
                                                    709 make.bash,v
                      1 File(s)
                                                      709 bytes
 Directory of D:\cvs\ets\gui
01/01/1601
                  12:00a
                                    <DIR>
01/01/1601
10/31/2003
                  12:00a
                                    <DIR>
                  04:01p
                                    <DIR>
                                                          components
                      0 File(s)
                                                         0 bytes
 Directory of D:\cvs\ets\gui\components
01/01/1601
01/01/1601
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
                  12:00a
                                    <DIR>
                  12:00a
                                    <DIR>
                                                  1,532 CrownCheckBox.java,v
                  01:32p
                                                3,368 CrownFloatField.java,v
2,877 CrownTimeField.java,v
10,338 CrownTimeField.java,v
                  01:32p
                  01:32p
01:32p
                                                    645 Makefile,v
                  01:32p
                       5 File(s)
                                                  18,760 bytes
  Directory of D:\cvs\ets\makerules
01/01/1601
                  12:00a
                                    <DIR>
01/01/1601
10/13/2003
                  12:00a
                                    <DIR>
                                                    719 defs.mk,v
676 rules.mk,v
                  11:33a
08/27/2003
                  01:32p
                                                   1,395 bytes
                       2 File(s)
 Directory of D:\cvs\ets\pinnacle
01/01/1601
01/01/1601
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
01/01/1601 12:00a
                                    <DIR>
                  12:00a
                                    <DIR>
                  04:01p
                                    <DIR>
                                                          bizobi
                  04:01p
                                    <DIR>
                                                           custint
                  04:01p
                                    <DIR>
                                                           db
                  04:01p
                                    <DIR>
                                                           execengine
                  04:01p
                                    <DIR>
                                                           feedagents
                  04:01p
                                                           isld
                                    <DIR>
                  04:01p
                                    <DIR>
                                                           lib
                  04:01p
                                    <DIR>
                                                          mktaccess
                  04:01p
                                    <DIR>
                                                          mktdata
                  04:01p
                                    <DIR>
                                                           ordent
                  04:01p
                                    <DIR>
                                                           run
                  04:01p
                                    <DIR>
                                                           sdp
                  04:01p
                                    <DIR>
                                                          sequencer
10/31/2003
                  04:01p
                                    <DIR>
                                                           test
                      0 File(s)
                                                         0 bytes
 Directory of D:\cvs\ets\pinnacle\bizobj
01/01/1601
01/01/1601
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
                  12:00a
                                    <DIR>
                  12:00a
                                    <DIR>
                  04:01p
                                    <DIR>
                                                           account
                  04:01p
                                    <DIR>
                                                           base
                  04:01p
                                    <DIR>
                                                          calendar
                  04:01p
                                                           contra
                                    <DIR>
                  04:01p
                                                           firm
                                    <DIR>
                  04:01p
                                                           fix
                                    <DIR>
                  04:01p
                                                          market
                                    <DIR>
                  04:01p
                                    <DIR>
                                                          mktxs
                  04:01p
                                                           order
                                    <DIR>
                  04:01p
                                    <DIR>
                                                           product
                                                          Page 47
```

```
dir.txt
                                                program
10/31/2003
              04:01p
                              <DIR>
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
              04:01p
                                                 quote
                              <DIR>
                                                 refdata
               04:01p
                              <DIR>
               04:01p
                              <DIR>
                                                 rules
                              <DIR>
              04:01p
                                                 trade
               04:01p
                              <DIR>
                                                 trader
              04:01p
                                                 trdent
                              <DIR>
                                         1,080 make.bash,v
10/13/2003
               11:33a
                   1 File(s)
                                           1,080 bytes
 Directory of D:\cvs\ets\pinnacle\bizobj\account
01/01/1601
01/01/1601
               12:00a
                              <DIR>
               12:00a
                              <DIR>
                                          1,660 AccessType.java,v
08/27/2003
               01:32p
08/27/2003
08/27/2003
08/27/2003
                                          1,714 AccountRole.java,v
1,679 AccountType.java,v
               01:32p
               01:32p
                                          5,335 FirmAccount.java,v
               01:32p
                                            646 Makefile, v
08/27/2003
               01:32p
                                          11,034 bytes
                   5 File(s)
 Directory of D:\cvs\ets\pinnacle\bizobj\base
                              <DIR>
01/01/1601
               12:00a
01/01/1601
               12:00a
                              <DIR>
10/22/2003
08/27/2003
08/27/2003
                                          5,510 BusinessObject.java,v
               10:07a
                                             596 Makefile, v
               01:32p
               01:32p
                                            576 UpdateType.java,v
                                           7,682 bytes
                   3 File(s)
 Directory of D:\cvs\ets\pinnacle\bizobj\calendar
               12:00a
                              <DIR>
01/01/1601
01/01/1601
08/27/2003
08/27/2003
               12:00a
                              <DIR>
                                             378 ..new, v
               01:32p
                                          5,876 Calendar.java,v
               01:32p
                                             570 Makefile, v
               01:32p
08/27/2003
                                           6,824 bytes
                   3 File(s)
  Directory of D:\cvs\ets\pinnacle\bizobj\contra
01/01/1601
               12:00a
                              <DIR>
               12:00a
01/01/1601
                              <DIR>
08/27/2003
08/27/2003
               01:32p
01:32p
                                          7,358 Customer_java,v
                                          1,653 CustomerType.java,v
08/27/2003
               01:32p
                                             594 Makefile,v
                                           9,605 bytes
                   3 File(s)
  Directory of D:\cvs\ets\pinnacle\bizobj\firm
               12:00a
12:00a
01/01/1601
                              <DIR>
01/01/1601
08/27/2003
                              <DTR>
                                          6,167 Firm.java,v
562 Makefile,v
               01:32p
               01:32p
08/27/2003
                                           6,729 bytes
                   2 File(s)
  Directory of D:\cvs\ets\pinnacle\bizobj\fix
01/01/1601
01/01/1601
10/13/2003
09/16/2003
09/16/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
                                                  Attic
               11:32a
                               <DIR>
                                          8,724 FIXMessage.java,v
               04:01p
                                          4,095 FIXParser.java,v
               04:01p
                                                 Page 48
```

```
dir.txt
                                                   56,724 FIXTags.java,v
 09/16/2003
                   04:01p
                   01:32p
 08/27/2003
                                                        611 Makefile, v
                        4 File(s)
                                                     70,154 bytes
  Directory of D:\cvs\ets\pinnacle\bizobj\fix\Attic
01/01/1601 01/01/1601
                   12:00a
                                      <DIR>
                   12:00a
                                       <DIR>
 10/13/2003
                   11:32a
                                                     8,849 FIXMessage.java,v
 10/13/2003
10/13/2003
                   11:32a
11:32a
                                                    4,220 FIXParser.java,v
56,849 FIXTags.java,v
                                                         687 Makefile,v
 10/13/2003
                   11:32a
                        4 File(s)
                                                     70,605 bytes
  Directory of D:\cvs\ets\pinnacle\bizobj\market
01/01/1601
01/01/1601
08/27/2003
08/27/2003
08/27/2003
10/06/2003
08/27/2003
10/06/2003
                   12:00a
                                       <DIR>
                   12:00a
                                       <DIR>
                                                     4,739 Exchange.java,v
1,215 LiquiditySource.java,v
641 Makefile,v
                   01:32p
                   01:32p
                   01:32p
                   02:58p
                                                     8,459 Market.java,v
                                                     2,353 MarketState.java,v
2,208 MarketStateMsg.java,v
19,615 bytes
                   01:32p
                   02:58p
                        6 File(s)
  Directory of D:\cvs\ets\pinnacle\bizobj\mktxs
 01/01/1601
                                       <DIR>
                   12:00a
01/01/1601
08/27/2003
08/27/2003
                   12:00a
                                       <DTR>
                                                     5,583 ExecVenue.java.v
                   01:32p
                   01:32p
                                                         591 Makefile, v
                   01:32p
 08/27/2003
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2,325 ReferencePrice.java,v
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402 logger.cfg,v

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6,273 ToPinnacle.java,v
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4,077 fill_ref_product.sql,v

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1,541 fill_rule_trading.sql,v
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3,691 DBDumpEntity.java,v
2,327 DBDumpMarket.java,v
2,211 DBDumpPosLimitRule.java,v
2,475 DBDumpPricingRule.java,v
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2,193 DBDumpProduct.java,v
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700 create_idx_ref_product_at_venue.sql,v
391 create_idx_ref_trader.sql,v
687 create_idx_rule_autoex_size_ent.sql,v
416 create_idx_rule_commission.sql,v
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1,079 create_tbl_ref_exc_venue.sql,v
1,320 create_tbl_ref_firm.sql,v
598 create_tbl_ref_holiday_list.sql,v
1,110 create_tbl_ref_market.sql,v
Page 54
                                                                                                  2,206 create_all_tables.bash,v
                                    01:07p
                                    01:07p
01:07p
                                    11:41a
                                    11:41a
                                     11:41a
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                                    11:41a
  10/16/2003
                                    01:07p
                                                                                                                  Page 54
```

dir.txt

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dir.txt
 10/16/2003
                          01:11p
                                                                           574 create_tbl_ref_market_mpv.sql,v
                                                                      5/4 Create_tbl_ret_market_mpv.sql,v
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855 create_tbl_ref_order_dest.sql,v
555 create_tbl_ref_prod_id_map.sql,v
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1,257 create_tbl_ref_trader.sql,v
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10/16/2003
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10/16/2003
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 10/16/2003
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611 create_tbl_rule_pos_limit_ent.sql,v
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```

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dir.txt
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696 create_type_instrument.sq1,v
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701 create_type_trader_level.sql,v
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6,789 create_sp_firm_order.sql,v
6,090 create_sp_pnl.sql,v
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Directory of D:\cvs\ets\pinnacle\execengine

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10/31/2003
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2,571 MktmkrShortQuote.java,v
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 Directory of D:\cvs\ets\pinnacle\feedagents\uqdf
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dir.txt

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                                                    3,458 Packet.java,v
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2,770 ExecStreet.java,v
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                    12:09p
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10/06/2003
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4,350 ExecManning.java,v
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03:27p
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4,282 FIXADPPER.java,v
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10/31/2003
10/31/2003
10/31/2003
                      12:00a
                                             <DIR>
                      04:01p
                                             <DIR>
                                                                         arca
                      04:01p
                                             <DIR>
                                                                         brut
                      04:01p
                                             <DIR>
                                                                         inca
                      04:01p
                                             <DIR>
                                                                         isld
                      04:01p
                                             <DIR>
                                                                        lib
                      04:01p
                                             <DIR>
                                                                        nasdag
                            0 File(s)
                                                                       0 bytes
  Directory of D:\cvs\ets\pinnacle\mktdata\arca
01/01/1601
01/01/1601
10/28/2003
10/30/2003
10/07/2003
10/07/2003
10/07/2003
10/07/2003
10/07/2003
10/07/2003
10/07/2003
10/07/2003
10/07/2003
10/07/2003
10/07/2003
                      12:00a
                                             <DIR>
                      12:00a
05:32p
                                             <DIR>
                                                            8,680 ARCAAdapter.java,v
983 ARCAFeed.java,v
667 HeartbeatMsg.java,v
840 LoginAckMsg.java,v
1,147 LoginNackMsg.java,v
1,137 LoginReqMsg.java,v
668 LogoffReqMsg.java,v
827 Makefile,v
3,554 Message.java,v
2,199 OrderAddMsg.java,v
1,964 OrderDelMsg.java,v
2,049 OrderModMsg.java,v
843 TestReqMsg.java,v
                                                             8,680 ARCAAdapter.java,v
                      10:01a
                      09:47a
                      09:47a
                      09:47a
                      09:47a
                      09:47a
                      10:08a
                      09:47a
                      09:47a
                      10:08a
                      09:47a
10/07/2003
                      09:47a
                                                                 843 TestReqMsg.java,v
10/07/2003
10/22/2003
                                                             847 TestRespMsg.java,v
1,070 TryARCA.java,v
27,475 bytes
                      09:47a
                      10:08a
                         15 File(s)
```

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Directory of D:\cvs\ets\pinnacle\mktdata\brut

```
dir.txt
                       12:00a
                                              <DIR>
01/01/1601
01/01/1601
10/22/2003
10/06/2003
10/20/2003
10/06/2003
10/06/2003
10/28/2003
10/22/2003
10/22/2003
10/20/2003
10/06/2003
10/06/2003
10/13/2003
10/13/2003
01/01/1601
                       12:00a
                                              <DIR>
                       10:08a
                                                                           Attic
                                              <DIR>
                                                                1,497 AppMsg.java,v
983 BRUTFeed.java,v
                       03:19p
                       09:42a
04:00p
                                                                    683 ClientHeartbeatMsg.java.v
                       03:19p
                                                                1,050 pebugMsg.java,v
                                                                1,406 GoodbyeMsg.java,v
9,518 ITCHAdapter.java,v
1,272 LoginReqMsg.java,v
987 Makefile,v
                       03:19p
                       05:32p
03:19p
                       10:08a
                                                                2,446 MsgBuffer.java,v
2,085 NewOrderMsg.java,v
                       09:51a
                       03:19p
                                                                 1,388 OrderModMsg.java,v
                       03:19p
                                                                 3,995 Packet.java,v
1,296 ServerHeartbeatMsg.java,v
                       11:33a
03:19p
03:19p
10/06/2003
10/06/2003
10/13/2003
                                                                 1,292 SysEventMsg.java,v
                                                                 1,146 TryBRUT.java,v
                       11:33a
                                                                 1,255 WelcomeMsg.java,v
32,299 bytes
                       03:19p
 10/06/2003
                           16 File(s)
   Directory of D:\cvs\ets\pinnacle\mktdata\brut\Attic
                                               <DIR>
 01/01/1601
                       12:00a
01/01/1601
10/22/2003
                        12:00a
                                               <DIR>
                                                                 2,572 MsgBuffer.java,v
2,572 bytes
                       10:08a
                              1 File(s)
   Directory of D:\cvs\ets\pinnacle\mktdata\inca
                        12:00a
                                               <DIR>
 01/01/1601
01/01/1601
01/01/1601
10/06/2003
10/06/2003
10/28/2003
10/20/2003
                        12:00a
                                                <DIR>
                                                <DIR>
                        04:53p
                                                                             Attic
                                                                 1,160 HeartbeatMsg.java,v
                        03:19p
                                                               13,076 INCAAdapter.java,v
983 INCAFeed.java,v
1,238 LoginAckMsg.java,v
1,122 LoginAckMsg.java,v
                        05:32p
                        09:41a
 09/16/2003
09/16/2003
10/06/2003
                        04:00p
04:00p
                                                                 1,493 LoginReqMsg.java,v
                        03:19p
                                                                 1,493 LogTinRedMsg.java,v

899 LogoffRedMsg.java,v

1,172 Makefile,v

4,055 Message.java,v

1,694 NewTradeMsg.java,v

3,383 OrderDelMsg.java,v

2,303 OrderDelMsg.java,v
 10/06/2003
10/06/2003
10/13/2003
10/06/2003
09/16/2003
10/06/2003
10/06/2003
                        03:19p
                        11:33a
                        03:19p
                        04:00p
                        03:19p
03:19p
                                                                 3,672 OrderModMsg.java,v
1,323 PlaceholderMsg.java,v
1,253 SysEventMsg.java,v
                        03:19p
 10/06/2003
10/06/2003
10/06/2003
10/06/2003
10/06/2003
09/16/2003
09/16/2003
                        03:19p
04:53p
03:19p
                                                                     887 TestReqMsg.java,v
                                                                1,023 TestRespMsg.java,v
1,692 TradeAddMsg.java,v
1,694 TradeCorMsg.java,v
                        03:19p
                        04:53p
                        04:00p
                                                                 1,398 TradeCxlMsg.java,v
1,396 TradeDelMsg.java,v
1,692 TradeModMsg.java,v
                        04:00p
 10/06/2003
10/06/2003
09/16/2003
                        04:53p
                        04:53p
                                                                 870 TryARCA.java,v
1,097 TryINCA.java,v
50,575 bytes
                        04:00p
  10/13/2003
                        11:33a
                            24 File(s)
   Directory of D:\cvs\ets\pinnacle\mktdata\inca\Attic.
 01/01/1601
01/01/1601
                        12:00a
                                                <DIR>
                        12:00a
                                                <DTR>
```

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```
dir.txt
                                                       1,820 NewTradeMsg.java,v
1,820 TradeCorMsg.java,v
1,524 TradeCxlMsg.java,v
                    04:37p
04:37p
10/06/2003
10/06/2003
10/06/2003
                    04:37p
                    04:53p
                                                           996 TryARCA.java,v
10/06/2003
                         4 File(s)
                                                         6,160 bytes
  Directory of D:\cvs\ets\pinnacle\mktdata\isld
01/01/1601
01/01/1601
10/06/2003
10/06/2003
09/16/2003
09/16/2003
09/16/2003
09/16/2003
09/16/2003
09/16/2003
09/16/2003
                    12:00a
                                        <DIR>
                    12:00a
                                        <DIR>
                    03:19p
                                        <DIR>
                                                                 Attic
                    03:19p
04:00p
                                                       1,562 AppMsg.java,v
683 ClientHeartbeatMsg.java,v
                                                      769 DebugMsg.java,v
11,297 ITCHAdapter.java,v
951 LoginAckMsg.java,v
830 LoginNackMsg.java,v
                    04:00p
                    05:32p
                    04:00p
                    04:00p
                                                        1,268 LoginReqMsg.java,v
                    04:00p
                                                           665 LogoutReqMsg.java,v
588 Makefile,v
                    04:00p
                    04:00p
                                                        1,855 NewOrderMsg.java,v
                    03:19b
10/06/2003
09/16/2003
10/06/2003
10/06/2003
09/16/2003
10/06/2003
                                                       1,549 NewTradeMsg.java,v
1,296 OrderCancelledMsg.java,v
1,441 OrderExecutedMsg.java,v
                    04:00p
                    03:19p
                    03:19p
                                                        2,497 Packet.java,v
                    04:00p
                                                       683 ServerHeartbeatMsg.java,v
1,088 SysEventMsg.java,v
1,139 TradeCancelledMsg.java,v
                    04:00p
                    03:19p
 10/06/2003
                    03:19p
                                                        1,070 TryISLD.java,v
 10/22/2003
                    10:09a
                        18 File(s)
                                                        31,231 bytes
  Directory of D:\cvs\ets\pinnacle\mktdata\isld\Attic
01/01/1601
01/01/1601
10/06/2003
10/06/2003
10/06/2003
10/06/2003
10/06/2003
10/06/2003
                    12:00a
                                        <DIR>
                    12:00a
                                        <DIR>
                                                       809 ClientHeartbeatMsg.java,v
895 DebugMsg.java,v
1,077 LoginAckMsg.java,v
956 LoginNackMsg.java,v
                    03:19p
                    03:19p
                    03:19p
                    03:19p
                    03:19p
                                                        1,394 LoginReqMsg.java,v
                                                            791 LoğoutReqMsg.java,v
                    03:19p
                                                        2,623 Packet.java,v
                    03:19p
10/06/2003
                    03:19p
                                                           809 ServerHeartbeatMsg.java,v
                         8 File(s)
                                                         9.354 bytes
  Directory of D:\cvs\ets\pinnacle\mktdata\lib
01/01/1601
01/01/1601
10/30/2003
10/30/2003
10/30/2003
10/30/2003
                    12:00a
                                        <DIR>
                    12:00a
                                        <DIR>
                                                        2,001 ExchangeQuote.java,v
                    10:57a
                    10:57a
10:57a
                                                        4,772 LastSale.java,v
938 Makefile,v
                                                       4,428 MarketByPrice.java,v
2,798 MDFAdapter.java,v
2,265 MDPublisher.java,v
2,445 MsgBuffer.java,v
1,380 RecoverMktData.java,v
                    10:57a
10/06/2003
10/06/2003
10/22/2003
                    03:19p
03:19p
                    10:10a
10/06/2003
                    03:19p
                         8 File(s)
                                                        21,027 bytes
  Directory of D:\cvs\ets\pinnacle\mktdata\nasdag
01/01/1601
                    12:00a
                                        <DIR>
01/01/1601 12:00a
                                        <DTR>
                                                                 Page 62
```

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```
dir.txt
10/31/2003
                 04:01p
                                  <DIR>
                                                       nqds
10/31/2003
10/31/2003
10/31/2003
10/31/2003
08/27/2003
08/27/2003
10/30/2003
08/27/2003
10/30/2003
08/27/2003
                 04:01p
                                  <DIR>
                                                        omdf
                 04:01p
                                  <DIR>
                                                        sdp
                 04:01p
                                                        ugdf
                                  <DIR>
                                               1,642 ClosingQuoteRecapMsg.java,v
931 GeneralAdminMsg.java,v
716 Makefile,v
                 01:32p
                 01:32p
                 01:32p
                                               5,856 NasdaqAdapter.java,v
                 09:12a
                                               5,277 NasdaqMsg.java,v
1,615 NasdaqPacket.java,v
1,039 TradingActionMsg.java,v
                 01:32p
                 09:12a
08/27/2003
                 01:32p
                      7 File(s)
                                               17,076 bytes
 Directory of D:\cvs\ets\pinnacle\mktdata\nasdaq\nqds
01/01/1601
01/01/1601
09/16/2003
09/16/2003
08/27/2003
10/30/2003
10/30/2003
09/16/2003
                 12:00a
                                   <DIR>
                 12:00a
03:59p
                                   <DIR>
                                               3,710 LongInsideAppendage.java,v
                                               4,889 LongQuote.java,v
716 Makefile,v
                 03:59p
                 01:32p
                                                3,743 NQDSAdapter.java,v
                 09:12a
                                               2,715 NQDSFeed.java,v
3,642 ShortInsideAppendage.java,v
4,783 ShortQuote.java,v
                 09:57a
                 03:59p
09/16/2003
                 03:59p
                      7 File(s)
                                               24.198 bytes
 Directory of D:\cvs\ets\pinnacle\mktdata\nasdaq\omdf
                 12:00a
                                   <DIR>
01/01/1601
01/01/1601
01/01/1601
09/16/2003
09/16/2003
10/30/2003
10/30/2003
10/30/2003
09/16/2003
                  12:00a
                                   <DIR>
                 03:59p
03:59p
                                                3,103 LongInsideAppendage.java,v
                                                4,768 LongQuote.java,v
                                                  716 Makefile, v
                 01:32p
                                               3,742 OMDFAdapter.java,v
2,715 OMDFFeed.java,v
                 09:12a
                 09:58a
03:59p
                                                3,021 ShortInsideAppendage.java,v
                                                4,658 ShortQuote.java,v
09/16/2003
                 03:59p
                      7 File(s)
                                                22,723 bytes
  Directory of D:\cvs\ets\pinnacle\mktdata\nasdaq\sdp
01/01/1601
01/01/1601
10/30/2003
                 12:00a
                                   <DIR>
                 12:00a
                                   <DIR>
                                              Attic
13,805 FullMktData.java,v
                 10:59a
                                   <DIR>
10/06/2003
10/06/2003
10/06/2003
                 03:19p
03:19p
                                                4,771 LastSale.java,v
                                                4,427 MarketByPrice.java,v
                 03:19p
10/06/2003
10/06/2003
10/06/2003
                                                1,886 MktDataSrvr.java,v
                 03:19p
                                                4,618 MktMkrQuotes.java,v
                 03:19p
                                                3,766 SdpMDFAdapter.java,v
                 03:19p
                                                1,973 SdpMDPublisher.java,v
10/06/2003
                 03:19p
                      7 File(s)
                                                35,246 bytes
  Directory of D:\cvs\ets\pinnacle\mktdata\nasdaq\sdp\Attic
01/01/1601
                 12:00a
                                   <DIR>
01/01/1601
10/30/2003
                 12:00a
                                   <DIR>
                                                4,884 LastSale.java,v
                  10:59a
                 10:59a
2 File(s)
                                                4,540 MarketByPrice.java,v
9,424 bytes
10/30/2003
  Directory of D:\cvs\ets\pinnacle\mktdata\nasdaq\uqdf
```

```
dir.txt
01/01/1601
01/01/1601
09/16/2003
09/16/2003
08/27/2003
08/27/2003
09/16/2003
08/27/2003
                        12:00a
                                                  <DIR>
                        12:00a
03:59p
03:59p
                                                  <DIR>
                                                                     3,355 LongNBBOAppendage.java,v
4,154 LongQuote.java,v
                        01:32p
                                                                         712 Makefile, v
                                                                     652 publog.cfg,v
3,363 ShortNBBOAppendage.java,v
4,265 ShortQuote.java,v
                        01:32p
03:59p
                         03:59p
08/27/2003
10/30/2003
10/30/2003
                        01:32p
                                                                         643 uqdf.cfg,v
                         09:12a
                                                                     3,675 UQDFAdapter java,v
                        09:58a
                                                                     2,703 UQDFFeed.java,v
                               9 File(s)
                                                                     23,522 bytes
  Directory of D:\cvs\ets\pinnacle\ordent
01/01/1601
01/01/1601
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
10/28/2003
                        12:00a
                                                   <DIR>
                         12:00a
                                                   <DIR>
                         05:23p
                                                   <DIR>
                                                                                  Attic
                         03:39p
                                                                     1,730 CustOrderCancel.java,v
                         03:39p
03:39p
                                                                     5,159 CustOrderEntry.java,v
964 CustOrderUpdate.java,v
                         05:24p
                                                                     1,770 EcnOrderCancel.java,v
                                                                     2,368 EcnOrderCancer.java,v
5,435 EntryBase.java,v
2,965 EntryEngine.java,v
1,868 EntryHandler.java,v
1,635 FirmOrderCancel.java,v
4,522 FirmOrderEntry.java,v
                        05:31p
05:23p
05:23p
03:39p
                         03:39p
                         03:39p
                        05:23p
05:23p
03:39p
                                                                         796 Makefile,v
527 OrdEnt.cfg,v
260 ordfile,v
                         05:24p
05:24p
05:24p
03:39p
                                                                     3,136 QuoteEntry.java,v
                                                                     247 t_book.cfg,v
1,965 t_book.java,v
1,602 TradeCancel.java,v
4,009 TradeEntry.java,v
                         03:39p
                             18 File(s)
                                                                     40.958 bytes
  Directory of D:\cvs\ets\pinnacle\ordent\Attic
01/01/1601
01/01/1601
10/28/2003
                         12:00a
                                                   <DIR>
                         12:00a
                                                   <DIR>
                                                                     1,557 OrderCancel.java,v
1,557 OrderUpdate.java,v
3,114 bytes
                         05:23p
 10/28/2003
                        05:23p
                               2 File(s)
  Directory of D:\cvs\ets\pinnacle\run
01/01/1601
                        12:00a
                                                  <DIR>
01/01/1601
01/01/1601
10/23/2003
10/31/2003
10/31/2003
10/16/2003
10/16/2003
10/16/2003
                        12:00a
                                                   <DIR>
                        08:34a
04:01p
                                                  <DIR>
                                                                                 Attic
                                                                                  cfg
ref
                                                  <DIR>
                         04:01p
                                                  <DIR>
                         04:01p
                                                   <DIR>
                                                                                  rules
                                                                         416 brut_exec.cfg,v

451 brut_feed.cfg,v

396 custint.cfg,v

479 fix_session,v

404 logger.cfg,v

337 t_fix.cfg,v
                         01:22p
01:22p
                         01:22p
10/16/2003
10/16/2003
10/16/2003
                         01:22p
                         01:09p
                        01:22p
                                                                       2,483 bytes
                               6 File(s)
```

Directory of D:\cvs\ets\pinnacle\run\Attic
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## dir.txt

```
12:00a
                                                     <DIR>
01/01/1601
01/01/1601
10/23/2003
10/23/2003
10/23/2003
10/23/2003
10/23/2003
10/23/2003
                          12:00a
                                                     <DIR>
                                                                              542 brut_exec.cfg,v
                          08:34a
                                                                              577 brut_feed.cfg,v
                          08:34a
                                                                             522 custint.cfg,v
605 fix_session,v
530 logger.cfg,v
                          08:33a
                          08:33a
08:33a
08:33a
                                                                              463 t_fix.cfg,v
                                                                           3,239 bytes
                                 6 File(s)
   Directory of D:\cvs\ets\pinnacle\run\cfg
01/01/1601
01/01/1601
10/23/2003
10/23/2003
10/23/2003
10/23/2003
10/23/2003
10/23/2003
10/23/2003
10/23/2003
10/23/2003
10/23/2003
                          12:00a
12:00a
                                                      <DIR>
                                                      <DIR>
                                                                               451 BRUTBook.cfg, v
                           08:34a
                                                                               416 BRUTExec.cfg, v
                           08:34a
                                                                               478 CustomerInterface.cfg,v
                           08:32a
                                                                          2,286 db_table_to_class_map,v
401 DBDump.cfg,v
438 ExecEngine.cfg,v
                           08:32a
                           08:32a
                           08:32a
                                                                     421 fix_session,v
124,686 FIX42.xml,v
                           08:32a
                           08:32a
                                                                               402 OMSLogger.cfg,v
437 Sequencer.cfg,v
                           08:32a
                           08:32a
 10/23/2003
                                                                        130,416 bytes
                                10 File(s)
   Directory of D:\cvs\ets\pinnacle\run\ref
 01/01/1601
01/01/1601
10/21/2003
10/16/2003
10/16/2003
10/21/2003
10/16/2003
10/21/2003
10/16/2003
10/21/2003
10/21/2003
10/21/2003
                                                      <DIR>
                            12:00a
                            12:00a
                                                      <DIR>
                                                                           1,665 tbl_ref_account,v
                            04:04p
                                                                               188 tbl_ref_account.wemo,v
461 tbl_ref_calendar,v
188 tbl_ref_calendar.memo,v
619 tbl_ref_customer,v
188 tbl_ref_customer.wemo,v
475 tbl_ref_exchange,v
188 tbl_ref_exchange.wemo,v
470 tbl_ref_exchange.memo,v
470 tbl_ref_exchange.wemo,v
                            01:09p
                            04:04p
                            01:09p
                            04:04p
                            01:09p
                            04:04p
                            01:09p
                                                                               479 tbl_ref_exec_venue,v
595 tbl_ref_firm,v
188 tbl_ref_firm.memo,v
                            04:04p
 10/21/2003
10/16/2003
10/21/2003
10/21/2003
10/21/2003
10/21/2003
10/21/2003
10/16/2003
10/21/2003
10/21/2003
10/16/2003
                            04:04p
                            01:09p
                                                                                573 tbl_ref_market,v
                            04:04p
                                                                          573 tbl_ref_market,v
188 tbl_ref_market.memo,v
429 tbl_ref_order_dest,v
679 tbl_ref_product,v
188 tbl_ref_product.memo,v
527 tbl_ref_product_at_venue,v
188 tbl_ref_product_at_venue.memo,v
1,956 tbl_ref_trader,v
188 tbl_ref_trader.memo,v
                            01:09p
                            04:04p
                            04:04p
                            01:09p
                            04:04p
                            01:09p
                            04:04p
                            01:09p
                                 20 File(s)
                                                                           10,150 bytes
    Directory of D:\cvs\ets\pinnacle\run\rules
 01/01/1601
01/01/1601
10/21/2003
10/16/2003
10/21/2003
10/16/2003
10/21/2003
10/16/2003
                            12:00a
12:00a
                                                       <DIR>
                                                       <DIR>
                                                                           533 tbl_rule_commission,v

188 tbl_rule_commission.memo,v

1,421 tbl_rule_key,v

188 tbl_rule_key.v
                            04:04p
                            01:09p
                             04:04p
                            01:09p
                                                                                537 tbl_rule_manning,v
188 tbl_rule_manning.memo,v
                            04:04p
                           01:09p
                                                                                        Page 65
```

```
dir.txt
                                                                 547 tbl_rule_order_display,v
188 tbl_rule_order_display.memo,v
586 tbl_rule_order_entry,v
188 tbl_rule_order_entry.memo,v
529 tbl_rule_pos_limit,v
188 tbl_rule_pos_limit.memo,v
559 tbl_rule_pricing,v
188 tbl_rule_pricing,v
10/21/2003
10/16/2003
10/21/2003
                      04:04p
                      01:09p
                      04:04p
10/16/2003
10/21/2003
10/16/2003
10/21/2003
                      01:09p
                      04:04p
                      01:09p
                      04:04p
                                                                 188 tbl_rule_pricing.memo,v
465 tbl_rule_reporting.v
188 tbl_rule_reporting.memo,v
10/16/2003
                      01:09p
10/21/2003
10/16/2003
10/21/2003
                      04:04p
                      01:09p
                                                                 465 tbl_rule_short_sell,v
188 tbl_rule_short_sell.memo,v
567 tbl_rule_trading,v
                      04:04p
10/16/2003
10/21/2003
                       01:09p
                       04:04p
10/16/2003
                                                                  188 tbl_rule_trading.memo,v
                      01:09p
                          20 File(s)
                                                                8,089 bytes
  Directory of D:\cvs\ets\pinnacle\sdp
                      12:00a
12:00a
01/01/1601
01/01/1601
                                             <DIR>
                                             <DIR>
10/31/2003
10/31/2003
10/31/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
                       04:01p
                                             <DIR>
                                                                         mdsrvr
                       04:01p
                                             <DIR>
                                                                         msgsrvr
                       04:01p
                                             <DIR>
                                                                          txnsrvr
                       01:32p
                                                              1,013 Makefile,v
                                                              2,747 Sdp.java,v
2,920 SdpAccess.java,v
1,593 SdpConnection.java,v
                       01:32p
                      01:32p
01:32p
                                                                  964 SdpHBeatEchoer.java,v
                       01:32p
                                                              3,190 SdpHeartbeat.java,v
905 SdpLogoffReq.java,v
1,044 SdpLogoffResp.java,v
                       01:32p
                       01:32p
                       01:32p
                                                              1,798 SdpLogonReq.java,v
                       01:32p
                      01:32p
01:32p
                                                              1,836 SdpLogonResp.java,v
848 SdpMenuReq.java,v
                                                              1,330 SdpMenuResp.java,v
4,779 SdpMsg.java,v
732 SdpMsgHandler.java,v
                       01:32p
                       04:01p
                      01:32p
01:32p
                                                              1,290 SdpNackResp.java,v
                                                              1,808 SdpSigninReq.java,v
                       01:32p
                                                              1,445 SdpSigninResp.java,v
1,204 SdpSignoutReq.java,v
                       01:32p
                       01:32p
                                                              1,273 SdpSignoutResp.java,v
                       01:32p
                                                              2,818 SdpTransport.java,v
35,537 bytes
10/23/2003
                      11:08a
                          20 File(s)
  Directory of D:\cvs\ets\pinnacle\sdp\mdsrvr
                      12:00a
01/01/1601
                                             <DIR>
01/01/1601
01/01/1601
08/27/2003
09/16/2003
08/27/2003
08/27/2003
                       12:00a
                                             <DIR>
                      01:32p
                                                                  617 Makefile, v
                      04:01p
                                                            10,850 QuoteData.java,v
2,700 QuoteRegReq.java,v
                      01:32p
                      01:32p
                                                                  822 QuoteRegResp.java,v
                            4 File(s)
                                                              14,989 bytes
  Directory of D:\cvs\ets\pinnacle\sdp\msgsrvr
01/01/1601
01/01/1601
08/27/2003
08/27/2003
08/27/2003
08/27/2003
                      12:00a
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                      12:00a
                                             <DIR>
                                                              2,272 ExecRegReq.java,v
1,258 ExecRegResp.java,v
645 Makefile,v
                      01:32p
                      01:32p
01:32p
                                                              1,140 SumoExecAck.java,v
                      01:32p
                                                                        Page 66
```

```
dir.txt
10/06/2003 11:42a
                                               3,252 SumoExecution.java,v
                     5 File(s)
                                                 8.567 bytes
 Directory of D:\cvs\ets\pinnacle\sdp\txnsrvr
01/01/1601
                 12:00a
                                  <DIR>
01/01/1601
01/01/1601
08/29/2003
08/29/2003
08/29/2003
                 12:00a
                                  <DIR>
                 11:24a
                                               1,079 Makefile,v
                 11:24a
                                               1,006 SumoOrderCancelReg.java,v
                 11:24a
                                                  941 SumoOrderCancelResp.java,v
                                               3,967 SumoOrderEntryReq.java,v
08/29/2003

08/27/2003

08/27/2003

08/27/2003

08/27/2003

08/27/2003

08/27/2003

08/27/2003

08/27/2003

08/27/2003

08/27/2003

08/27/2003
                 11:24a
                 01:32p
                                               1,140 SumoOrderEntryResp.java,v
                 01:32p
                                                3,118 SumoQuoteUpdateReq.java,v
                                               1,766 SumoQuoteUpdateResp.java,v
1,255 TxnSrvrDeRegReq.java,v
1,138 TxnSrvrDeRegResp.java,v
1,140 TxnSrvrErrorCodeReq.java,v
                 01:32p
                 01:32p
                 01:32p
                 01:32p
                                               1,131 TxnSrvrErrorCodeResp.java,v
                 01:32p
                                               1,362 TxnSrvrMsgType.java,v
1,270 TxnSrvrRegReq.java,v
                 01:32p
                 01:32p
                                                1,190 TxnSrvrRegResp.java,v
                 01:32p
                                               1,374 TxnSrvrReqHdr.java,v
1,596 TxnSrvrRespHdr.java,v
24,473 bytes
                 01:32p
01:32p
                    16 File(s)
 Directory of D:\cvs\ets\pinnacle\sequencer
01/01/1601
                 12:00a
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01/01/1601
10/23/2003
                 12:00a
09:06a
                                  <DIR>
                                               1,424 Loggable.java,v
10/23/2003
10/23/2003
                 09:06a
                                                  419 Makefile, v
                                               1,827 SeqProcessor.java,v
                 09:06a
10/23/2003
                 09:06a
                                               1,229 Sequencer.java.v
                     4 File(s)
                                                 4,899 bytes
 Directory of D:\cvs\ets\pinnacle\test
01/01/1601
01/01/1601
10/24/2003
10/24/2003
                 12:00a
                                  <DIR>
                 12:00a
                                  <DIR>
                 02:15p
                                  <DIR>
                                                        Attic
                                                  177 testfile.java,v
                 02:07p
10/24/2003
                                                  369 TestPublisher.cfg,v
                 01:52p
                 01:52p
01:52p
10/24/2003
10/24/2003
                                               3,468 TestPublisher.java,v
                                               360 TestSubscriber.cfg,v
1,081 TestSubscriber.java,v
                 01:52p
10/24/2003
                     5 File(s)
                                                 5,455 bytes
 Directory of D:\cvs\ets\pinnacle\test\Attic
01/01/1601
                 12:00a
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01/01/1601
10/24/2003
                .12:00a
                                  <DIR>
                 02:15p
                                                  292 testfile.java,v
                                                  289 testfile2.java,v
10/24/2003
                 02:12p
                     2 File(s)
                                                    581 bytes
 Directory of D:\cvs\ets\slicer
01/01/1601
01/01/1601
10/06/2003
                 12:00a
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                 12:00a
                                  <DIR>
                                              77,644 debug_output,v
378 debug_output.lck,v
4,590 ExecListener.java,v
                 11:42a
08/27/2003
10/06/2003
08/27/2003
                 01:32p
                 11:42a
                                                  592 Logger.cfg,v
                01:32p
                                                       Page 67
```

```
dir.txt
                                                     779 Makefile,v
2,386 PreOpenRiskMgmt.java,v
13,838 QuoteListener.java,v
08/27/2003
08/27/2003
                   01:32p
                   01:32p
08/27/2003
10/06/2003
10/06/2003
08/27/2003
10/06/2003
08/27/2003
08/29/2003
                   11:42a
                                                       6,846 QuoteUpdater.java,v
                   11:42a
                   01:32p
                                                          611 slicer.cfg,v
                                                    11,832 Slicer.cig,v

11,832 Slicer.java,v

623 slicer_specs,v

378 slicer_specs.memo,v

6,927 SlicerSpecs.java,v

4,488 SliceTableModel.java,v
                   11:42a
                    11:42a
                   01:32p
                    11:23a
08/27/2003
08/27/2003
10/06/2003
                   01:32p
01:32p
                                                     21,037 SlicingApp.java,v
                                                       4,172 StartSlicer.java,v
4,195 StatusTable.java,v
                   11:42a
                   11:42a
10/06/2003
                       17 File(s)
                                                     161,316 bytes
  Directory of D:\cvs\ets\sumosrvr
01/01/1601
01/01/1601
10/07/2003
10/06/2003
11/03/2003
10/22/2003
10/06/2003
10/06/2003
10/06/2003
10/06/2003
10/06/2003
                    12:00a
                                        <DIR>
                    12:00a
                                        <DIR>
                                        <DIR>
                                                                 Attic
                    10:10a
                    04:46p
07:12a
                                                           538 Consumer.java,v
                                                     4,616 ExecListener.java,v
691 Makefile,v
13,590 OrderSender.java,v
1,023 OUCHClient.java,v
                    11:57a
                    08:52a
04:46p
                                                           620 OUCHMsgDumper.java,v
                    04:46p
                                                        1,441 OUCHServer java,v
694 Producer java,v
                    04:46p
                    04:46p
                                                       4,486 RefNumMap.java,v
3,789 SumoServer.java,v
4,003 t_ouchclient.java,v
                    11:57a
 10/06/2003
                    04:46p
                    11:57a
11:57a
10/22/2003
10/22/2003
                                                           665 t_respReader.java,v
 10/21/2003
                    09:58a
                                                           603 test_data,v
                       13 File(s)
                                                        36,759 bytes
  Directory of D:\cvs\ets\sumosrvr\Attic
01/01/1601
01/01/1601
10/06/2003
                    12:00a
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                    12:00a
                                         <DTR>
                                                        1,117 t_nb.java,v
                    04:47p
                                                        2,573 t_ouchclient.java,v
563 t_queue.java,v
10/06/2003
10/06/2003
10/06/2003
                    04:47p
                    04:47p
                                                        1,275 t_st.javá,v
                    04:47p
                                                         5,528 bytes
                         4 File(s)
  Directory of D:\cvs\ets\tools
                    12:00a
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 01/01/1601
 01/01/1601
10/31/2003
                    12:00a
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                    04:01p
                                         <DIR>
                                                                  db
10/31/2003
10/31/2003
10/31/2003
10/31/2003
10/31/2003
                                                                  entity
                    04:01p
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                    04:01p
                                                                  ft
                                         <DIR>
                                                                 memfile
                    04:01p
                                         <DIR>
                    04:01p
                                         <DIR>
                                                                 msg
                                                                  net
                    04:01p
                                         <DIR>
 10/31/2003
10/31/2003
10/31/2003
08/27/2003
                                                                  sched
                    04:01p
                                         <DIR>
                    04:01p
                                                                  util
                                         <DIR>
                                                           558 make.bash,v
                    01:32p
                                                             558 bytes
                         1 File(s)
  Directory of D:\cvs\ets\tools\db
 01/01/1601 12:00a
                                         <DIR>
```

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```
dir.txt
01/01/1601
08/27/2003
08/27/2003
                  12:00a
                                    <DIR>
                                                     782 build.xml,v
                  01:32p
                                                  4,586 Database.java,v
694 DBCallback.java,v
                  01:32p
08/27/2003
                  01:32p
                 01:32p
08/27/2003
                                                     574 Makefile,v
                       4 File(s)
                                                    6,636 bytes
  Directory of D:\cvs\ets\tools\entity
01/01/1601
                  12:00a
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01/01/1601
01/01/1601
10/27/2003
10/27/2003
08/27/2003
                  12:00a
                                     <DIR>
                                                1,566 Attribute.java,v
7,796 Entity.java,v
11,213 EntityCollection.java,v
                  09:02a
                  09:50a
                  09:02a
                                                     718 Makefile, v
                  01:32p
10/30/2003
10/22/2003
10/27/2003
                                                  1,835 NameValuePair.java,v
5,352 NVPParser.java,v
6,825 Parser.java,v
                  08:39a
                  06:43a
                  09:02a
                                                  9,386 STRParser.java,v
4,093 Subject.java,v
                  08:39a
 10/30/2003
 10/30/2003
                  08:39a
                       9 File(s)
                                                  48,784 bytes
  Directory of D:\cvs\ets\tools\ft
01/01/1601
01/01/1601
10/06/2003
08/27/2003
08/27/2003
10/06/2003
08/27/2003
08/27/2003
                  12:00a
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                                     <DTR>
                                                  4,010 FTGroup.java,v
738 FTGroupInterface.java,v
                  02:48p
                  01:32p
                                                     938 FTMember java, v
                  01:32p
                  02:48p
01:32p
                                                  1,561 HBeatGenerator.java,v
1,090 HBeatHandler.java,v
                  01:32p
                                                  2,463 Heartbeat.java,v
08/27/2003
                  01:32p
                                                     678 Makefile, v
                                                  11,478 bytes
                       7 File(s)
  Directory of D:\cvs\ets\tools\memfile
01/01/1601
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01/01/1601
                  12:00a
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10/06/2003
10/06/2003
08/27/2003
08/27/2003
08/27/2003
10/13/2003
                  04:54p
                                                     559 DefaultOnRecovery.java,v
                                                  9,382 FileBlock.java,v
1,892 FileCtrlBlock.java,v
                  02:48p
                  01:32p
                                                  3,622 FileRecord.java,v
                  01:32p
                                                  1,589 Index.java,v
7,766 IndexedMemFile.java,v
863 Makefile,v
                  01:32p
11:33a
10/06/2003
10/06/2003
10/06/2003
                  02:48p
                  02:48p
                                                  7,243 MemoryMappedFile.java,v
                                                  909 OnRecovery.java,v
1,979 RecordHeader.java,v
                  02:48p
08/27/2003
08/27/2003
                  01:32p
                  01:32p
                                                  2,859 RecordTrailer.java,v
                                                  3,934 TestMemFile.java,v
42,597 bytes
10/06/2003
                  04:54p
                     12 File(s)
 Directory of D:\cvs\ets\tools\msg
01/01/1601
                  12:00a
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01/01/1601
                  12:00a
                                    <DIR>
08/27/2003
10/24/2003
                                                690 Makefile,v
10,120 Message.java,v
3,246 Msglog.java,v
                  01:32p
                  01:15p
10/27/2003
10/24/2003
08/27/2003
                  03:47p
                                                  2,429 OnMsglogRecovery.java,v
1,278 Syncinfo.java,v
                  01:15p
                  01:32p
                                                  1,735 SýncInfoTřee.java,v
10/23/2003
                 03:53p
                                                          Page 69
```

```
dir.txt
                                                               2,147 SyncMsg.java,v
1,621 TestMsg.java,v
08/27/2003
08/27/2003
                      01:32p
                      01:32p
                             8 File(s)
                                                                23,266 bytes
 Directory of D:\cvs\ets\tools\net
01/01/1601
01/01/1601
10/16/2003
08/27/2003
10/23/2003
10/27/2003
10/06/2003
10/06/2003
10/27/2003
08/27/2003
09/16/2003
10/24/2003
08/27/2003
09/16/2003
10/13/2003
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                       12:00a
                                              <DIR>
                                                                1,180 Makefile,v
                       01:48p
                                                                1,032 McastTransport.java,v
2,890 NetworkToQueue.java,v
5,633 Publisher.java,v
                       01:32p
                       03:17p
                       03:47p
                                                                3,561 Queue.java,v
1,330 QueueServer.java,v
2,263 QueueToNetwork.java,v
4,019 Recoverer.java,v
                       11:57a
                       02:48p
                       02:48p
                       03:55p
                                                                4,ULY KECOVERER.JAVA,V
1,548 RVMSgHandler.java,V
3,857 RVTransport.java,V
8,720 Subscriber.java,V
710 SubscriberInterface.java,V
2,017 TCPListener.java,V
6,309 TCPTransport.java,V
1,415 Transport java,V
                       01:32p
                       04:03p
                       01:15p
                       01:32p
                       04:03p
 10/13/2003
10/24/2003
                       11:33a
01:15p
                                                                 1,415 Transport.java,v
                                                                 7,992 UDPTransport.java,v
54,476 bytes
 10/24/2003
                        01:15p
                           16 File(s)
  Directory of D:\cvs\ets\tools\sched
01/01/1601
01/01/1601
08/27/2003
08/27/2003
08/27/2003
08/27/2003
10/06/2003
08/27/2003
08/27/2003
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                        12:00a
                                               <DIR>
                                                                 1,070 EventDispatcher.java,v
667 Makefile,v
                        01:32p
                        01:32p
01:32p
                                                                 445 sched.cfg,v
1,397 scheduleListener.java,v
1,572 scheduler.java,v
2,410 TimedEvent.java,v
                        01:32p
                        02:48p
                        01:32p
01:32p
                                                                 1.032 TimedEventHandler.java,v
                                                                   8,593 bytes
                              7 File(s)
   Directory of D:\cvs\ets\tools\util
 01/01/1601
01/01/1601
10/06/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
08/27/2003
                        12:00a
12:00a
02:48p
                                               <DIR>
                                                <DIR>
                                                                 2,677 DateTime.java,v
                        01:32p
01:32p
01:32p
                                                                     999 EnumValueSet.java,v
                                                                  1,064 Formatters.java,v
                                                                 1,761 LogFormatter.java,v
756 Makefile,v
                        01:32p
                                                                 1,465 OMSLogger.java,v
1,962 ProgProperties.java,v
1,737 StringToHashMap.java,v
                        01:32p
                        01:32p
11:23a
                      01:32p
                                                                 1,173 StringToList.java,v
1,051 Timestamp.java,v
14,645 bytes
 08/27/2003 01:32p
10 File(s)
   Directory of D:\cvs\prule
 01/01/1601
01/01/1601
                         12:00a
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                         12:00a
                                                <DIR>
                                                <DIR>
                                                                              src
  10/31/2003
                       04:08p
                                                                           0 bytes
                              0 File(s)
   Directory of D:\cvs\prule\src
                                                                            Page 70
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```
dir.txt
01/01/1601
               12:00a
                              <DIR>
01/01/1601
               12:00a
                              <DIR>
10/31/2003
               04:08p
                              <DIR>
                                                  COM
                   0 File(s)
                                                0 bytes
 Directory of D:\cvs\prule\src\com
01/01/1601
01/01/1601
               12:00a
                              <DIR>
               12:00a
                              <DIR>
10/31/2003
               04:08p
                               <DIR>
                                                  crown
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\prule\src\com\crown
01/01/1601
01/01/1601
10/31/2003
               12:00a
                               <DIR>
               12:00a
                              <DIR>
                               <DIR>
                                                  pinnacle
               04:08p
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\prule\src\com\crown\pinnacle
01/01/1601
01/01/1601
10/31/2003
               12:00a
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               12:00a
                               <DIR>
               04:08p
                                                  common
                               <DIR>
10/31/2003
10/31/2003
               04:08p
04:08p
                               <DIR>
                                                  data
                               <DIR>
                                                  gui
10/31/2003
               04:08p
                               <DIR>
                                                  ūtil
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\prule\src\com\crown\pinnacle\common
01/01/1601
01/01/1601
               12:00a
                               <DIR>
               12:00a
                               <DIR>
                                         17,854 Constants.java,v
17,854 bytes
10/16/2003
               07:56a
                   1 File(s)
 Directory of D:\cvs\prule\src\com\crown\pinnacle\data
01/01/1601
01/01/1601
10/31/2003
10/31/2003
10/31/2003
               12:00a
                               <DIR>
               12:00a
                               <DIR>
               04:08p
                               <DIR>
                                                  common
               04:08p
                               <DIR>
                                                  refs
               04:08p
                               <DIR>
                                                  rules
                   0 File(s)
                                                 0 bytes
 Directory of D:\cvs\prule\src\com\crown\pinnacle\data\common
01/01/1601
               12:00a
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01/01/1601
               12:00a
                               <DIR>
                                          1,960 VersionInfo.java,v
10/16/2003
               07:46a
                                           1,960 bytes
                   1 File(s)
 Directory of D:\cvs\prule\src\com\crown\pinnacle\data\refs
01/01/1601
               12:00a
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01/01/1601
01/01/1601
10/16/2003
10/16/2003
10/16/2003
10/16/2003
10/16/2003
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                                          2,868 Account.java,v
               07:46a
               07:46a
                                          2,182 AcctCust.java,v
                                          3,234 CustCoverage.java,v
7,314 CustIDMapping.java,v
               07:46a
               07:46a
                                          6,839 Customer.java,v
3,214 CustTradingAcct.java,v
               07:46a
               07:46a
                                                 Page 71
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dir.txt
10/16/2003
10/16/2003
10/16/2003
                     07:46a
                                                               624 IRefData.java,v
                                                           3,588 RefDataBase.java,v
5,794 RefDataCache.java,v
3,509 Trader.java,v
                     07:46a
                     07:46a
10/16/2003
                     07:46a
                         10 File(s)
                                                           39,166 bytes
 pirectory of D:\cvs\prule\src\com\crown\pinnacle\data\rules
01/01/1601
01/01/1601
10/16/2003
                     12:00a
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                     12:00a
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                                                           4,692 AutoExSize.java,v
4,416 CommissionEnt.java,v
5,144 CommissionRuleData.java,v
996 DelvInstrs.java,v
                     07:46a
10/16/2003
10/16/2003
10/16/2003
10/16/2003
                     07:46a
07:46a
                     07:46a
                                                           996 ExecInstrs.java,v
986 ExecOpts.java,v
1,003 HandlInstrs.java,v
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1,135 application_2.html,v
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1,118 receiving_messages_3.html,v
546 receiving_messages_4.html,v
1,073 repeating_groups_1.html,v
1,095 repeating_groups_2.html,v
764 sending_messages_1.html,v
764 sending_messages_3.html,v
978 sending_messages_3.html,v
978 sending_messages_4.html,v
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                                                                                         704 sending_messages_4.html,v
530 user_defined_1.html,v
758 user_defined_2.html,v
Page 77
09/19/2003
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09/19/2003
09/19/2003
                              10:44a
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dir.txt
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1,218 developersButtonOver.gif,v
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1,015 sending_messages_3.html,v
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```

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09/19/2003
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695 build.sh,v
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9,209 Application.cpp,v
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10,753 Makefile.in,v
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dir.txt
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416 Makefile.am,v
9,451 Makefile.in,v
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636 build.bat,v
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dir.txt
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9,590 Makefile.in,v
6,754 Order.java,v
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3,967 OrderTIF.java,v

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3,683 ExecutionTable.java,v
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9,482 generate_java_fields.xsl,v
14,211 generate_java_messages.xsl,v
1,331 generate_net.bat,v
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3,792 java_MessageFactory.xsl,v
68,312 lex.yy.c,v
439 Makefile.am,v
6,563 Makefile.in,v
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12,383 Conversions.h,v
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3,548 JavaLogFactory.cpp,v
3,175 JavaLogFactory.h,v
15,014 JavaMessageStore.cpp,v
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3,288 JavaMessageStoreFactory.h,v
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5,980 JvM.h,v
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20,319 Makefile.my,v
20,035 Makefile.mysql,v
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6,039 org_quickfix_FileStore.h,v
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4,993 org_quickfix_FileStoreFactory.h,v
5,748 org_quickfix_FileStoreFactory.h,v
8,906 org_quickfix_FileStoreFactory.h,v
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4,993 org_quickfix_MemoryStore.h,v
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4,583 org_quickfix_Message.h,v
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1,353 org_quickfix_ScreenLogFactory.h,v
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3,581 CharField.java,v
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                                                    3,597 DateField.java,v
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dir.txt
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                                                                           3,885 DetaultMessageFactory.jav

2,896 DONOtSend.java,v

3,575 DoubleField.java,v

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3,026 FieldNotFound.java,v

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5,348 FileStore.java,v
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3,048 IncorrectDataFormat.java,v
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2,902 Initiator.java,v
3,553 IntField.java,v
2,981 InvalidMessage.java,v
2,938 Log.java,v
2,873 LogFactory.java,v
459 Makefile.am,v
11,028 Makefile.in,v
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4,541 ThreadedSocketInitiator.java,v
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09/19/2003
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802 Adjustment.java,v
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685 AdvRefID.java,v
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    09/19/2003
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	40.44	dir.txt
09/19/2003	10:44a	829 Advside.java.v
09/19/2003	10:44a	821 AdvTransType.java,v
09/19/2003	10:44a	712 AffectedorderID.java,v
09/19/2003	10:44a	739 AffectedSecondaryOrderID.java,v
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09/19/2003	10:44a	697 AllocAvgPx.java.v
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09/19/2003	10:44a	685 AllocID.java,v
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09/19/2003	10:44a	697 AllocPrice.java,v 688 AllocQty.java,v
09/19/2003 09/19/2003	10:44a 10:44a	1,083 AllocRejCode.java,v
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03/ 13/ 2003	20.714	Page 91
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09/19/2003	10:44a	724 E	EncodedListExecInst.java,v
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1,122 SecurityRequestResult.java,v
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dir.txt
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1,503 14c_TagNotDefinedForMsgType.def,v
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                      10:44a
                      10:44a
 09/19/2003
                      10:44a
                                                             1,497 2f_PossDupOrigSendingTimeTooHigh.def,v
1,614 2g_PossDupNoOrigSendingTime.def,v
1,216 2i_BeginStringValueUnexpected.def,v
09/19/2003
                      10:44a
 09/19/2003
                      10:44a
                      10:44a
09/19/2003
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dir.txt
                                                                      2,101 2k_CompIDDoesNotMatchProfile.def,v
                         10:44a
09/19/2003
                                                                      2,181 2m_BodyLengthValueNotCorrect.def,v
1,447 2o_sendingTimeValueOutOfRange.def,v
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
                         10:44a
                         10:44a
                                                                      862 2q_MsgTypeNotValid.def,v
965 2r_UnregisteredMsgType.def,v
1,062 2t_FirstThreeFieldsOutoforder.def,v
                         10:44a
                         10:44a
                         10:44a
                                                                      1,343 3b_InvalidChecksum.def,v
09/19/2003
09/19/2003
09/19/2003
                         10:44a
                                                                      1,166 3c_GarbledMessage.def,v
841 4a_NoDataSentDuringHeartBtInt.def,v
                         10:44a
                         10:44a
                                                                           760 4b_ReceivedTestRequest.def,v
 09/19/2003
                         10:44a
                                                                       1,367 6_SendTestRequest.def,v
 09/19/2003
09/19/2003
                          10:44a
                                                                      775 7_ReceiveRejectMessage.def,v
4,771 8_AdminAndApplicationMessages.def,v
                          10:44a
                          10:44a
 09/19/2003
                                                                      1,607 8_onlyAdminMessages.def,v
2,345 8_onlyApplicationMessages.def,v
 09/19/2003
09/19/2003
                          10:44a
                          10:44a
                              50 File(s)
                                                                       64,020 bytes
   Directory of D:\cvs\qfix\test\definitions\server\fix42
 01/01/1601
01/01/1601
09/19/2003
                          12:00a
                                                    <DIR>
                           12:00a
                                                    <DIR>
                                                                       866 10_MsgSeqNumEqual.def,v
907 10_MsgSeqNumGreater.def,v
1,029 10_MsgSeqNumLess.def,v
1,116 11a_NewSeqNoGreater.def,v
1,108 11b_NewSeqNoEqual.def,v
1,390 11c_NewSeqNoLess.def,v
712 13b_UnsolicitedLogoutMessage.def,v
                          10:44a
 09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
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                          10:44a
                          10:44a
                           10:44a
                          10:44a
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                           10:44a
                                                                       2,269 14a_BadField.def,v
1,668 14b_RequiredFieldMissing.def,v
1,518 14c_TagNotDefinedForMsgType.def,v
1,414 14d_TagSpecifiedWithoutValue.def,v
                           10:44a
 09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
                           10:44a
                           10:44a
                           10:44a
                                                                        2,060 14e_IncorrectEnumValue.def,v
1,331 14f_IncorrectDataFormat.def,v
                           10:44a
                                                                        1,331 14f_IncorrectDataFormat.def,v
1,712 14g_HeaderBodyTrailerFieldsOutOfOrder.def,v
                           10:44a
                           10:44a
                           10:44a
                                                                        1,768
  15_HeaderAndBodyFieldsOrderedDifferently.def,v
 1,494
19a_PossResendMessageThatHAsAlreadyBeenSent.def,v
09/19/2003 10:44a 1,411 19b_PossResendMessageThatHasNotBeenSent.def,v
09/19/2003 10:44a 713 1a_validLogonMsgSeqNumTooHigh.def,v
09/19/2003 10:44a 606 1a_validLogonWithCorrectMsgSeqNum.def,v
09/19/2003 10:44a 901 1b_DuplicateIdentity.def,v
09/19/2003 10:44a 531 1c_InvalidSenderCompID.def,v
                                                                       bub La_ValidLogonWithCorrectMsgSeqNum.def
901 1b_DuplicateIdentity.def,v
531 1c_InvalidSenderCompID.def,v
531 1c_InvalidTargetCompID.def,v
550 1d_InvalidLogonLengthInvalid.def,v
526 1d_InvalidLogonWrongBeginString.def,v
521 1e_NotLogonMessage.def,v
1,895 20_SimultaneousResendRequest.def,v
1,632
  09/19/2003
09/19/2003
                           10:44a
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                           10:44a
10:44a
                           10:44a
  21_RepeatingGroupSpecifierWithValueOfZero.def,v
  21_Repeatir
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
                                                                        939 2a_MsgSeqNumCorrect.def,v
1,392 2b_MsgSeqNumTooHigh.def,v
                           10:44a
                           10:44a
                                                                        831 2c_MsgSeqNumTooLow.def,v
1,417 2d_GarbledMessage.def,v
936 2e_PossDupAlreadyReceived.def,v
                           10:44a
                           10:44a
                            10:44a
                                                                        1,034 2e_PossDupNotReceived.def,v
1,547 2f_PossDupOrigSendingTimeTooHigh.def,v
1,665 2g_PossDupNoOrigSendingTime.def,v
1,216 2i_BeginStringValueUnexpected.def,v
                            10:44a
                            10:44a
                            10:44a
                            10:44a
                                                                         2,146 2k_CompIDDoesNotMatchProfile.def,v
2,250 2m_BodyLengthValueNotCorrect.def,v
                            10:44a
   09/19/2003
                            10:44a
                                                                         1,473 20_SendingTimeValueOutOfRange.def,v
   09/19/2003
                            10:44a
                                                                                    Page 109
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dir.txt
                                                                                         875 2q_MsgTypeNotValid.def,v
952 2r_UnregisteredMsgType.def,v
062 2t_FirstThreeFieldsOutOfOrder.def,v
  09/19/2003
09/19/2003
09/19/2003
                                10:44a
                                10:44a
                                10:44a
                                                                                     1,062
  09/19/2003
                                                                                     1,355 3b_InvalidChecksum.def,v
                                10:44a
 09/19/2003
09/19/2003
09/19/2003
09/19/2003
                                                                                     1,166 3c_GarbledMessage.def,v
                                10:44a
                                                                                          841 4a_NoDataSentDuringHeartBtInt.def,v
760 4b_ReceivedTestRequest.def,v
                                10:44a
                                10:44a
                                10:44a
                                                                                     1,360 6_SendTestRequest.def.v
  09/19/2003
09/19/2003
                                                                                                    7_ReceiveRejectMessage.def,v
8_AdminAndApplicationMessages.def,v
                                10:44a
                                                                                     4,952
                                10:44a
  09/19/2003
                                                                                     1,597 8_OnlyAdminMessages.def,v
                                10:44a
                                                                                     2,470 8_OnlyApplicationMessages.def,v
67,190 bytes
  09/19/2003
                                10:44a
                                     51 File(s)
    Directory of D:\cvs\qfix\test\definitions\server\fix43
  01/01/1601
                               12:00a
                                                               <DIR>
 01/01/1601
01/01/1601
09/19/2003
09/19/2003
09/19/2003
09/19/2003
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09/19/2003
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                                                                                          866 10_MsgSeqNumEqual.def,v
                                                                                                    10_MsgSeqNumGreater.def,v
10_MsgSeqNumLess.def,v
                                10:44a
                                                                                          907
                                10:44a
                                                                                     1,029
                                10:44a
                                                                                    1,116 11a_NewSeqNoGreater.def,v
1,108 11b_NewSeqNoEqual.def,v
1,390 11c_NewSeqNoLess.def,v
                                10:44a
                                10:44a
                                10:44a
                                                                                          712
                                                                                                    13b_UnsolicitedLogoutMessage.def.v
                                                                                    2,269 14a_BadField.def,v
1,668 14b_RequiredFieldMissing.def,v
1,518 14c_TagNotDefinedForMsgType.def,v
1,414 14d_TagSpecifiedWithoutValue.def,v
                                10:44a
                                10:44a
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09/19/2003
09/19/2003
                                10:44a
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                                                                                     2,057 14e_IncorrectEnumValue.def,v
1,331 14f_IncorrectDataFormat.def,v
1,766 14g_HeaderBodyTrailerFieldsOutOfOrder.def,v
                                10:44a
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                               10:44a
                               10:44a
                                                                                     1,768
  15_HeaderAndBodyFieldsOrderedDifferently.def,v
09/19/2003 10:44a 1,494
19a_PossResendMessageThatHAsAlreadyBeenSent.def,v
09/19/2003 10:44a 1,411 19b_PossResendMessageThatHO9/19/2003 10:44a 713 1a_ValidLogonMsgSeqNumTookO9/19/2003 10:44a 606 1a_ValidLogonWithCorrectMSO9/19/2003 10:44a 901 1b_DuplicateIdentity.def,v
09/19/2003 10:44a 901 1b_DuplicateIdentity.def,v
09/19/2003 10:44a 531 1c_InvalidSenderCompID.def
09/19/2003 10:44a 531 1c_InvalidLogonLengthInval
09/19/2003 10:44a 550 1d_InvalidLogonLengthInval
09/19/2003 10:44a 526 1d_InvalidLogonWrongBegins
09/19/2003 10:44a 521 1e_NotLogonMessage.def,v
09/19/2003 10:44a 1,895 20_SimultaneousResendReque
09/19/2003 10:44a 939 2a_MsgSeqNumCorrect.def,v
09/19/2003 10:44a 939 2a_MsgSeqNumCorrect.def,v
09/19/2003 10:44a 1,392 2b_MsgSeqNumTooHigh.def,v
09/19/2003 10:44a 831 2c_MsgSeqNumTooLow.def,v
  09/19/2003
                                                                                     1,494
                               10:44a
                                                                                    1,411 19b_PossResendMessageThatHasNotBeenSent.def,v
713 1a_Va]idLogonMsgSeqNumTooHigh.def,v
                                                                                         fig. da_validLogonmsgseqnumlooHigh.def,v
606 la_ValidLogonwithCorrectMsgSeqNum.def,v
901 lb_DuplicateIdentity.def,v
531 lc_InvalidSenderCompID.def,v
531 lc_InvalidTargetCompID.def,v
550 ld_InvalidLogonLengthInvalid.def,v
                                                                                    526 1d_InvalidLogonWrongBeginString.def,v
521 1e_NotLogonMessage.def,v
1,895 20_SimultaneousResendRequest.def,v
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
                                                                                    831 2c_MsgSeqNumTooLow.def,v
1,417 2d_GarbledMessage.def,v
936 2e_PossDupAlreadyReceived.def,v
                               10:44a
                              10:44a
                               10:44a
                                                                                   936 2e_PossDupAlreadyReceived.def,v
1,034 2e_PossDupNotReceived.def,v
1,547 2f_PossDupOrigSendingTimeTooHigh.def,v
1,665 2g_PossDupNoOrigSendingTime.def,v
1,216 2i_BeginStringValueUnexpected.def,v
2,146 2k_CompIDDoesNotMatchProfile.def,v
2,257 2m_BodyLengthValueNotCorrect.def,v
1,473 2o_SendingTimeValueOutOfRange.def,v
875 2q_MsgTypeNotValid.def,v
947 2r UnregisteredMsgType.def.v
09/19/2003
09/19/2003
                              10:44a
                               10:44a
 09/19/2003
                              10:44a
09/19/2003
09/19/2003
09/19/2003
09/19/2003
                              10:44a
                               10:44a
                              10:44a
                              10:44a
09/19/2003
09/19/2003
                              10:44a
                                                                                    947 2r_UnregisteredMsgType.def,v
1,062 2t_FirstThreeFieldsOutOfOrder.def,v
                              10:44a
 09/19/2003
                              10:44a
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dir.txt
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
                                                              1,355 3b_InvalidChecksum.def,v
1,166 3c_GarbledMessage.def,v
841 4a_NoDataSentDuringHeartBtInt.def,v
760 4b_ReceivedTestRequest.def,v
                       10:44a
                       10:44a
                       10:44a
                       10:44a
                                                              1,360 6_SendTestRequest.def,v
775 7_ReceiveRejectMessage.def,v
4,952 8_AdminAndApplicationMessages.def,v
1,597 8_OnlyAdminMessages.def,v
2,470 8_OnlyApplicationMessages.def,v
67,176 bytes
                       10:44a
                       10:44a
                       10:44a
09/19/2003
09/19/2003
                       10:44a
                       10:44a
                           51 File(s)
  Directory of D:\cvs\qfix\test\definitions\server\future
01/01/1601
01/01/1601
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
09/19/2003
                       12:00a
                                              <DIR>
                       12:00a
                                              <DIR>
                                                               408 .cvsignore,v
1,372 14h_RepeatedTag.def,v
                       10:44a
                       10:44a
                                                               1,366 14i_Repeated ag.def,v
1,366 14i_RepeatingGroupCountNotEqual.def,v
1,393 14j_OutOfRepeatingGroupMembers.def,v
1,306 14k_EmbeddedSOH.def,v
                       10:44a
                       10:44a
                       10:44a
                                                                   606 la_ValidLogonWithCorrectMsgSeqNum.def,v
                       10:44a
                                                               418 Makefile.am,v
6,612 Makefile.in,v
                       10:44a
                       10:44a
                                                               13,481 bytes
                             8 File(s)
  Directory of D:\cvs\syblib
01/01/1601
01/01/1601
09/19/2003
                       12:00a
                                              <DIR>
                       12:00a
11:24a
                                              <DIR>
                                                            614 gcc.sh,v
17,651 sybInterface.cpp,v
18,265 bytes
09/19/2003
                       11:24a
                             2 File(s)
  Directory of D:\cvs\tmp
01/01/1601
01/01/1601
                      12:00a
12:00a
                                             <DIR>
                                              <DIR>
                             0 File(s)
                                                                        0 bytes
         Total Files Listed: 3845 File(s)
                                                       57,753,814 bytes
                       1329 Dir(s)
                                                                        0 bytes free
```